

GDPR & Clinical Audit

4th November 2019



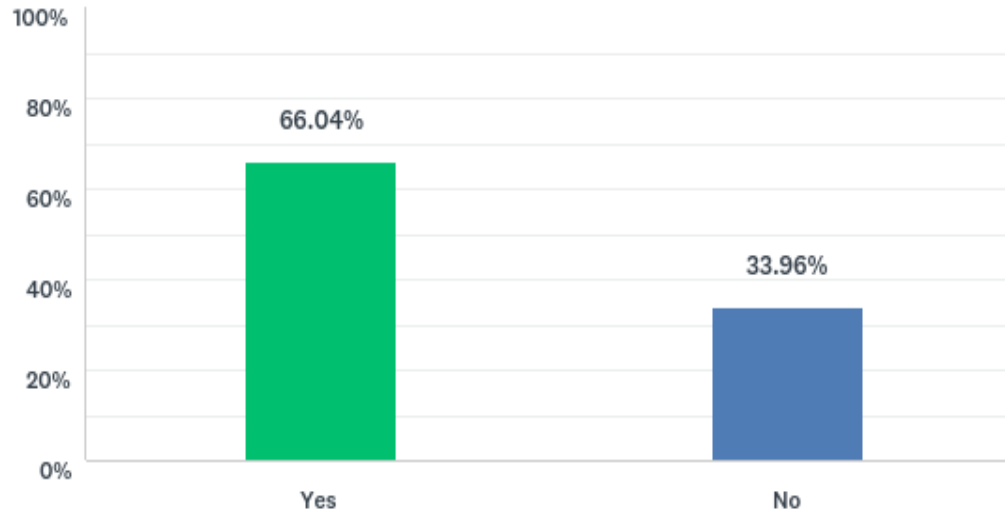
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Impact of GDPR on Clinical Audit - Survey Results

Dr Brian Creedon, Clinical Director, NOCA

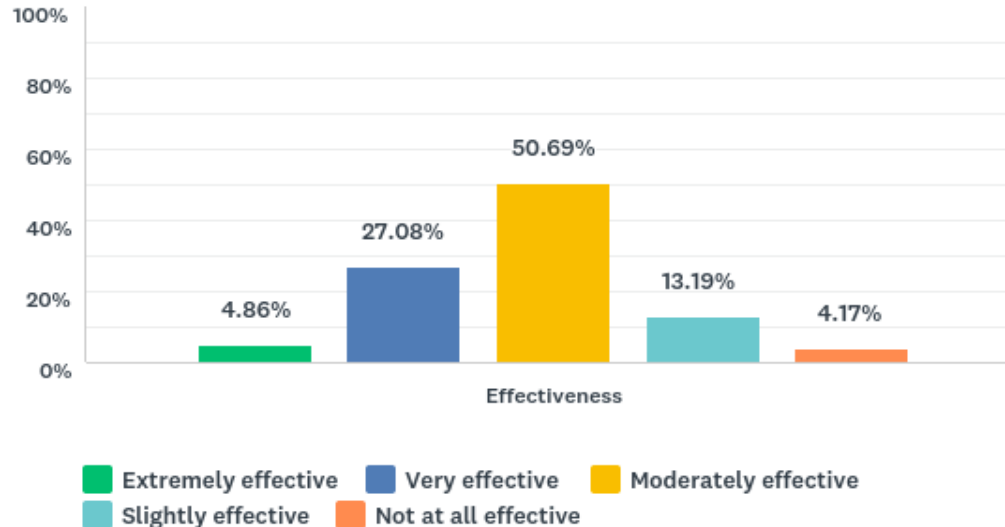
Survey Results

Q1 Do you wish to take part in this survey about the impact of GDPR?



