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Chemical Availability of Bromide Dictates CsPbBr₃ Nanocrystal Growth

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Abstract

Lack of detailed understanding of the growth mechanism of CsPbBr₃ nanocrystals has hindered sophisticated morphological and chemical control of this important emerging optoelectronic material. Here, we have elucidated the growth mechanism by slowing the reaction kinetics. When 1-bromohexane is used as an alternative halide source, bromide is slowly released into the reaction mixture, extending the reaction time from ~3 seconds to greater than 20 minutes. This enables us to monitor the phase evolution of products over the course of reaction, revealing that CsBr is the initial species formed, followed by Cs₄PbBr₆, and finally CsPbBr₃. Further, formation of monodisperse CsBr nanocrystals is demonstrated in a bromide-deficient and lead-abundant solution. The CsBr can only be transformed into CsPbBr₃ nanocubes if additional bromide is added. Our results indicate a fundamentally different growth mechanism for CsPbBr₃ in comparison with more established semiconductor nanocrystal systems and reveal the critical role of the chemical availability of bromide for the growth reactions.

Main text

Rapid injection of organometallic precursors into high temperature solutions of surfactant ligands has been long established as an optimal synthetic strategy for the preparation of colloidal semiconductor nanocrystals.¹ With proper understanding of growth dynamics, nanomaterials with tailored sizes, morphologies, chemical compositions, and surface chemistry can be prepared.² This synthetic route

currently produces the highest quality cesium lead halide perovskites (CsPbX_3 , $\text{X}=\text{Cl}$, Br , I), a family of semiconductor nanocrystals that has recently drawn intense interest due to high photoluminescence quantum yield,³ highly tunable bandgap energy,⁴ ultrafast carrier dynamics,^{5, 6} and efficient photon up- and down-conversions,^{7, 8, 9, 10} among many other ideal optoelectronic properties.¹¹ Precisely defined nanocrystal dimensions from a few to tens of nanometers,^{12, 13} as well as varied morphologies such as nanocubes, nanowires,^{14, 15} and nanoplatelets/nanosheets,^{16, 17, 18} are now routinely synthesized. Successful doping of CsPbX_3 nanocrystals has also been achieved,^{9, 19} making it possible to further engineer the electrical and optical properties.

Despite this progress, fundamental understanding of the growth mechanism of perovskite nanocrystals is very limited. Owing to the strongly ionic nature of CsPbX_3 , nanoparticle growth finishes just a few seconds after injection,^{12, 20} greatly hindering mechanistic studies. This challenge is further compounded by observations that synthetic strategies for structural control established in other semiconductor systems, such as seeded growth,²¹ variations in precursor concentration,²² or modified growth temperature,^{23, 24} rarely induce the anticipated result in a perovskite synthesis, and often alter the final product minimally, if at all. For these reasons more sophisticated control over morphology remains elusive. Better understanding of the CsPbX_3 growth mechanism may enable highly desirable morphological targets, such as nanowires and nanosheets with controllable aspect ratios,²⁵ or well-defined multi-component heterostructures,^{26, 27} of which only limited examples have been demonstrated to date.

In the conventional CsPbBr_3 synthetic protocol established by Protesescu et al,¹² cesium oleate (Cs-OA) is injected into a solution of 1-octadecene (ODE) containing PbBr_2 , oleic acid (OA) and oleylamine (OAm). The Pb^{2+} and Br^- precursors are present in the forms of lead oleate (Pb-OA) and oleylammonium

bromide (OAm-Br),²⁸ and the injection of Cs-OA leads to a reaction that completes within ~3 seconds. It has been proposed that the growth starts with $[\text{PbBr}_6]^{4-}$ scaffolds, followed by the incorporation of Cs^+ .²⁹
³⁰ In this proposal, the nanocrystal growth obeys a classical LaMer mechanism, in which the growth is initiated by the formation of single-species nuclei that subsequently grow into larger particles.³¹ Nevertheless, this mechanism cannot explain well the formation of some CsPbX_3 morphologies, e.g. micrometers-long nanowires,¹⁴ since none of the expected intermediate species have been observed.

In this work, we provide an alternative description of CsPbBr_3 nanoparticle growth. Using 1-bromohexane as a halide precursor extends the time scale of growth from ~3 seconds to tens of minutes. This slow growth allows us to monitor, *ex situ*, the evolution of products in the early stages of CsPbBr_3 nanoparticle formation. Using a combination of powder X-ray diffraction (XRD) and high-resolution transmission electron microscopy (HRTEM), we observe the initial formation of CsBr, followed by Cs_4PbBr_6 , and eventually CsPbBr_3 . Apart from the slow release of bromide, we show that the chemical environment during synthesis remains largely identical to that of a conventional preparation. Thus, our results suggest that the complexation of Br^- to Cs^+ is, surprisingly, the critical step that initiates CsPbBr_3 nanoparticle nucleation, with Cs_4PbBr_6 playing the role of intermediate. Furthermore, we show that the crystal phase of products is determined by the concentration of bromide but independent of the concentration of lead. Even in a lead-abundant solution, pure monodisperse CsBr nanocrystals are produced by limiting the availability of bromide. The CsBr nanocrystals serve as seeds and undergo the evolution to monodisperse CsPbBr_3 nanocubes by addition of 1-bromohexane, but not by injecting extra Pb-OA. These results unambiguously indicate that the chemical availability of bromide not only determines the kinetics, but also exclusively dictates the thermodynamic equilibrium of the growth products.

Mechanistic Study *via* Slow Release of Bromide

For our alternative synthesis, Pb-OA was individually prepared and mixed with Cs-OA in ODE. Following the addition of OA and OAm, 1-bromohexane was injected into the mixture. When 1-bromohexane is used as the halide source, bromide is gradually released from the precursor, limiting its chemical availability and extending the reaction time from a few seconds to tens of minutes. Aliquots were removed over the course of reaction for further analysis. More experimental details can be found in the Methods.

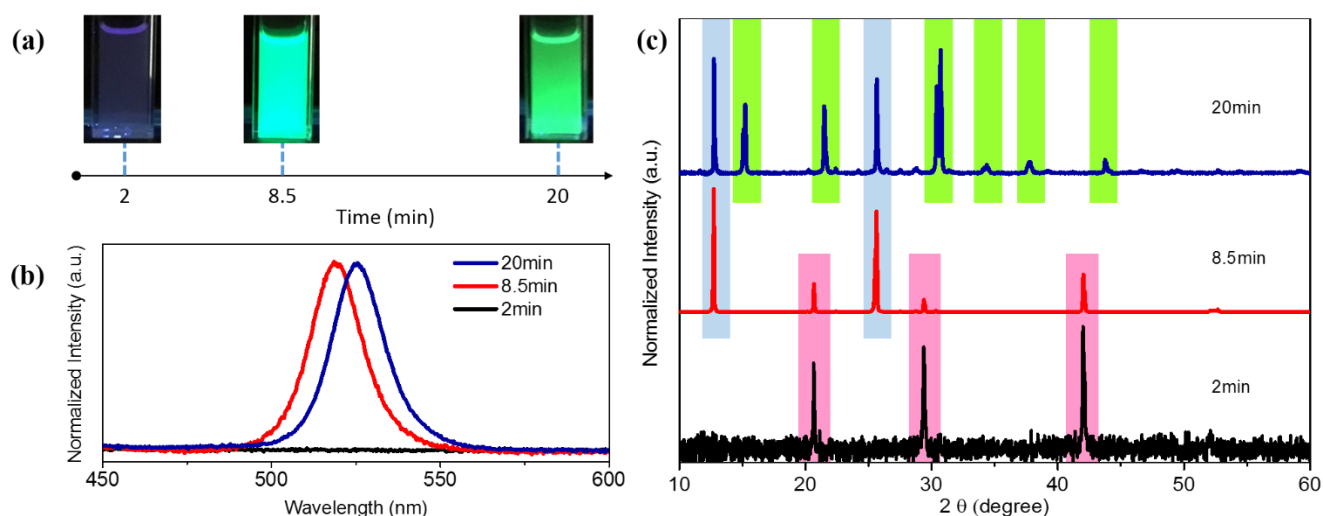


Figure 1. (a) Photos, (b) PL spectra, and (c) XRD patterns of samples collected at different reaction time. The photos were taken under UV light illumination. In (c), CsBr, Cs₄PbBr₆ and CsPbBr₃ peaks (COD ID 9008788, 1538416, 4510745) are labeled in pink, blue and green, respectively.

As shown in Figure 1a, the solution remained clear and non-fluorescent for ~2 minutes after 1-bromohexane was injected. After 2 minutes, the solution started to turn turbid and white while remaining non-fluorescent (Figure 1b). After approximately 8.5 minutes, the mixture gradually became cloudier and showed photoluminescence (PL) with a peak centered at 517 nm. After 20 minutes, the sample appeared

fluorescent green, and the PL peak shifted to 525 nm. No obvious change was observed beyond 20 minutes.

The crystal phase of the product at each stage was monitored *ex-situ* using XRD (Figure 1c). The white precipitate collected at 2 minutes exhibits the pattern of cubic CsBr. The CsBr peaks decrease in intensity after 8.5 minutes, whereas the pattern of hexagonal Cs₄PbBr₆, which may be regarded as a lead halide-deficient phase of the perovskite, appears as the dominant feature, along with a weak CsPbBr₃ perovskite signal. As the reaction proceeds to 20 minutes, the pattern shows clear Cs₄PbBr₆ and orthorhombic CsPbBr₃ features, while the peaks from CsBr completely vanish. These transitions are further evident in the PL over time. CsBr and Cs₄PbBr₆ are insulators, explaining the lack of PL before 8.5 minutes. The trace amount of CsPbBr₃ found at 8.5 minutes accounts for the cyan-green PL, whereas the red shift in PL peaks indicates the growth of luminescent CsPbBr₃ nanocrystals over time.

This analysis was also confirmed by HRTEM. The image of the sample taken at 2 minutes (Figures S1a,b) depicts straw-like shapes, exhibiting a lattice fringe of 3.0 Å that can be assigned to the (1 1 0) plane of cubic CsBr. At 8.5 minutes (Figures S1c,d), 200-300 nm-sized hexagons were observed. The lattice fringes shown in Figure S1d are 6.8 and 4.0 Å, corresponding to (1 1 0) and (3 0 0) planes of Cs₄PbBr₆, respectively. For the precipitate collected at 20 minutes (Figures S1e,f), the product is composed of 100-200 nm-sized cuboidal structures, which is the common shape of CsPbBr₃ nanocrystals. The lattice fringe identified in Figure 2f is 5.8 Å, which matches well with ($\bar{1}$ 0 $\bar{1}$) plane of orthorhombic CsPbBr₃.

As the reaction progressed beyond 8.5 minutes, more CsPbBr₃ dominated the reaction. The presence of Cs₄PbBr₆ in the final product here may be attributed to the acid-base equilibrium of ligands, which is an important factor in determining the product phase.²³ In fact, by increasing the Br⁻ concentration while

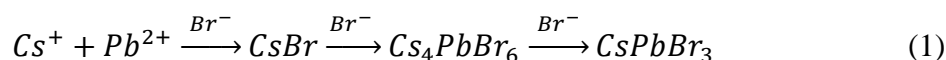
decreasing OAm (Table S1), pure perovskite crystals could be synthesized in this scheme (Figures S2 and S3). Yet, modifying the parameters in this manner also increased the reaction rate, leading to difficulty isolating the early products.

What makes tracking of the crystal evolution viable is the slow release of bromide from 1-bromohexane, likely through an S_N2 reaction with oleylamine or an E2 reaction with oleate. Since free ions are insoluble in the nonpolar solution, the released bromide is expected to be present in the forms of OAm-Br, as with a conventional synthesis, or a secondary ammonium salt, which is the product of the S_N2 reaction. This approach is distinct from other protocols utilizing alternative halide precursors, such as benzoyl bromide,³² trimethylsilyl bromide (TMSBr),³⁰ OAm-Br,³³ or trioctylphosphine-Br₂ (TOP-Br₂).³⁴ Protocols utilizing these precursors have reactions kinetics that complete in seconds, without a perceptible transition of products over time. It is the choice of halide source, not the separation of precursors, that is responsible for the slowed growth when using 1-bromohexane. Additionally, other reaction parameters such as the accessibility of cesium, precursor concentrations, alkylammonium and carboxylate ligand choices, or reaction temperature do not show similar effects on the growth rate.^{23, 34, 35, 36} Seemingly, only the chemical availability of halide plays a crucial role in defining the reaction kinetics.

The slow Br⁻ release was further confirmed in a separate experiment by allowing incubation time for 1-bromohexane to generate sufficient bromide species before the final injection. To demonstrate this, a mixture of Pb-OA, OA, OAm, and 1-bromohexane was aged for 30 min at 150 °C. Cs-OA was then injected, and CsPbBr₃ nanocubes formed instantly (Figure S4, see SI for detail), as in the conventional synthesis. This incubation time study not only supports the proposed mechanism of slow bromide release, but also verifies that 1-bromohexane and any byproducts do not otherwise modify the nanoparticle growth.

Thus, this control experiment also confirms that the growth steps observed during the slowed reaction may be viewed as representative of the growth dynamics in the general synthesis, albeit with slower rates of bromide incorporation.

The above results indicate the evolution of dominant products at each stage in the Cs-Pb-Br system, as summarized in equation (1):



As the reaction progresses, the dominant product changes from CsBr to Cs₄PbBr₆ to the final CsPbBr₃. Since the bromide availability was essentially the only variable in our study, this evolution reflects that in the early stage of all hot injection syntheses of CsPbBr₃, Br⁻ is expected to individually complex with Cs⁺ even with the presence of Pb²⁺. This mechanism does not preclude the formation of [PbBr₆]⁴⁻ octahedra in solution, however the ionic compound, CsBr, is the first compound to crash out of solution, rather than a lead-containing species. As more bromide ions become available, Cs₄PbBr₆ and CsPbBr₃ phases become the prevailing products. The polydispersity of the product prepared using 1-bromohexane (Figure S1) is a direct consequence of this continual evolution of products. Bromide is slowly released from 1-bromohexane throughout the reaction, leading to the continual nucleation and growth of CsBr simultaneous to the following phase conversions. Once all the Cs-OA has been converted to CsBr, nucleation ceases and only phase conversion occurs.

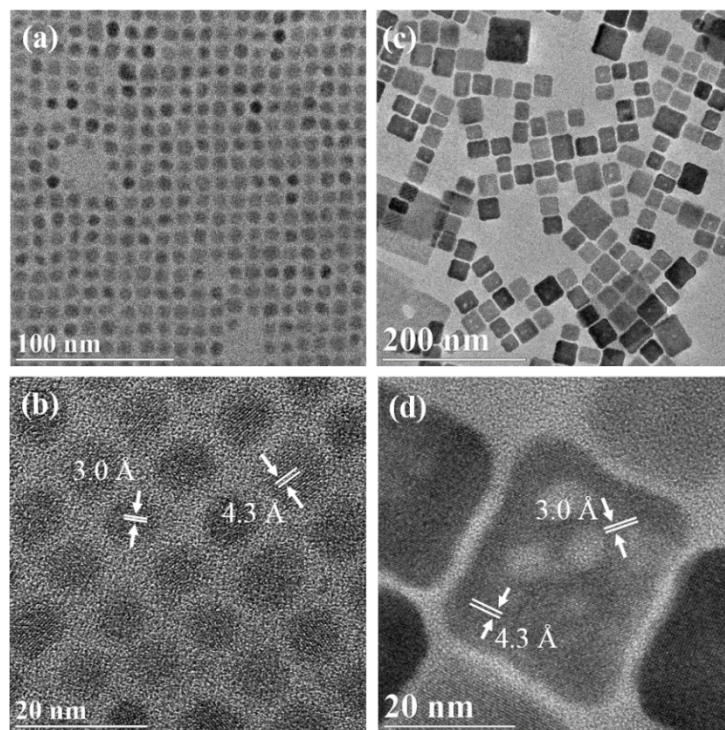


Figure 2. HRTEM images of CsBr nanocrystals prepared at (a,b) 80 °C and (c,d) 150 °C. The fringes measured to be 4.3 Å and 3.0 Å correspond to (1 0 0) and (1 1 0) of CsBr, respectively.

Slow Growth with Monodisperse CsBr Nanocrystal Seeds

This new understanding of the role of bromide in the Cs-Pb-Br reaction system extends beyond just the slow reaction kinetics of 1-bromohexane. Monodisperse CsBr nanocrystals can also be synthesized with PbBr₂ in a hot injection reaction analogous to the conventional CsPbBr₃ synthesis (Figures 2, 3a,c,d, S5, see Methods for detail). This is accomplished by reducing the ratio of PbBr₂ to Cs-OA relative to what is standardly used to prepare CsPbBr₃, limiting the availability of bromide in order to prevent the evolution of a final product beyond the CsBr phase. Even when the lead concentration is increased with the addition of Pb-OA, the system still prefers the formation of CsBr nanocrystals (Figure S6), despite having stoichiometrically sufficient Pb to produce Cs₄PbBr₆ or CsPbBr₃. Such preference of phase of products is independent of the injection order of Cs and extra Pb precursors. In contrast, when 1-bromohexane is

added to the CsBr crude solution, the product phase begins to evolve, as with the slow growth discussed previously. Pure, monodisperse CsPbBr₃ nanocrystals can be achieved with the slow kinetics dictated by the bromide release from 1-bromohexane when sufficient concentration of all precursors is present.

A representative example of this reaction is presented in Figure 3. Following the preparation of CsBr seeds, 1-bromohexane and Pb-OA were injected into the crude solution. The solution turned weakly fluorescent 10 min after the injection, with a PL peak at 513 nm (Figure 3b). The PL peak gradually red-shifted to 516 and 520 nm around 26 min and 2 hours, respectively. The phase evolution was monitored *ex situ* by XRD, as shown in Figure 3a. The products at 10 min showed a major pattern of CsBr along with minor Cs₄PbBr₆ signals. At around 16 min, the pattern of CsPbBr₃ perovskite appeared. The patterns of CsBr and Cs₄PbBr₆ were greatly reduced at 26 min, whereas diffraction peaks assigned to orthorhombic CsPbBr₃ became the dominant feature. At 2 hours, the pure orthorhombic perovskite phase was achieved. This was also confirmed by HRTEM, which showed CsPbBr₃ nanocubes with sizes around 10-12 nm (Figures 3e,f).

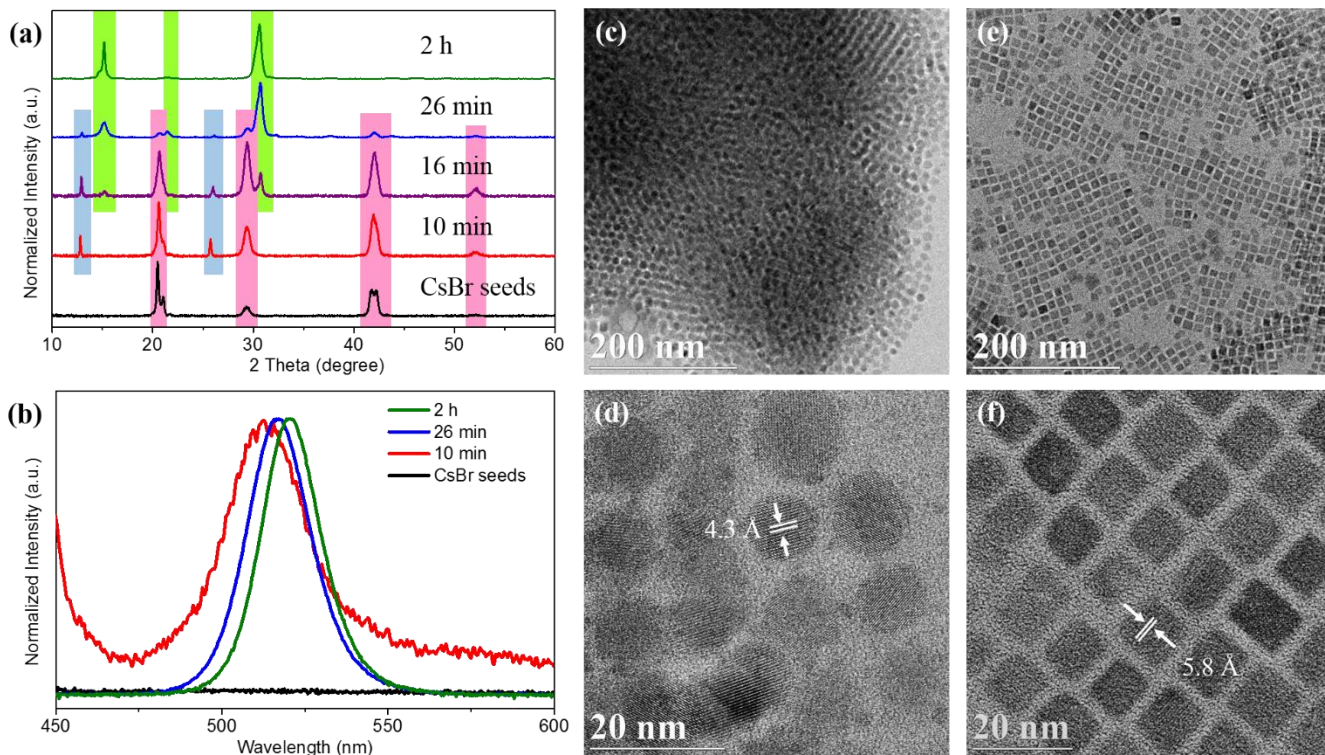
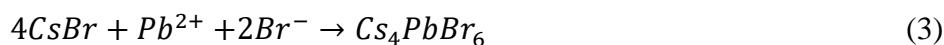
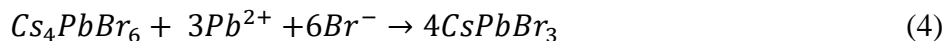


Figure 3. (a) XRD patterns and (b) PL spectra of samples collected at different reaction time in a CsBr-seeded growth at 120 °C. In (a), CsBr, Cs₄PbBr₆, and CsPbBr₃ patterns are labeled in pink, blue and green, respectively. HRTEM images of (c,d) CsBr seeds and (e,f) the corresponding seed-grown CsPbBr₃ nanocubes. The fringes measured to be 4.3 Å and 5.8 Å correspond to (1 0 0) of CsBr and ($\bar{1}$ 0 $\bar{1}$) of orthorhombic CsPbBr₃, respectively.

These results further demonstrate the generality of our findings with respect to the Cs-Pb-Br hot injection reaction. Bromide complexes with cesium, even in the presence of lead, generating CsBr seeds (equation (2)). If sufficient bromide is available, the CsBr seeds react with the additional Br⁻ and existing Pb²⁺ and are converted first to Cs₄PbBr₆ (equation (3)), and then to CsPbBr₃ (equation (4)).





Furthermore, the phase conversion reactions can be driven by increasing the concentration of chemically available bromide species in the solution, but not by that of lead. Since raising the concentration of Pb-OA does not force the production of either Cs_4PbBr_6 or $CsPbBr_3$, equations (3) & (4) are independent of or not sensitive to Pb-OA concentration.

It has been reported that the crystal phase of products in the system is dependent on the concentrations of OA and OAm.²³ With excess OA and OAm in solution, the solvation of Pb^{2+} and Br^{-} in the liquid phase becomes higher, shifting the equilibrium of the ionic system. In other words, increasing the concentrations of OAm and OA drives the reactions 3 & 4 in the reverse direction, making Cs_4PbBr_6 or $CsBr$ the predominant products. It is important to note that the forward reactions are driven by increasing the concentration of bromide, but unaffected by increasing the concentration of lead. As such, we can infer that the equilibrium of bromide between the liquid and solid phases is the key factor that dictates the conversion reactions. This may well explain the facile interconversion between Cs_4PbBr_6 and $CsPbBr_3$ achieved by manipulating the ligand concentration in solution, which can be executed even at room temperature,^{39, 40, 41} since the halides are highly mobile.⁴

Although the direct conversion between these phases within individual nanocrystals has not been observed *in situ*, the proposed mechanism implies that the bromide-dictated phase conversion reactions may proceed through a surface diffusion route, rather than a pathway of dissolution and subsequent recrystallization. A reaction of pathway of phase conversion was also confirmed by a study in which CsX nanocrystals were transformed to perovskites at room temperature,⁴² and also by the apparent size correlation between CsX or Cs_4PbX_6 precursors and the $CsPbX_3$ products in other conversion reactions,

^{38, 42} as also observed here.

In the CsBr-seeded growth study, pure lead-deficient phase nanocrystals were not observed, suggesting that the Cs_4PbBr_6 domains are continuously converted into the CsPbBr_3 phase during the course of reaction. Interestingly, this proposed mechanism implies that CsPbBr_3 does not follow a straightforward LaMer mechanism. In fact, the synthesis does not appear to follow a single-species nucleation route, but rather a combination of nucleation, phase conversion, and subsequent growth. This may clarify why, as mentioned previously, many preparation strategies for control over growth employed in other semiconductor materials systems are not applicable to CsPbBr_3 .

