

**C5**

Business Information in a Global Context

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Crowne Plaza London – The City Hotel, UKUP TO 18.5 CPD  
CREDITS AVAILABLE

6th Annual Conference on

# Economic Sanctions & Financial Crime

*Understand and mitigate new regulatory and enforcement risks***SPECIAL ADDRESS FROM:**Office of Foreign Assets Control  
(OFAC) US Treasury Department  
OFSI, HM Treasury (UK)**PLUS! BY POPULAR DEMAND:**DEAR REGULATOR,  
I HAVE A QUESTION...: Moderated  
Session (see inside for more details...)**PRACTICAL WORKSHOPS**on the 14<sup>th</sup> and 17<sup>th</sup> of November.

- A** High stakes in compliance: How to position yourself as a next generation compliance leader
- B** You are **being watched**: How to deal effectively with multiple regulators and investigators in multiple jurisdictions
- C** How to successfully navigate grey areas in **contract management** in the context of sanctions
- D** INs and OUTs of sanctions **risk assessment**

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Join regulators and industry leaders to get answers to the most pressing compliance and enforcement issues with highlights including:

- **AN INTERACTIVE PANEL** with top regulators on the global economic sanctions agenda for 2018 and beyond: REGULATORY perspective, ENFORCEMENT focus and EXPECTATIONS
- **EXPERT GUIDANCE** for mitigating new risks related to RUSSIA, IRAN and CUBA
- **BEST PRACTICES** and supporting processes to drive better investigation outcomes and dealing with the aftermath of a HEAVY FINE
- **IN-DEPTH COVERAGE** of uncovering UBOs to protect yourself against doing business with mala fide parties

**NEW!**

- ✓ **ROUNDTABLE**: Large organisations on taking an INTEGRATED APPROACH and other cultural measures to develop a strong GOVERNANCE, RISK AND COMPLIANCE system
- ✓ **THOUGHT LEADERS' VIEW** on the changing face of CORPORATE CRIMINAL LIABILITY
- ✓ **REAL-LIFE SCENARIOS** on complying with international sanctions provisions in corporate financing and managing M&A due diligence

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**C5's conference on Economic Sanctions & Financial Crime is an event not to be missed by any professional of this sector. It offers an update of the industry by skilful international speakers and a unique opportunity for networking.**

Director Group Compliance, Group Compliance Division, Bank of Cyprus (Cyprus)

## SIGN UP TODAY FOR C5's PREMIER SANCTIONS AND FINANCIAL CRIME EVENT IN LONDON

In today's ever-changing regulatory environment, if you – like many other compliance and risk professionals across the globe – are asking questions such as:

- ✓ Do I – as a compliance/legal officer of a multi-national organisation spanning over various continents – fully understand to what extent my company is exposed to certain sanctions and financial crime risks?
- ✓ What practical steps should I be undertaking to set up an effective control framework given the recent experience when little warning or consultation was offered? Can my organisation effectively cope with the sudden removal or imposition of sanction?
- ✓ Who should the compliance assurance be reported to once it is done and what are the next steps from there?
- ✓ What are the most common mistakes my fellow compliance officers make and how can I avoid them? What does it take for me, as a compliance officer to "Gain a voice" in the boardroom?
- ✓ What is my personal responsibility as a compliance officer when the regulator comes knocking?
- ✓ How do I balance robust risk management with operational efficiency whilst offering seamless customer experience? What factors may impact onboarding timelines and how I can eliminate/minimise them?
- ✓ What are the key issues to watch out for before deciding to make a voluntary disclosure?

then you should attend C5's annual "**Economic Sanctions and Financial Crime**" which is taking place in London every November. Register today for a truly excellent opportunity to benchmark your compliance protocols and initiatives with those of the industry leaders. Get your questions answered by global and national enforcement agencies, senior compliance, risk management and legal officers from financial institutions; large and medium-sized corporations; private practice lawyers and leading solutions providers.

Make the most out of your attendance and benefit from practical pre- and post-conference workshops including:

- A** High stakes in compliance: How to position yourself as a next generation compliance **leader**
- B** You are **being watched**: How to deal effectively with multiple regulators and investigators in multiple jurisdictions
- C** How to successfully navigate grey areas in **contract management** in the context of sanctions
- D** INs and OUTs of sanctions **risk assessment**

We look forward to welcoming you in London this November.

**Anita Arthur**

Industry Manager

**C5 Communications**

+44 (0)20 7878 6925 | A.Arthur@C5-Online.com

## AN EXCEPTIONAL CONFERENCE FACULTY INCLUDING:



Frank Boudra  
**OFAC**



David McLean  
**OFSI, HM Treasury**



Luís Carvalho  
**European Central Bank**



Lloyd Meadows  
**Standard Chartered Bank**



Simon Wilkin  
**Sumitomo Mitsui Banking Corporation Europe**



Nichola Peters  
**Addleshaw Goddard LLP**



Julie Cooper  
**Spectris**



Marios Skandalis  
**Bank of Cyprus**



Nancy A. Fischer  
**Pillsbury Winthrop Shaw Pittman**



Geert Delrue  
**AML/CFT**



Michael E. Zolandz  
**Dentons**



Jessica Ramos  
**SWIFT**



Ted Datta  
**Bureau van Dijk**



Matt Bell  
**ZTE Corp. (China)  
ZTE (USA) INC.**



Livio Russo  
**Generali**



Susan Leddy  
**Swiss Re**



Monika Wieczorkowska  
**Danske Bank**



James Clancey  
**Royal Bank of Scotland**



Benno Casutt  
**Zurich Insurance Company**



Olivier Goffard  
**Euroclear Group**



Michael S. Casey  
**Kirkland & Ellis LLP (UK)**

Join the Conversation



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International Trade Compliance Experts: Networking Group



**Matt Butter**  
Addleshaw Goddard LLP



**Gavin Proudley**  
Dow Jones



**Anna Bradshaw**  
Peters & Peters



**Maya Lester QC**  
Brick Court Chambers

## WHO WILL YOU MEET AT THE CONFERENCE:

- > Government Regulators
- > Global Sanctions/Export Controls/Global Trade Compliance Officers, Lawyers and Solution Providers
- > OFAC Compliance Officers
- > Government and Regulatory Lawyers
- > Internal Control Officers in Financial Institutions
- > Legal Counsel from Financial Institutions
- > AML and Financial Crime Risk Management Officers, Lawyers and Solution Providers
- > Forensic Accountants, Sanctions Screening and AML Transaction Monitoring Solution Leaders

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# C5

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The C5 Group, comprising C5 in Europe, The Canadian Institute, and American Conference Institute, is a leading global events and business intelligence company.

For over 30 years, C5 Group has provided the opportunities that bring together business leaders, professionals and international experts from around the world to learn, meet, network and make the contacts that create the opportunities.

Our conferences and related products connect the power of people with the power of information, a powerful combination for business growth and success.

## PRACTICAL WORKSHOP A TUESDAY, 14 NOVEMBER 2017

**9.00 – 12.00** (Registration begins at 8:45)

### HIGH STAKES IN COMPLIANCE: HOW TO POSITION YOURSELF AS A NEXT GENERATION COMPLIANCE LEADER

*This highly practical, hands-on workshop session will encourage frank and open debate among like-minded professionals who have compliance officers' advancement at heart. Through a wide variety of practical exercises and real-life scenarios, the workshop leaders will compare and contrast key stakeholder expectations and responsibilities of a compliance officer thus helping you to develop the essential skills to run/be a part of a successful "Built-In" compliance division and position yourself as a next generation compliance leader*

- What tactical and strategic skills a compliance officer must possess to be able to influence with integrity and get a successful outcome with limited resources?
- Common mistakes compliance officers make and how to avoid them
- From "Bolt-On" to "Built-In": The role of a compliance officer in creating synergies between regulatory compliance, risk and finance functions to respond effectively to the global and national sanctions regulatory changes
- Establishing a productive reporting relationship with the board of directors and maintain the independence of the compliance function in case of disagreement with the business
- What is my personal responsibility as a compliance officer for compliance failures when my organisation is involved in any wrongdoings

Led by:



**Jeffrey Cottle**  
Partner  
Steptoe & Johnson  
(UK)

## PRACTICAL WORKSHOP B TUESDAY, 14 NOVEMBER 2017

**13.00 – 16.00** (Registration begins at 12:45)

### YOU ARE BEING WATCHED: HOW TO DEAL EFFECTIVELY WITH MULTIPLE REGULATORS AND INVESTIGATORS IN MULTIPLE JURISDICTIONS

*With regulators stepping up their enforcement efforts, both within and across several jurisdictions, effectively managing complex, concurrent and often overlapping global investigations is more important than ever. Attend this advanced, highly practical workshop and receive crucial information on how to manage the multitude of ever changing legal and regulatory demands and prepare your organisation for multi-authority, multi-jurisdiction parallel investigation*

- Multiple jurisdictions and multiple timetables: where are your potential weak spots?
- What do different regulators require in respect of statements of historic conduct
- Assessing and mitigating jurisdictional risks and conflicts
- Public statements to a national regulator in one jurisdiction: what investigations could be arising in another jurisdiction?
- How to respond effectively to the increased level of cooperation between enforcement agencies, regulatory watchdogs and prosecutors from different countries
- When the regulator comes knocking on your door: steering the scope of internal investigations

Co-Led by:



**Simon Wilkin**  
Head of Financial Crime  
Group, PD –  
Legal and Compliance  
Department  
Sumitomo Mitsui  
Banking Corporation  
Europe (UK)



**Lloyd Meadows**  
Director, Sanctions  
Advisory Financial  
Crime Compliance  
Standard Chartered  
Bank (UK)



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## MAIN CONFERENCE DAY ONE

### WEDNESDAY, 15 NOVEMBER 2017

08.00

Registration, Refreshments and Networking

09.00

#### OPENING REMARKS FROM THE CHAIR



**Marie Bolton-Jones**  
Group Director of Financial Crime  
**Willis Towers Watson (London)**

09.15

#### FUTURE OF GLOBAL ECONOMIC SANCTIONS: REGULATORY PERSPECTIVE, ENFORCEMENT FOCUS AND EXPECTATIONS\*\*



**Frank Boudra**  
Senior Advisor  
**Office of Foreign Assets Control (OFAC)**  
**US Treasury Department (USA)**



**David McLean**  
Deputy Head of **OFSI**  
& Head of Compliance and Operations  
**HM Treasury (UK)**



**Luís Carvalho**  
Head of Section – Enforcement, DIV Enforcement  
and Sanctions DG/MS4/ESA – Micro-Prudential  
Supervision IV  
**European Central Bank (Germany)**



**Michael E. Zolandz – Moderator**  
Chair, Federal Regulatory and Compliance  
**Dentons (USA)**

- Direction of US, UK and the EU sanctions policy for the next year
- US sanctions policy:
  - Overview of recent significant developments in key US sanctions programmes (Russia, Iran, Cuba, North Korea, Venezuela sanction regimes; “targeted” sanctions; secondary/extraterritorial sanctions; “facilitation”)
  - Countering America's Adversaries Through Sanctions Act (CAATSA)
  - What can FIs and other companies take away from some of OFAC's enforcement actions this year? What are some of the trends and pitfalls evidenced by this year's actions?
- UK sanctions policy:
  - How is the UK's new international sanctions regulatory architecture evolving? Has Brexit put UK sanctions policy at risk?
  - Unlocking penalty powers: How are OFSI's new compliance powers are being used
- Outlining EU sanctions policy and priorities for 2018 and beyond

10.30

Refreshments and Networking

11.00

#### EFFECTIVELY MANAGING RUSSIAN SANCTIONS



**Lloyd Meadows**  
Director, Sanctions Advisory Financial Crime Compliance  
**Standard Chartered Bank (UK)**



**Susan Leddy**  
Senior Legal Counsel, Group Operations, Director, Legal & Compliance  
**Swiss Re (Switzerland)**



**Gavin Proudley – Moderator**  
Global Director – Due Diligence  
**Dow Jones (UK)**

- Enforcement update on US and EU sanctions: are we likely to see enforcement actions against companies violating sanctions targeting Russian companies
- How to prepare and effectively respond to potential “overnight” changes coming your way: what systems must be put into place to avoid engaging in prohibited activities and to ensure that newly sanctioned parties can be quickly added to checks
- Best practices for overcoming challenges related to the project-specific nature of the Russian sectoral sanctions

12.00

#### SANCTIONS RISKS RELATED TO IRAN: UK, EU AND US PERSPECTIVE



**Marie Bolton-Jones**  
Group Director of Financial Crime  
**Willis Towers Watson (London)**



**Livio Russo**  
Group Head of Financial Crime, Group Compliance  
**Generali (Italy)**



**Benno Casutt**  
Group Financial Crime Officer & Head of Compliance  
Advisory, **Zurich Insurance Company (Switzerland)**



**Michael E. Zolandz – Moderator**  
Chair, Federal Regulatory and Compliance  
**Dentons (USA)**

- Sources of US sanctions law: changes based on JCPOA; executive orders; the snap back risks
- Successfully navigating the complexity of U.S. and/or EU regulations when transacting with Iran
- Do's and don'ts of making and receiving payments, integrating payment services and facilitating transactions
- Understanding to what extent your company is exposed to certain risks related to Iran sanctions
- What are the practical steps for setting up an effective control framework in a multi-national organisation spanning over various continents once the risks are identified?
- Getting comfort that the controls work effectively (assurance) once the control framework is implemented
- Who should the compliance assurance be reported to once it is done and what are the next steps

13.00

Lunch and Networking

14.00

#### LARGE ORGANISATIONS SPEAK ON INTEGRATED APPROACHES AND OTHER CULTURAL MEASURES TO DEVELOP A STRONG GOVERNANCE, RISK AND COMPLIANCE SYSTEM

*Senior internal audit and control officers will share their best practices on how they work together with compliance officers on tackling the most critical issues of governance, risk and compliance amid increased levels of expectations and scrutiny by foreign and local regulators and enforcement bodies, key stakeholders and external auditors.*



**Marios Skandalis**  
Director Group  
Compliance, Group  
Compliance Division  
**Bank of Cyprus**  
(Cyprus)



**Jessica Ramos**  
Chief of Staff, CEO  
Office  
**SWIFT (Belgium)**



**Monika Wiczorkowska**  
Senior Audit Manager,  
Group Internal Audit  
**Danske Bank A/S**  
(Poland)



**Tom Townson – Moderator**  
Partner, Head of  
Financial Crime  
**Grant Thornton UK LLP**  
(UK)

- How often should you carry out a substantive and structured sanctions law risk assessment?

Join the Conversation



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International Trade Compliance Experts: Networking Group



- To what extent should you be conducting pro-active ad-hoc audits?
- What is the scope of sanctions policies for the international business of your organisation?
- How an integrated risk based approach can help your organisation to build a road-map to strong governance, risk and compliance system by helping to anticipate and mitigate potential legal, regulatory and operational risks
- How internal audit and compliance functions can work together to establish corporate culture and drive business forward without compromising its integrity

15.00

## SANCTIONS POST BREXIT



**Maya Lester QC**  
Barrister  
**Brick Court Chambers (UK)**

15.30

## Refreshments and Networking

16.00 – 17.00

## DEAR REGULATOR, I HAVE A QUESTION...: Moderated Session\*\*

*This year following an overwhelming demand from our audience, we have put together a new, exclusive session, allowing participants to ask questions to OFAC, OFSI and European Central Bank live, anonymously or otherwise.*



**Moderated by:**  
**Nichola Peters**  
Partner  
**Addleshaw Goddard LLP (UK)**

17.00 – 18.00

## NETWORKING DRINKS

*Wind down and sample a selection of drinks as you end the first day by networking, comparing notes and sharing visions with fellow conference attendees around industry trends and developments.*

## MAIN CONFERENCE DAY TWO THURSDAY, 16 NOVEMBER 2017

08.15

## Refreshments and Networking

09.00

## OPENING REMARKS FROM THE DAY CHAIR



**Gavin Proudley**  
Global Director – Due Diligence  
**Dow Jones (UK)**

09.15

## KEY TO SUCCESSFUL INVESTIGATIONS AND DEALING WITH AN AFTERMATH OF A HEAVY FINE



**Matt Bell**  
Deputy Chief Compliance Officer, Chief Export Compliance Officer & Legal Counsel - **ZTE Corp. (China)**  
Chief Compliance Officer & Legal Counsel - **ZTE (USA) INC.**



