

Th1/Th2 in aspergillosis

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The outcome of the Th1/Th2 balance is a critical determinant of the outcome in invasive aspergillosis. The innate immune system encounters the pathogen first. Dendritic cells (DC) appear to be the critical fulcrum at the intersection of the innate immune system, and which receptors are engaged, particularly which Toll-like receptors are triggered by the pathogen, likely determines which co-stimulatory molecules are expressed during the DC maturation process, and thus which cytokine pathway will eventually dominate. Some proinflammatory cytokines initially produced by naïve phagocytes may also direct dendritic cell direction. Thus DC are the main connections of the innate and adaptive immune systems. The cytokine pathway may be affected by the antigen load, whether and what type of immunosuppressive drug or immunosuppressive co-morbidity is present, the pathogen's success in converting from conidia to hyphae, the pathogen's production of toxins, and later whether appropriate antimicrobial chemotherapy is given. Chemokines triggered by cellular interaction with the pathogen call different host cell populations to the site of infection, collectins affect the phagocyte-fungus interaction, and the cytokines produced by the adaptive immune system then regulate the antifungal power of mononuclear phagocytes and polymorphonuclear neutrophils. In these ways the innate and adaptive immune systems work together in host defense. The key cytokines associated with a successful outcome of *Aspergillus* infection are upregulation of Th1 and proinflammatory cytokines IFN γ , TNF α , IL-12, GM-CSF, IL-1, IL-6 and IL-18, and down-regulation of IL-4 and IL-10. The converse is associated with progressive disease. The most data about favorable cytokine effects on phagocytes vs. *Aspergillus* concerns IFN γ , GM-CSF and G-CSF, and for unfavorable effects, IL-10 and IL-4. GM-CSF and IFN γ have also been shown to possess the ability to reverse the down-regulating effects of immunosuppressants on anti-*Aspergillus* phagocyte function. Neutralization of several Th1 cytokines *in vivo* has been shown directly to result in a bad outcome of infection, whereas administering Th1 or proinflammatory cytokines or neutralizing Th2 cytokines has been shown to produce a favorable outcome. Antifungal chemotherapy is associated with a switch to a Th1 profile, and antifungal chemotherapy combined with Th1 cytokine immunotherapy acts synergistically. Thus improved definition of the Th1/Th2 balance is essential for future prospects for immunotherapy, antimicrobial chemotherapy, and vaccination.

Keywords aspergillosis immunology, cytokines, aspergillosis host defenses, immunology, host response

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Introduction

The Th1/Th2 balance has been understood for some time as the critical determinant of outcome of the infection with *Aspergillus*. The focus of this review shall be invasive aspergillosis, particularly the pulmonary form, as the deflection into the Th2 pathway that results in asthma or allergic bronchopulmonary aspergillosis is being reviewed elsewhere in this symposium and has been reviewed in the prior supplement [1,2], and the relevant literature was exhaustively cited and discussed in the first supplement [3].

The Th2 pathway and allergy

In brief [3], the immune responder to *Aspergillus* antigens in allergic bronchopulmonary aspergillosis (ABPA), allergic asthma, and cystic fibrosis (CF) is a Th2 CD4⁺ cell. How does ABPA differ from the others? The hypothesis is ABPA develops in genetically susceptible asthmatics or CF patients.

In ABPA, *Aspergillus fumigatus* attaches to, grows in, and disrupts airway epithelium; and is not killed by mononuclear and eosinophil infiltrates. The proteolytic enzymes of *A. fumigatus* may be important in the epithelial interaction. The degraded epithelium fails to produce mucus that protects against particulates (such as spores). The degraded epithelium facilitates transport of antigens and allergens, leading to a Th2 response. Pro-inflammatory cytokines are also triggered. *Aspergillus* attaches to the extracellular matrix of the damaged airways, continuing the inflammatory cycle. IgE binds *Aspergillus* antigens to mast cells; mast cells release histamine and chemokines for eosinophils. IgE, IgA, and IgG bind *Aspergillus* antigens to eosinophils, which release inflammatory mediators. Epithelial junctions are disturbed, and airways are remodeled.