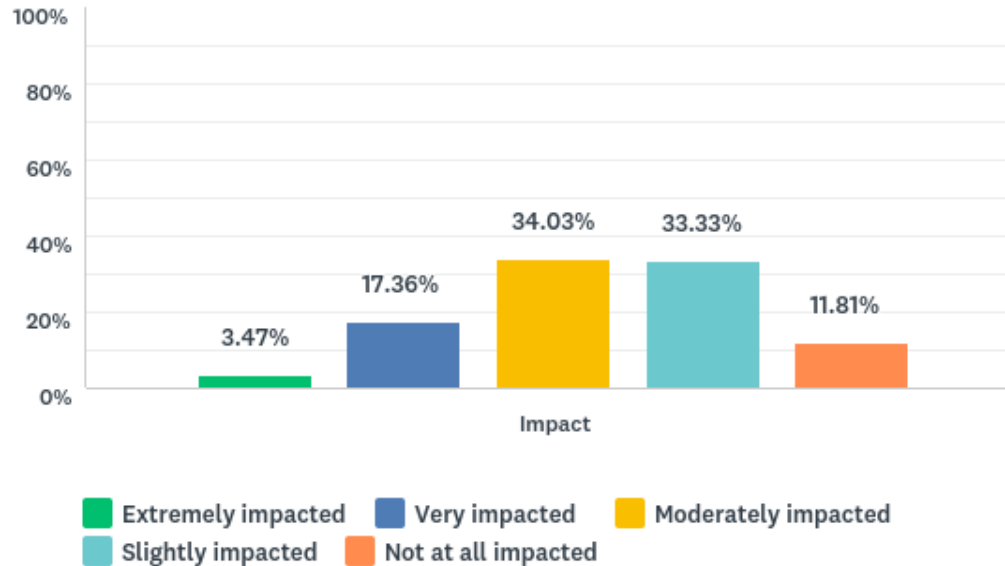
Survey Results

Q2 How would you rate your organisation's level of preparation for the implementation of GDPR?



Survey Results

Q3 Did GDPR have a negative impact on clinical audit in your organisation?

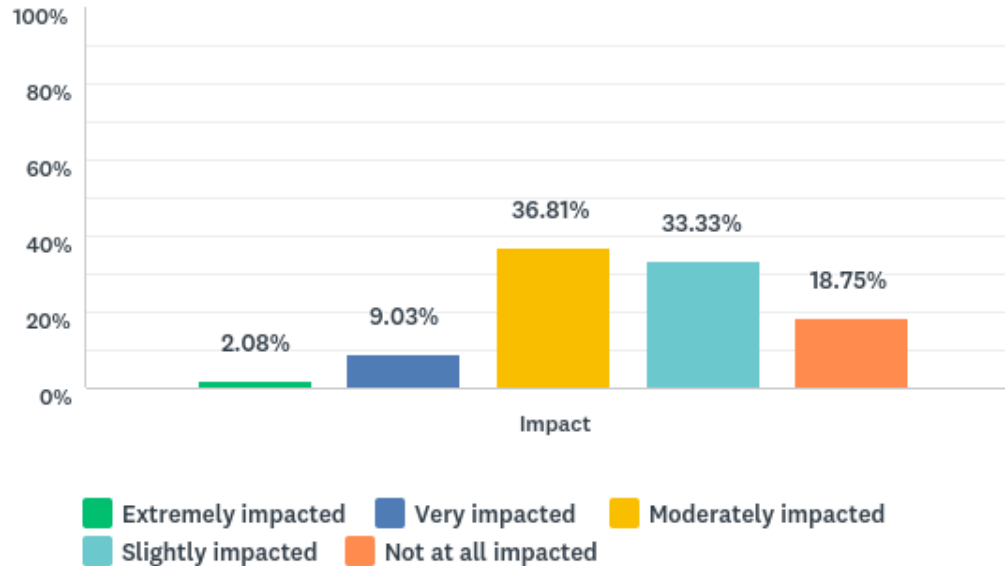


Survey Results

- ❑ Q4. Provide some examples of how clinical audit was impacted
 - Delays in conducting surveys due to fears re confidentiality
 - Confusion among staff re access to data
 - Holding sufficient information to crosscheck for accuracy is problematic
 - Change in process of access to data. More time consuming.
 - Made data collectors more aware of the legalities of holding information
 - Complete uncertainty about impact of GDPR on audit and in particular publication of audit findings.
 - Conflicting opinions on regulations, inconsistent legal advice.

