

UPDATED MODERN SLAVERY STATEMENT 2018/19

The purpose of this paper is to:

- Support the Board's annual review of Go-Ahead's Modern Slavery Statement

The Board is being asked to:

- **Endorse, approve and adopt** the Statement for the 2018/2019 financial year
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Executive Summary

Following the Board's approval last year of the Group's third Slavery and Human Trafficking Statement for the 2017/18 financial year, the Statement has been updated for the 2018/19 financial year:

Summary of Statement Changes

- Sections A-F: a change of emphasis has been made from last year's Statement. Previously the Statement applied, without limitation, to all subsidiaries on the same basis. With effect from this financial year we are separating out those operating companies who are legally bound to have their own statements (and as such will adopt this one) and other operating companies (in the UK and overseas) that are not legally obliged to make a statement but will follow the principles of this Statement voluntarily. This is in order to satisfy Home Office Guidance in respect of "Group" statements such as these, and it is also for this reason that Annex 3 is included. Other changes have been made to reflect factual differences between each of the years.
- Annex 1: has been updated with a report of actions carried out in the last financial year (updated attainment of ISO 20400 (Sustainable Procurement standard) and confirmation that training is biennial).
- Annex 2: reports our plans for the next financial year (planned changes to procurement processes to implement the Group Sustainable Procurement Charter and a commitment to conduct Group wide computer-based training and training and awareness campaigns).
- Annex 3: lists all operating companies who are legally obliged to make a Statement and confirms that the Group Statement is adopted by them.

The Board is asked to endorse, approve and adopt the updated Statement for the 2018/2019 financial year.

THE GO-AHEAD GROUP PLC

SLAVERY AND HUMAN TRAFFICKING STATEMENT AS REQUIRED UNDER S54 OF THE MODERN SLAVERY ACT¹ FOR THE 2018/19 FINANCIAL YEAR

INTRODUCTION FROM DAVID BROWN, GROUP CHIEF EXECUTIVE

The Go-Ahead Group plc and its subsidiaries ("Go-Ahead"/ "us"/ "we"/ "our"/ the "Group") is committed to ensuring that all of its business dealings are carried out in full compliance with relevant laws and, in doing so, we endorse the implementation and promotion of ethical business practices to protect workers from being abused and exploited both within Go-Ahead and its supply chains. Go-Ahead are committed to improving our practices to combat slavery and human trafficking and we have continued to take steps during the 2018/19 Financial Year to promote and improve our ongoing commitment to eliminating abuse and exploitation in the workplace.

A) ORGANISATION'S STRUCTURE

The subsidiaries of The Go-Ahead Group plc are providers of public transport services, predominantly in the UK Bus and Rail sectors. The Go-Ahead Group plc has its registered office in Newcastle, England, and the Group has approximately 28,000 employees. Many of our subsidiaries in the UK had a turnover in excess of £36 million during the 2018/19 Financial Year and this Statement applies to them and they are listed in Annex 3. We also apply its principles on a voluntary basis to other operating subsidiaries in the UK whose turnover is less than £36m and overseas subsidiaries who have no equivalent legislation in their local jurisdiction. In all cases compliance with this Statement is endorsed by the Board of Directors of each operating subsidiary.

All the steps taken in this Statement are taken by The Go-Ahead Group plc. The Statement will be endorsed, approved and adopted by each of The Go-Ahead Group plc's relevant operating subsidiaries and is made available on their respective websites where there is an obligation to do so.

B) OUR BUSINESS

The Group's business is organised into multiple subsidiaries. The Group operates a devolved management structure, however procurement is one of the support functions which is, primarily, provided centrally, affording the Group's centre significant control over the supply chain of its subsidiaries.

C) OUR SUPPLY CHAIN

Our supply chain includes manufacturing, primarily of bus and rail vehicles, parts, uniforms, services (such as cleaning of stations, vehicles and depots) and outsourced IT hardware and software services. As procurement is a centralised function the Group

¹ References in this Statement to the "Act" are references to the "Modern Slavery Act 2015".

can implement the due diligence and contractual processes referred to below to give effect to Go-Ahead's anti-slavery and trafficking policy.

D) OUR POLICIES ON SLAVERY AND HUMAN TRAFFICKING

We are committed to preventing acts of modern slavery or human trafficking in our supply chain and/or in any part of our business. Our anti-slavery policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective due diligence and contractual compliance to prevent slavery and human trafficking in our supply chain.

E) DUE DILIGENCE PROCESSES FOR SLAVERY AND HUMAN TRAFFICKING

Go-Ahead again assessed the risk of its susceptibility to modern slavery and trafficking in its business and its supply chain for the financial year 2018/19. We continue to believe that those areas that carry the highest susceptibility to the risk of employing slave or trafficked labour are: all manufacturing processes or other processes where low skilled labour is required or managed e.g. cleaning / security / gate line colleagues ("**At Risk Functions**" / "**ARFs**").

F) SUPPLIER ADHERENCE TO OUR VALUES

We have zero tolerance to slavery and human trafficking. Part of our commitment to prevent modern slavery and human trafficking from occurring within our business or our supply chain, is to take steps to make sure that we include contractual terms with suppliers which reference their obligation to abide with our anti-slavery and trafficking policy or their own equivalent. This provides us with a way to enforce requirements or cease doing businesses with organisations who are non-compliant.

We are CIPS Corporate Certification Standard certified. We have also been independently assessed and accredited as achieving the ISO 20400 "Sustainable Procurement" standard. We include questions relating to compliance with the Act as part of our pre-qualification questionnaire and in our tenders for all new suppliers and these are monitored as part of our sustainability KPIs.

To further promote best practice, we have established the "Go-Ahead Sustainable Procurement Award" to promote socially responsible business practices, including adherence to our standards in the area of modern slavery and human trafficking.

We have also launched a "Sustainable Supply Chain Charter" (the "**Charter**") following a heat mapping exercise with our key high risk suppliers. The Charter covers many areas of sustainability in our supply chain including modern slavery and sets out the steps we are taking to reduce its occurrence in our supply chain. The Charter is published on our website.

G) TRAINING

Due to the biennial nature of the Group's compliance training programme, no on line training took place in this Financial Year. All Go-Ahead colleagues had access to the Modern Slavery Policy and a whistleblowing process which will allowed them to identify and report any concerns that they may have had in a confidential and secure manner. Training will be provided in Financial Year 2019/20.

H) REPORTING ON FINANCIAL YEAR 2018/19

- 1) Annex 1 sets out the results of the initiatives that were launched in Go-Ahead's 2018/19 Modern Slavery Statement.
- 2) Annex 2 sets out Plans for the Financial Year 2019/20

This statement is made pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes our Group's slavery and human trafficking statement for the financial year ended 29 June 2019.



David Brown
Group Chief Executive
The Go-Ahead Group plc
Date: 29 August 2019

The Board of Go South Coast Limited, a subsidiary of The Go-Ahead Group plc, have endorsed, approved and adopted this Modern Slavery Act statement for and on behalf of Go South Coast Limited



Andrew Wickham
Managing Director
Go South Coast Limited
Date: