



Bankers Almanac: Regulatory Views

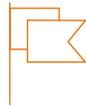
Bankers Almanac Regulatory Views comprises of two products – Bankers Almanac Sanctions View and Bankers Almanac State-Owned Enterprises View, that are premium modules for use with either Bankers Almanac: Counterparty KYC and/or Bankers Almanac: Due Diligence online services.

With Bankers Almanac: Regulatory Views you can benefit from an enhanced risk view of potential and existing correspondent partners, which highlights any potential sanctions against the entity and owners, and whether an entity is state-owned. This enables you to effectively, identify and risk assess current or prospective counterparties from a single source.

With the comprehensive intelligence provided by Bankers Almanac: Regulatory Views, you can benefit from:



Advanced matching algorithms
that rank and display potential match data allowing you to quickly identify and assess false positives



Potential sanction and SOE matches
being flagged in ownership charts



Potential matches
that are refreshed daily (Sanctions) and weekly (SOE) to ensure you have an accurate and timely view of potential risk



The ability to view and export regulatory list entries
matched against Bankers Almanac entities for more detailed investigation and review

Helping you to quickly identify any potential risk in a counterparty's ownership structure that may otherwise remain undiscovered without additional time-consuming manual research.

- ✓ **Bankers Almanac Sanctions View**
Utilises the power of **Accuity's Global WatchList®** to match primary regulatory data sourced from more than 50 regulatory bodies against the 22,000+ banks detailed in Bankers Almanac, to help you quickly identify potential higher risk entities within ownership structures in support of your KYC due diligence activities.
- ✓ **Bankers Almanac State-Owned Enterprises View**
Matches Bankers Almanac's unique coverage of the world's banks against detailed profiles of government-owned and -linked businesses collated from over 1,000 enforcement agencies and 32,000 media sources, to help you quickly identify state-owned enterprises within ownership structures prior to the initiation of enhanced due diligence (EDD) activities.



accuity.com/bankers-almanac-for-risk-and-compliance

Who can Bankers Almanac support?

The Bankers Almanac Risk & Compliance portfolio can support a range of organisations with their KYC and pre-screening needs. For departments such as:



KYC



Client services management



Compliance operations



Pre-screening

Whose role/responsibilities include:

- Conducting KYC checks on new customers and performing periodic reviews of existing customers
- Using intelligence to make recommendations based on risk level to their team/organisation
- Using key data to identify and contact existing and prospective customers
- Performing KYC and compliance checks on entities
- Working with current or prospective customers to set up payments

Increasing regulations mean the cost of seeking out new business opportunities also increases. Bankers Almanac can help to alleviate the financial burden by providing early insight into potential risk before more costly and time consuming screening initiatives are initiated.

Ensuring quality data

Bankers Almanac takes a proactive approach to maintaining relationships with our submitting banks. We aim to have consistent contact with a named individual(s) within an institution to allow us to easily collect and preserve the data and documents you need to support your KYC due diligence requirements. Our team follows a rigorous methodology, unmatched within the industry, consisting of:



Proactively liaising with primary sources such as banks and/or regulators



A fully transparent update and maintenance process, including documenting the attempts made to contact institutions for new, verified or updated information.



Quality control checks by our data analyst team on data and documents ensuring all requested information meets the required standard before publication.



The proactive maintenance of information, reviewed both on a regular cycle and event-driven basis. All documents are date stamped with a last verified date, with an audit trail indicating the update requests made.

To find out more about Bankers Almanac Risk & Compliance Portfolio, or engage with us regarding upgrades, please contact your Accuity account manager. You can also visit accuity.com to discover our full range of solutions.