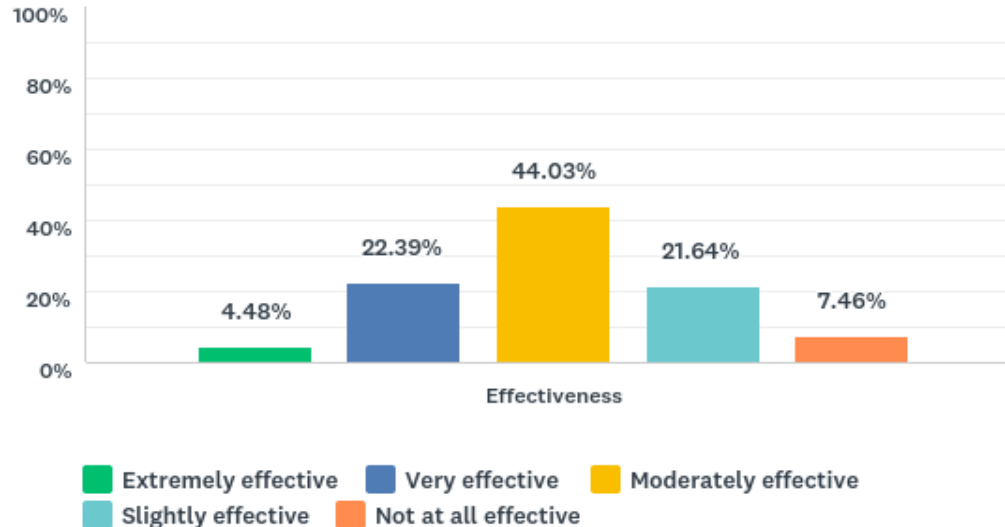
Survey Results

Q5 Is GDPR still negatively impacting clinical audit in your organisation?



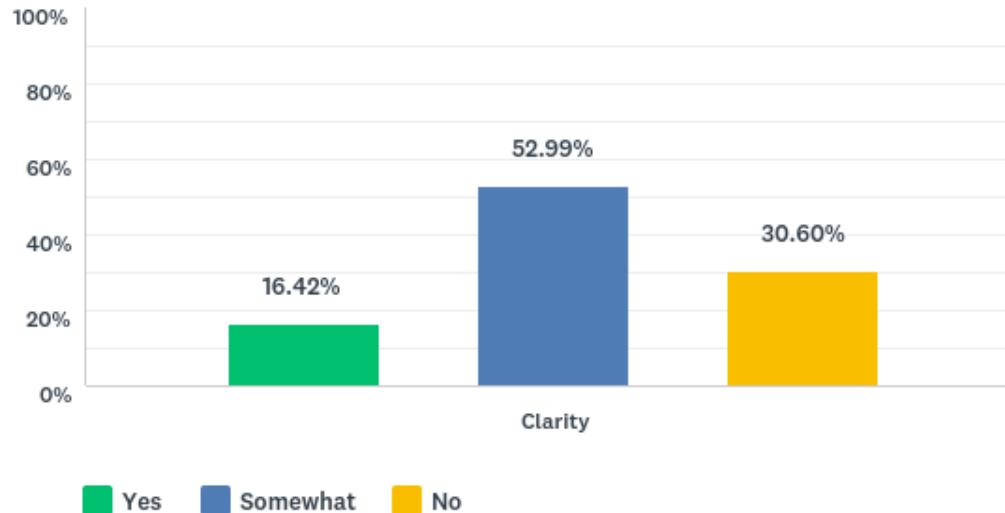
Survey Results

Q6 How would you rate the effectiveness of your organisations current guidance on GDPR requirements for clinical audit?



Survey Results

Q7 Do you think there is clarity in your organisation regarding the differences between publishing research findings and publishing clinical audit findings?