ABPA, compared to other atopic diseases, appears to have increased sensitivity to IL-4 from Th2 CD4⁺ cells, with increased B cell CD23 and CD86 expression, and increased IgE production. ABPA patients react to Asp f 2, Asp f 4, Asp f 6 antigens; asthmatics without ABPA to Asp f 1, Asp f 3. The differences may be genetically programmed. Patients can be identified by their reaction profiles. Certain HLA-DR genotypes are associated with reactivity to *Aspergillus* antigens.

Invasive aspergillosis

The normal initial response and its perturbation

The normal host requires an intact cell-mediated immune system and a sufficient number of, and

normally functioning, neutrophils to effect the normal response to *Aspergillus* conidia, which we all continually encounter in the environment. This normal response is to clear conidia without establishment of an infectious nidus in the lung or dissemination to other organs. That a weakened cell-mediated immune system or insufficient neutrophils can cope with a few conidia but not a larger number is indicated by dose-response challenge studies in animal models and suggested by air filtration studies in immunocompromised patient units.

This normal response appears to be determined by encounters with mononuclear phagocytes. The first immunological line of defense against *A. fumigatus* is the macrophage, which is capable of ingesting and lysing conidia [4]. Both monocyte-derived and resident macrophages contribute to conidial ingestion. Killing is done by an opsonin-independent non-oxidative method. This line of defense is depressed by glucocorticoids [5–10]; not via steroid depression of T cells, but possibly by causing suboptimal phagolysosomal fusion, or through inhibition of non-oxidative processes and impairment of lysosomal activity, plus an effect on cytokine production. The second line of defense, once conidia have germinated, is neutrophil and monocyte killing of hyphae. This can occur in the absence of opsonins or activation. Both oxidative mechanisms and defensins appear to be involved. Monocytes principally use hydrogen peroxide. Steroids suppress this line of defense, probably in part by their suppression of the oxidative burst and possibly by decreasing cellular mobilization [11].

Networking of the innate immune system

The mononuclear phagocytes respond with pro-inflammatory cytokines, and different Th cytokines to different morphologic forms of *Aspergillus*, and can provide signals that further affect phagocyte antifungal activity, in an autocrine fashion, and that of other local phagocytes, in a favorable manner. Key defensive cytokines against conidia appear to be IFN γ and TNF α . Collectins, including mannose-binding lectin, SP-A, SP-D, and pentraxin 3 may help phagocytes by acting as opsonins, and another branch of the innate immune system, the production of chemokines, may call on the appearance of other phagocytes at the local site [12,13]. If, because of insufficiencies in phagocyte number or function, a more durable response is called for, the innate immune system will become further engaged and initiate the engagement of the adaptive immune system.

The fungus is recognized by Toll-like receptors (TLR) [14–18], and this encounter in dendritic cells

appears to be the critical one for the subsequent coordination of the arms of the immune system. If the conidial intruders have not been contained by phagocytes, and some have germinated into hyphae, the latter morphologic form may subvert the development of the appropriate response. The attachment of these forms to different dendritic cell receptors, probably mannose receptors in the case of conidia and CR3 in the case of hyphae [19], and the different methods of dendritic cell phagocytosis of the different fungal forms, may lead to different dendritic cell signals and thus to different cytokine patterns [20].