Conclusions

For the first time, a clear evolution of products in the Cs-Pb-Br system was observed. The growth of CsPbBr_3 perovskite nanocrystals starts with the complexation of bromide and cesium, producing CsBr seeds that subsequently react with bromide and lead to produce Cs_4PbBr_6 intermediates, which then convert to CsPbBr_3 . The interconversion of these phases is dictated by the chemical equilibrium of bromide between the liquid and solid phases, and is largely insensitive to the concentration of lead. This study helps elucidate the growth mechanism of CsPbBr_3 perovskites and provides new insights into inconsistencies with their preparation in comparison with more established colloidal semiconductor nanocrystals, aiding strategies for further chemical and morphological tailorability.

Methods

Preparation of cesium oleate (Cs-OA).

0.1 g Cs_2CO_3 was reacted with 0.3 mL OA in 5 mL ODE at 120 °C under vacuum for 1 hour until all of

chemical was dissolved.

Synthesis of CsPbBr₃ under slow kinetics.

In a typical synthesis, 0.046 g PbO was loaded into a 25 mL flask along with 5 mL ODE and 0.16 mL OA, dried at 120 °C under vacuum for 1.5 hour. Once the chemical was fully dissolved, 0.7 mL Cs-OA was injected under Ar atmosphere, and the mixture was heated up to 150 °C. 0.8 mL OAm and 0.6 mL OA were added into the solution, and 0.13 mL 1-bromohexane was injected 2 min later. Aliquots were taken out at different reaction times and injected into cold toluene solution for temporary storage. To purify the products, samples were centrifuged at 3300 rcf for 20-50 min. The precipitates were redispersed in toluene and underwent another centrifugation cycle. The final precipitates were collected and dispersed in hexane for further characterizations.

Synthesis of CsBr.

0.019 g PbBr₂ was loaded into a 25 mL flask with 2.5 mL ODE, dried at 120 °C under vacuum for 1 hour. The flask was then refilled with Ar, and the solution was adjusted to a desired temperature, followed with addition of 0.4 mL OAm and 0.1 mL OA. Once the PbBr₂ was fully solubilized, 0.7 mL of Cs-OA was injected to the mixture and reacted for 2-10 min. The solution would turn cloudy within the first 15 sec to 2 min, depending on the reaction temperature. The product was cooled down in an ice bath, purified using the method mentioned above, and stored in hexane for further characterization. To study the effect of Pb concentration on the final products, 0.5 mL Pb-OA (0.1822g PbO, 0.64 mL OA, and 4.8 mL ODE) was added to the solution either before or after the injection of Cs-OA.

CsBr-seeded growth of CsPbBr₃.

CsBr seed solution was prepared at 120 °C as mentioned above without cooling and purification. To the CsBr crude solution, 0.5 mL Pb-OA (0.1822g PbO, 0.64 mL OA, and 4.8 mL ODE) was added, followed by injection of 0.29 mL 1-bromohexane. Aliquots were collected at different reaction time and injected

into cold hexane for temporary storage. Products were centrifuged at 8000 rcf for 10 min at 20 °C, and the precipitates were dispersed in hexane and underwent another centrifugation cycle at 2500 rcf for 10 min. Precipitates were dispersed in hexane from samples collected in the early stages with no products in the supernatants. At latter stages during the reaction supernatants were also analyzed.

Characterizations

XRD data was measured on a BRUKER D8-Focus Bragg-Brentano X-ray Powder Diffractometer equipped with Cu K- α radiation source. PL spectra were collected on an Ocean Optics Flame-S-UV-Vis Spectrometer with an Ocean Optics DH-200-Bal deuterium lamp light source. HRTEM images were taken on a FEI Tecnai G2 F20 ST FE-TEM operated at 200 kV equipped with Gatan CCD camera.

Acknowledgement

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Contributions

J.-R. Wen carried out all the syntheses and characterization works. M. T. Sheldon was responsible for the overall direction of the project. All the other authors participated in preparing the manuscript and contributed to the discussion.

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Supporting Information for:

Chemical Availability of Bromide Dictates CsPbBr₃ Nanocrystal Growth

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Materials

Cs₂CO₃ (99.9%), PbO (99%), oleic acid (OA, 90%), oleylamine (OAm, 70%), 1-octadecene (ODE, 90%), toluene (99.8%) and hexane (95%) were received from Sigma-Aldrich. 1-bromohexane (99%) and PbBr₂ (98+%) were purchased from Alfa Aesar. OA and OAm were dried with molecular sieves under Ar environment before use. Other chemicals were used as received.

Control experiment.

Cs-OA and Pb-OA were prepared as described above and kept at 150 °C in Ar environment. To the Pb-OA solution, 0.8 mL OAm, 0.6 mL OA, and 0.13 mL 1-bromohexane were added, and the mixture was aged at 150 °C for 30 min. 0.7 mL of Cs-OA was then injected into the mixture, which would turn fluorescent green right away. Aliquots were taken out and injected into cold toluene solution for temporary storage. To purify the products, samples were centrifuged at 3300 rcf for 20-50 min. The precipitates were redispersed in toluene and underwent another centrifugation cycle. The final precipitates were collected and dispersed in hexane for further characterizations.

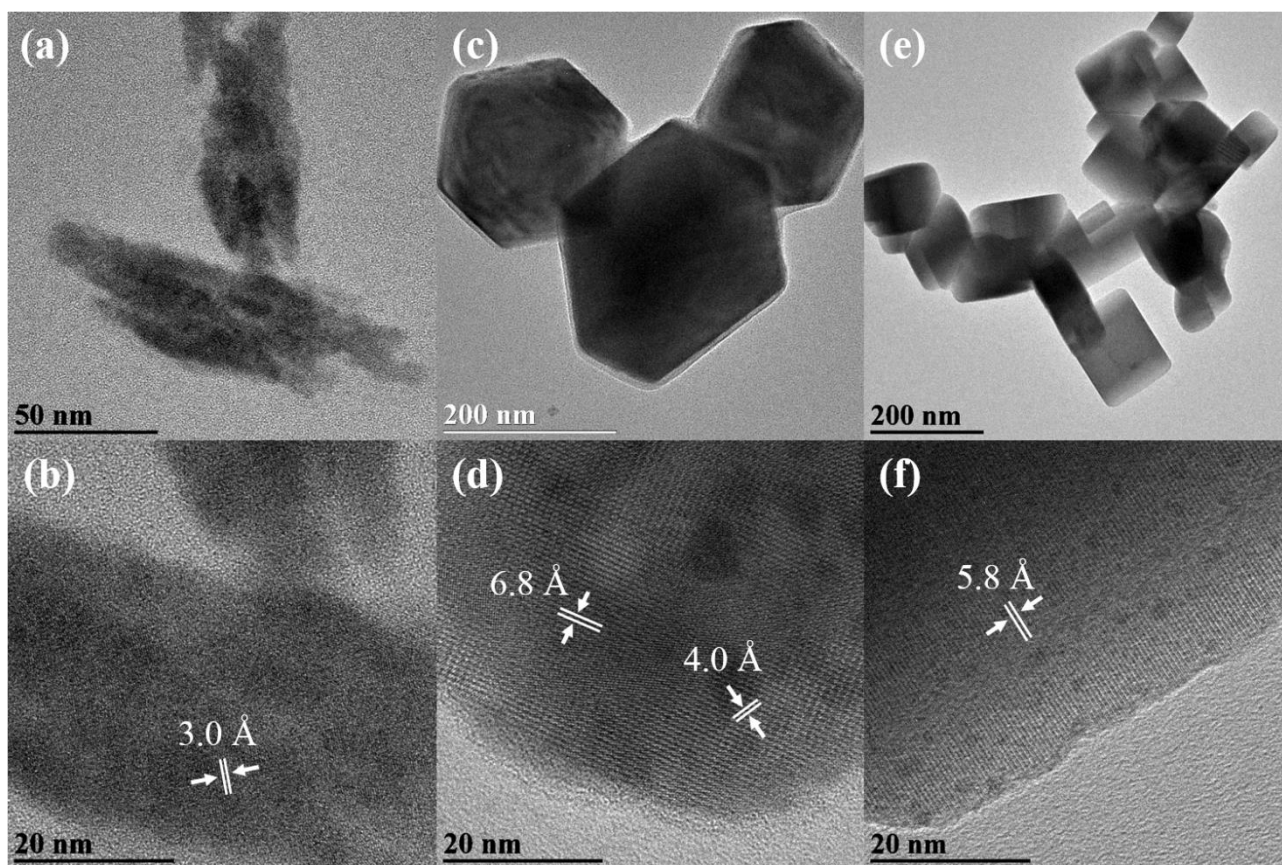


Figure S1. HRTEM images of samples collected at (a,b) 2, (c,d) 8.5, and (e,f) 20 min.

Table S1. Reaction parameters. The units are in mmol.

sample	Cs	Pb	1-bromohexane	OA	OAm
Typical	0.11	0.202	0.91	2.17	1.70
Modified	0.11	0.203	1.40	2.17	0.21

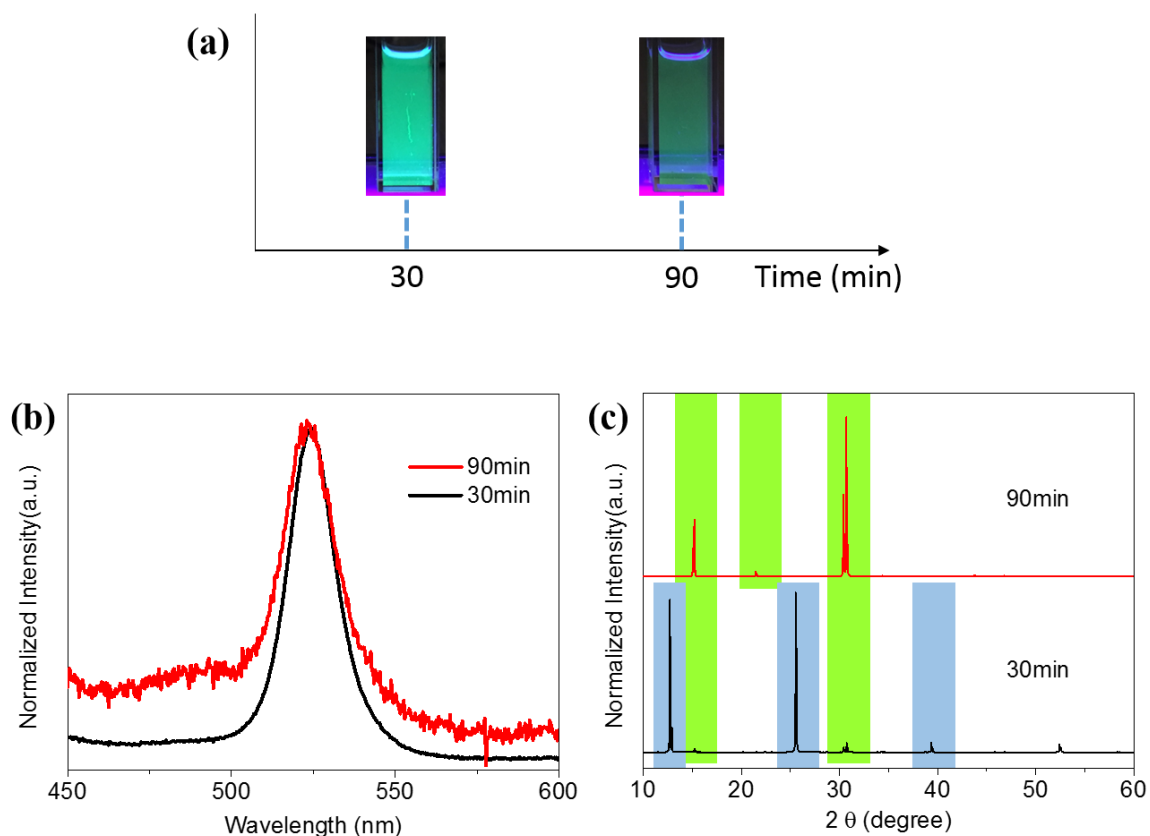


Figure S2. (a) Photos, (b) PL spectra, and (c) XRD patterns of the sample with modified reaction parameters collected at 30 and 90min. The photos were taken under UV light illumination. In (c), Cs₄PbBr₆ and CsPbBr₃ signals are labeled in blue and green, respectively.

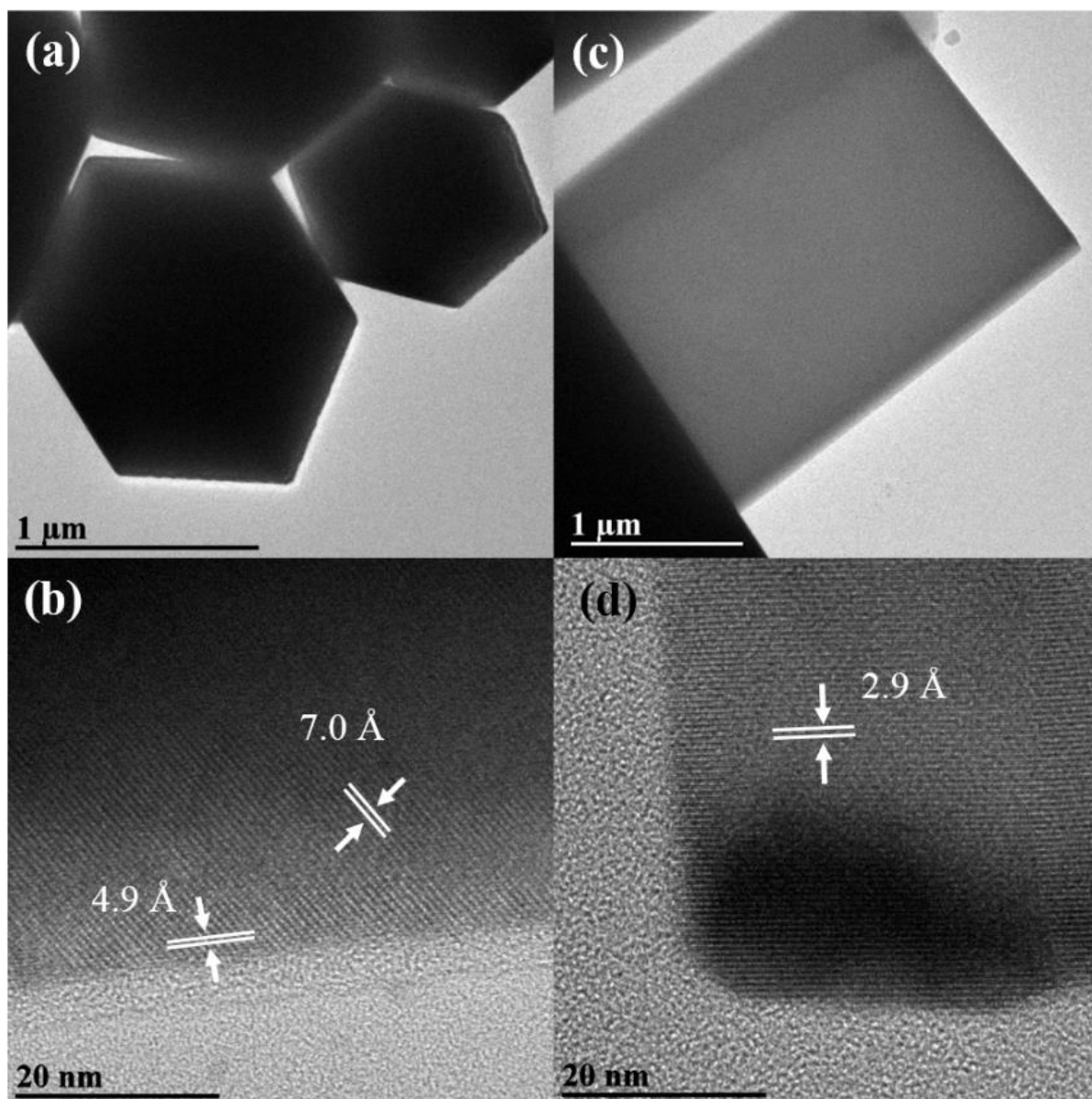


Figure S3. HRTEM images of the sample with modified reaction parameters collected at (a,b) 30 and (c,d) 90 min. The lattice fringes of 7.0 and 4.9 Å found in (b) can be assigned to (2 0 2) and (0 1 2) of hexagonal Cs_4PbBr_6 , respectively, while the fringe measured to be 2.9 Å in (d) corresponds to ($\bar{2}$ 0 $\bar{2}$) of orthorhombic CsPbBr_3 .

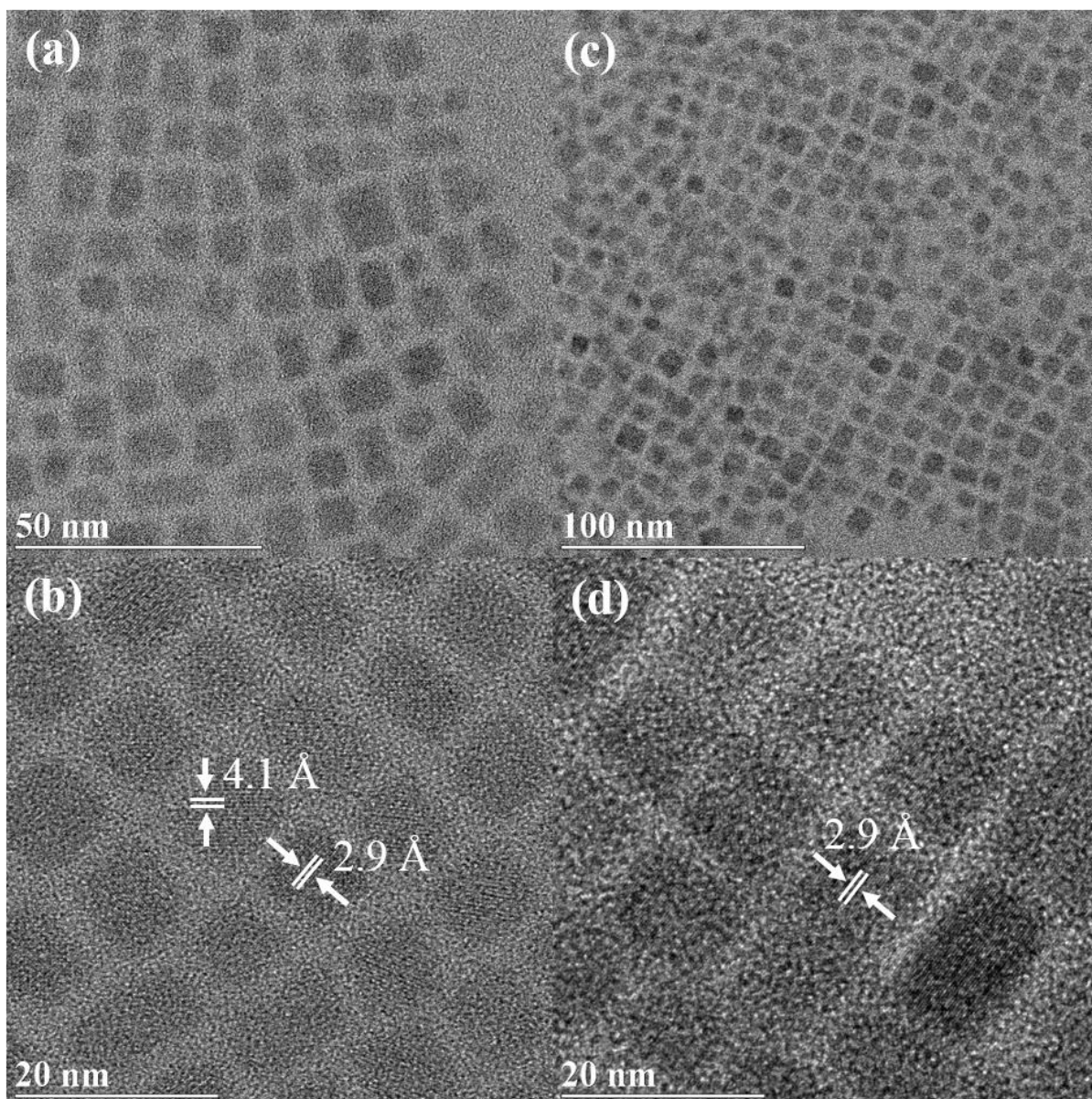


Figure S4. HRTEM images of the control sample collected at (a,b) 30 sec and (c,d) 30 min. The lattice fringes of 4.1 and 2.9 Å are assigned to $(\bar{2} 0 0)$ and $(\bar{2} 0 \bar{2})$ of orthorhombic CsPbBr_3 , respectively.

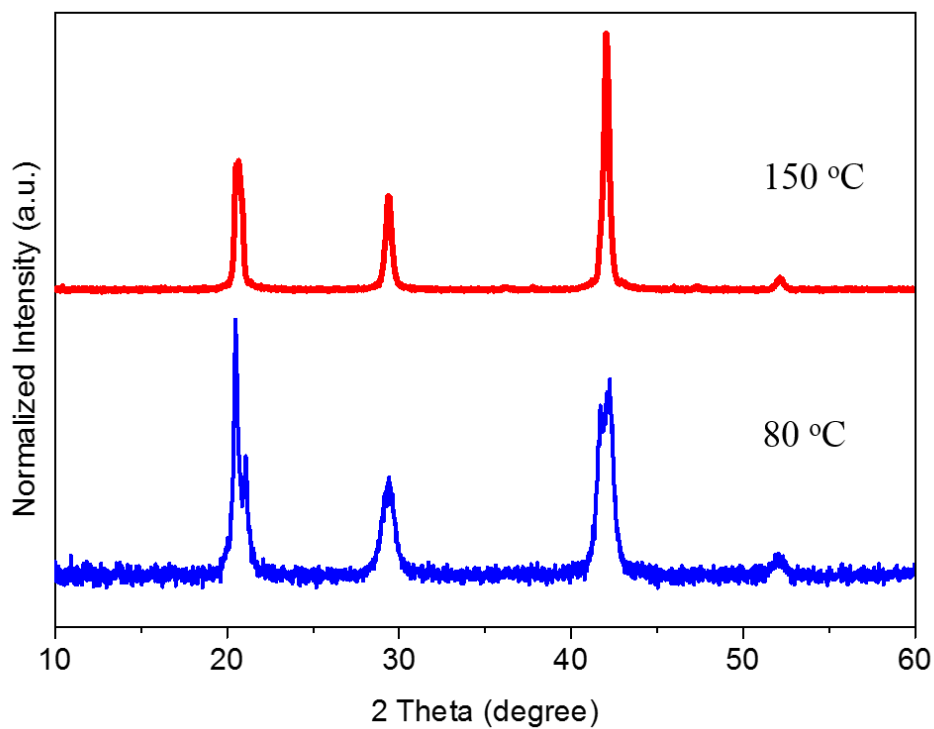


Figure S5. XRD patterns of CsBr nanocrystals prepared at 80 and 150 °C.

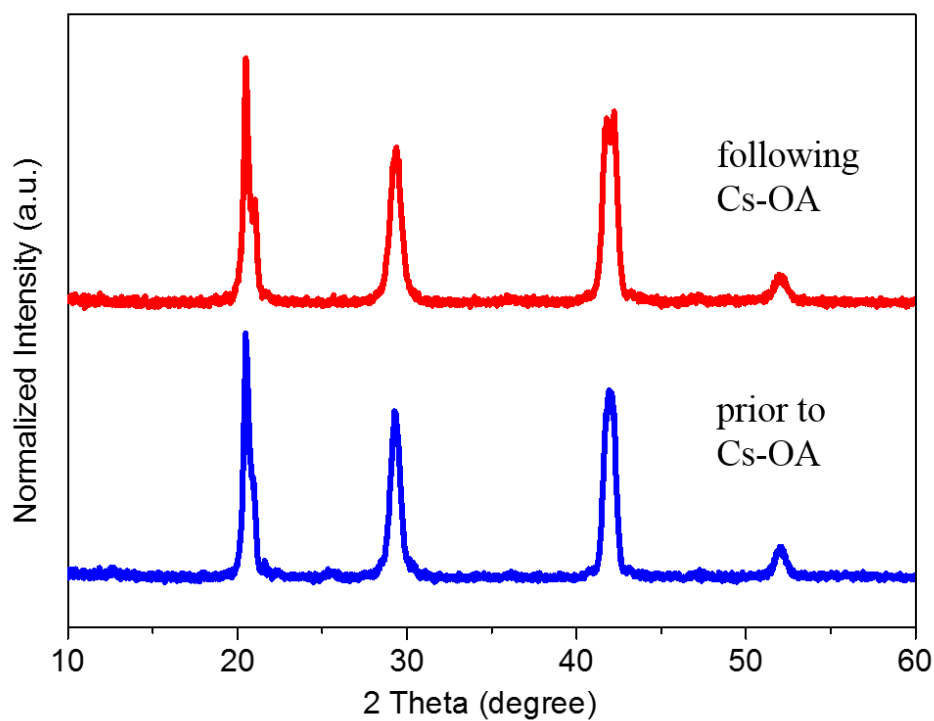


Figure S6. XRD patterns of CsBr nanocrystals prepared at 120 °C with addition of extra Pb-OA prior to or following the injection of Cs-OA. The final molar ratio of Cs:Pb:Br in the mixture is 1:1.2:1.

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