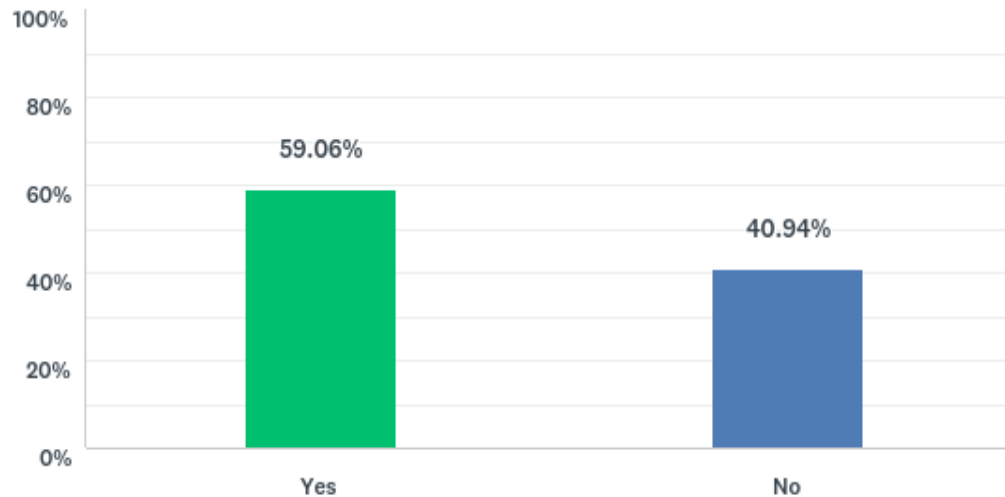


Survey Results

- ❑ Q8 - In regard to clinical audit, what additional guidance, support, training do you require?
 - A strong need for Clinical Audit facilitators with proper resources locally
 - Clarity on the process, fair usage notification to be issued
 - Can I publish audit findings?
 - Information of disclosure of clinical audit results
 - Proper definitions of what is considered a clinical audit and whether patient registries are considered audits
 - How to conduct clinical audit and comply with GDPR
 - Data anonymization vs pseudonymization

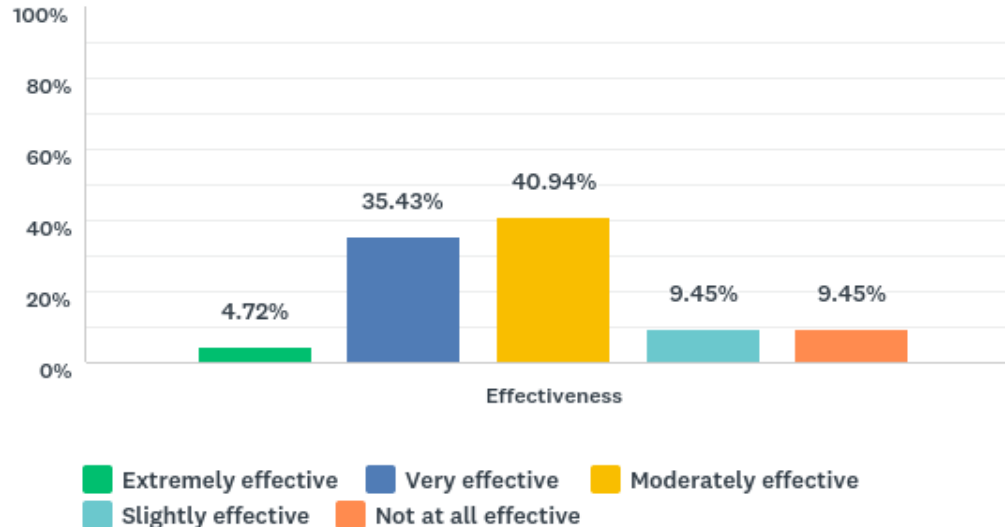
Survey Results

Q9 Are you aware of the NOCA Guidance on GDPR and Clinical Audit that was initially published in May 2019?



Survey Results

Q10 How would you rate the effectiveness of the NOCA guidance document - GDPR and Clinical Audit?



Survey Results

- ❑ Q11 - Do you have any suggestions for how NOCA could improve its guidance in regard to GDPR and Clinical Audit?
 - Improve awareness that this document exists among general healthcare staff
 - I found it clear and concise and that it covered the key areas
 - Role out more face to face session around the country for all staff - train a number of experts from each area to train staff on the ground.
 - Clarity on retrospective chart audit from an electronic database and pseudo-anonymisation.
 - Dissemination of information to management and staff in organisations
 - Continue to issue information and examples of audits performed. Simple FAQs also
 - More webinars Info sessions Information sessions from local DPO

Survey Results

- Please provide details of any specific topics / questions you would like addressed at the NOCA GDPR event on the 4th November

Topic	Total
Audit v Research	10
Clinical Audit	25
Ethics	5
Guidance	61
Legal	4
Open Disclosure	2
Research	9
Retrospective Chart Reviews	5
Grand Total	123

Guidance	61
Anonymous v Pseudonymised	1
Access to Records	6
Brexit	3
Consent	16
Data Collection	4
Data Minimisation	1
Data Processing	1
Data Storage & Retention	7
Data Security	1
Data Sharing	3
Deceased Patients	1
General overview	8
International Review	1
Publishing Data	4

Twitter / Slido

- Twitter handle for today:



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#NOCAGDPR

- Questions via Slido:

slido

- Event Code:

#NOCAGDPR