The dendritic cells take the internalized fungal forms, with the fungal antigens exposed or released, and migrate to regional lymph nodes. There the dendritic cells mature and express MHC II molecules, and process the antigen, and the TLR pathway triggered [21] likely determines which co-stimulatory molecules are expressed, and thus whether Th1 or Th2 cytokine pathways ensue. The cytokines initially produced by the naïve phagocytes previously discussed may also direct the dendritic cell direction [22]. Regulatory T cells may play a late role in directing this phase of the immune response at this point, inhibiting the development of allergy [23]. The adaptive immune system, represented by T cells, is then engaged, and the T cells, in the context of MHC molecules, become activated and proceed to elaborate either Th1- or Th2-dominant cytokines, thus determining the adaptive response.

The adaptive response – Th1

Clinical studies indicate IFN γ levels are increased and IL-10 levels decreased in survivors of infection [24]. Patients responding to therapy have high IFN γ /IL-10 ratios and strong Th1 lymphoproliferative responses [25,26].

The key cytokines associated with a successful response to *Aspergillus* infection are the pro-inflammatory and Th1 cytokines IFN γ , TNF α , IL-12, GM-CSF, IL-1, IL-6, and IL-18 [12,22,26–35]. Their production has been demonstrated in the course of infection, and this production, rather than the down-regulation of Th2 cytokines IL-4 and IL-10, appears to be even more important [36].

A great deal of evidence has been produced to stress the critical importance of Th1 and Th2 cytokines in the outcome of infection.

Mononuclear cells produce IFN γ , GM-CSF, TNF α and IL-2 in response to conidia [30,37]. Studies suggest that although the Th1-stimulating antigens are in conidia, hyphal components favor the Th2 path for both human and murine cells [38].

Although early studies indicated nude mice (lacking T cells) were not more susceptible [39], it's unclear whether the enhanced macrophage and NK activity in nude mice, or the severity of the infection studied (thus overwhelming wild-type mice), may have contributed to the conclusion that T cells are unimportant. Passive transfer of Th1 cells is protective [34].

TNF α deficient animals can't control the early phases of infection [26,40,41], neutralization of TNF α with antibody reduces neutrophil influx into the lung [31], and exacerbates the infection [33], and administering TNF α , such as intratracheally, enhances survival [26,28,32].

Neutralization of IFN γ exacerbates disease [24] and giving IFN γ is protective [26,28,34]. IFN γ enhances neutrophils damage to hyphae [24], and reverses the depressing effect of corticosteroids on monocyte [5] or neutrophil [27] anti-hyphal activity. IFN γ upregulates neutrophil damage of hyphae even with CGD neutrophils [30]. Administration of IFN γ to infected mice is associated with low IL-10 levels, and neutralization of IFN γ with elevated IL-10 levels [28].

A delay in IL-6 production leads to a decrease in neutrophil influx [11], and IL-6 deficient mice are more susceptible [34]. Conidia may preferentially stimulate the IL-12 pathway of pulmonary dendritic cells [19]. Neutralization of IL-12 in mice exacerbates disease [33], and therapy with IL-12 increases resistance [40,41]. Neutralization of IL-18 enhances the disease [33].

GM-CSF reverses the depressive effects of steroids on monocyte anti-hyphal activity [5]. Our laboratory has studied the effect of dexamethasone [DEX] and granulocyte-macrophage colony-stimulating factor [GM-CSF] on the fungicidal activity of macrophages and cytokine/chemokine production from macrophages. GM-CSF protects peritoneal macrophages [PeM] and bronchoalveolar macrophages [BAM] against DEX suppression of killing of *A. fumigatus* conidia [7,8].

We showed murine BAM killed conidia (33%) *in vitro*, unaffected by GM-CSF [9]. DEX treatment for 48 h reduced killing to 13%. GM-CSF restored killing (33%) in the presence of DEX. GM-CSF protected even if added after DEX treatment, provided DEX was discontinued.

Likewise, PeM killed conidia, which was suppressed by DEX. Both recombinant murine and human GM-CSF were effective in reversing DEX suppression of either BAM or PeM. However, the recombinant human GM-CSF only reversed DEX suppression of PeM if it was given before or concurrently with DEX [8].

We found *A. fumigatus* conidia stimulated BAM *in vitro* to produce IL-1 α and TNF α . GM-CSF didn't

affect this, but DEX suppressed it. The DEX suppression of IL-1 (but not TNF) was reversed by GM-CSF. GM-CSF enhanced BAM (but not PeM) IL-1 and TNF production in response to LPS. DEX suppressed BAM and PeM IL-1 production and BAM TNF production, and GM-CSF reversed the DEX effect. We also found PeM production of IL-10 was much greater in response to conidia (or LPS) than BAM [10].

We reported recombinant murine GM-CSF given *in vivo* increases spleen cell proliferative responses *ex vivo*, and spleen cell responses to concanavalin A (presumably by increasing IL-2). Murine GM-CSF given *in vivo* increases spleen cell IFN γ , and (with a delay) increased IL-10, production, in response to concanavalin A *ex vivo*. IL-4, however, was unaffected [42].

We also found conidia stimulate BAM for MIP-1 α production *in vitro*. If DEX is given *in vivo*, BAM from those animals now have suppressed MIP-1, IL-1 and TNF production *ex vivo*. If GM-CSF was given *in vivo* together with DEX (or cortisone), BAM responses with respect to these cytokines and chemokines was normalized [6].

We postulated that DEX inhibition of NF- κ B was opposed by induction of I κ B kinases (IKK) by GM-CSF+conidia stimulation, degradation of I κ B, and release of nuclear factor kappa B (NF- κ B). We studied resident PeM from CD-1 mice and RAW 264.7 cells [43]. Cells were untreated or treated with DEX, GM-CSF or GM-CSF+DEX and corresponding cultures stimulated by conidia. Western blot analysis showed that in 2 h cytoplasmic extracts from DEX-treated cells had increased levels of I κ B and nuclear extracts contained minimal NF- κ B. GM-CSF decreased I κ B levels in cytoplasmic extracts and increased NF- κ B levels in nuclear extracts. In combined treatment, GM-CSF overcame the effect of DEX on cytoplasmic levels of I κ B and resulted in increased nuclear levels of NF- κ B. Conidia, apparently signaling through TLR-4, reduce cytoplasmic I κ B and activate NF- κ B. This pathway was blocked by DEX as evidenced by high levels of I κ B in cytoplasmic extracts and minimal levels of NF- κ B in the nucleus. GM-CSF decreased cytoplasmic I κ B in conidia-stimulated cells and increased NF- κ B in the nucleus. Blockade of conidia signaling by DEX was antagonized by GM-CSF as evidenced by decreased cytoplasmic levels of I κ B, comparable to conidia alone, and increased NF- κ B in the nucleus.

The adaptive response – Th2

In contrast, progressive infection is associated with enhanced production of IL-4, IL-10, IgE, and de-

pressed IFN γ [41]. Hyphae may preferentially stimulate the IL-4 and IL-10 pathways [19].

Neutralizing IL-4 in mice enhances resistance [26,36,40]. Administering IL-4 enhances susceptibility [44]. Mice deficient in IL-4 are resistant, and they have increased IL-12 production and decreased IL-10 and IgE; if the IL-12 is neutralized, resistance is diminished, thus emphasizing the importance of IL-12 over the depression of IL-10 [36]. Administering soluble IL-4 receptor, a means of antagonizing IL-4, also results in resistance [26]. IL-4 depresses phagocyte damage to hyphae and the oxidative burst [30].

IL-10 also depresses monocyte anti-hyphal activity and the oxidative burst, and this can be reversed with IFN γ , GM-CSF or TGF β . Administering IL-10 to mice enhances susceptibility [44]. Neutralizing IL-10 enhances resistance [36,40]. IL-10 deficient animals have increased inflammation at infection sites, and enhanced levels of Th1 cytokines [34], and we have shown the resistance of IL-10 gene knockout mice to aspergillosis [45]. IL-10 levels are high in neutropenic patients with aspergillosis [20,46].

However, IL-10 may have a favorable regulatory role in the response to *Aspergillus*, dampening harmful inflammation [23].

Corticosteroids

Corticosteroids have long been identified as a risk factor for human invasive aspergillosis. Corticosteroids have direct effects on the phagocytes [5–11,27], as described above. However, in addition, cortisone administration results in a delay in cytokine production, prevents monocyte recruitment to the foci of infection [11], depresses TNF α production [47] and depresses IL-12 mRNA [28]. To counter this, the favorable effect of IFN γ and GM-CSF in reversing the phagocyte-depressing effects of corticosteroids [5–11,27] on monocytes, polymorphonuclears and macrophages has already been noted.

Antifungal chemotherapy

Antifungal chemotherapy and cytokine immunomodulation act synergistically *in vitro*, and *in vivo* therapy of experimental mycoses, as extensively reviewed elsewhere [48,49]. In aspergillosis, amphotericin B (AmB) therapy has been associated with a switch to a Th1 profile, with enhanced IFN γ and depressed IL-4 in the serum [50]. Liposomal AmB may change signaling by *Aspergillus* from TLR-2 to TLR-4, attenuating the pro-inflammatory effects of AmB, and optimizing efficacy [51].

Prophylaxis with human recombinant granulocyte colony-stimulating factor (G-CSF) with AmB or itraconazole therapy showed some additive effect in neutropenic animal models of invasive aspergillosis, but not in those immunosuppressed with cortisone, which has a greater effect against macrophages. In a neutropenic murine model, human G-CSF alone was ineffective but with AmB showed synergy in survival greater than with itraconazole [52]. G-CSF administered to human volunteers increased the fungicidal activity against *Aspergillus* conidia through enhanced respiratory bursts of their PMNs by 4-fold [53]. However, there is no clear evidence G-CSF benefits patients with aspergillosis. One review found no significant reduction in fungal infections in acute myelocytic leukemia patients treated with G-CSF [54]. GM-CSF and macrophage colony-stimulating factor (M-CSF) treated human macrophages exhibit enhanced conidial phagocytosis, oxygen radical production, and hyphal damage [55,56]. There are case reports of GM-CSF as part of a treatment regimen with success [57–59]. GM-CSF has been shown to offer some protection against invasive aspergillosis; in one clinical trial in patients with acute myelogenous leukemia, decreasing the fungal infection-related mortality from 19–2% [60]. A small pilot study of GM-CSF in combination with AmB for treatment of proven fungal infection included two patients with refractory aspergillosis, with one showing a partial response and the other failing therapy [61]. A neutropenic rabbit model demonstrated that prophylactic administration of M-CSF 3 days prior to inoculation and then throughout neutropenia augmented pulmonary host defenses against invasive aspergillosis, leading to increased survival and greater numbers of activated pulmonary alveolar macrophages compared to controls [62]. A phase I trial of M-CSF in patients suggested some benefit in patients with *Aspergillus* infections, but an insufficient number of patients were treated to show a statistical benefit [56,63]. However, the use of GM-CSF is not without its concerns, as bone marrow recovery may lead to liquefaction of pulmonary foci and to potential erosive bleeding caused by an increased inflammatory response, especially in the first week following cavitation [64,65]. Excessive TNF toxicities in doses required to have a biologically useful effect preclude safe administration in humans [66,67]. There are case reports of the successful use of antifungals with IFN γ for treatment in CGD patients [68,69]. GM-CSF treatment of neutrophils with voriconazole increases activity against hyphae compared to control neutrophils and voriconazole, but no comparable effect was seen on monocytes [49,70]. An *in vivo* additive effect

was also found with G-CSF and posaconazole in one study [71].

Conclusion

Thus, improved definition of the Th1/Th2 balance is essential for future prospects for immunotherapy, antimicrobial chemotherapy, and vaccination.

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