**Nichola Peters**  
Partner  
**Addleshaw Goddard LLP (UK)**



**Matt Butter**  
Associate  
**Addleshaw Goddard LLP (UK)**

- Steering the scope of disclosure: who knew and who had institutional knowledge? How high was the information? Were the jurisdictional risks clearly understood? Local rules of lawyer-client privilege as it applies to internal v external counsel
- Effectively using internal resources: who should be responsible for conducting investigations
- Managing employees: getting the most out of employee interviews - how to persuade employees to cooperate with external counsel
- Managing business partners and third parties during the investigations process
- Remedial actions: managing tension between investigating/disclosing and remediation/compliance - the privilege of cooperation
- Moving past the investigation and disclosures and finding silver lining when having to go through government investigations
- Recalibrating training and communications strategy: how to ensure proper understanding at a basic level - overcoming challenges with auditing every local site and every local branch
- The importance of engaging middle management
- Deploying artificial intelligence to aid investigation processes

10.15

## REINFORCING FINANCIAL CRIME RISK MANAGEMENT IN THE SECURITIES INDUSTRY: ISSA FINANCIAL CRIME COMPLIANCE PRINCIPLES (FCCP)



**Olivier Goffard**  
Head of Group Compliance & Ethics  
**Euroclear Group (Belgium)**

10.45

## Refreshments and Networking

11.15

## PREPARING AND ADDRESSING A VOLUNTARY SELF-DISCLOSURE



**Matt Bell**  
Deputy Chief Compliance Officer, Chief Export Compliance Officer & Legal Counsel  
**ZTE Corp. (China)**  
Chief Compliance Officer & Legal Counsel  
**ZTE (USA) INC.**



**Michael S. Casey**  
Partner  
**Kirkland & Ellis LLP (UK)**

- Making a voluntary disclosure: what are the key issues to watch out for before deciding to go down that route
- "Humanising" your company to the Government: the importance of building relations with the regulator
- Which regulator should you make an initial disclosure to?

11.45

## COMPLYING WITH INTERNATIONAL SANCTIONS PROVISIONS IN CORPORATE FINANCING AND MANAGING M&A DUE DILIGENCE



**Nancy A. Fischer**  
Partner  
**Pillsbury Winthrop Shaw Pittman (USA)**



**Svetlana Djurdjevic**  
UK&EMEA Head of Sanctions  
**UBS (London)**

- Determining if the sanctions clause you agreed to is stricter than the law requires
- What happens if the sanctions change in a way that conflicts with the clause
- Did you commit your entire corporate family to comply and how do you ensure compliance?
- Identifying and scoping sanctions risk in M&A transactions
- Overlap of sanctions and export control risk in M&A due diligence



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12.30

## BENEFICIAL OWNERSHIP: THE ISSUE IN THIRD PARTY DUE DILIGENCE



**Ted Datta**  
Director GRC Solutions  
**Bureau van Dijk (UK)**

- The heightened focus on beneficial ownership identification within CDD
- Ongoing regulatory impact on availability and quality of data sources
- The impact of ownership on Sanctions programmes
- Case study: delivering automated CDD solutions

13.00

## Lunch and Networking

14.00

## PREPARE FOR THE UNEXPECTED: SANCTIONS RISKS IN TRADE FINANCE AND EXPORT CONTROLS



**Simon Wilkin**  
Head of Financial Crime Group, PD – Legal and Compliance Department  
**Sumitomo Mitsui Banking Corporation Europe (UK)**



**Julie Cooper**  
International Trade Compliance Manager  
**Spectris (UK)**



**Anna Bradshaw – Moderator**  
Partner  
**Peters & Peters (UK)**

- New financial crime risks related to trade finance transactions  
financial institutions must be aware of (risk assessment; documentation process and application of controls)

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- How well do you know your customer? Challenges with obtaining a thorough understanding of your customers' and their counterparties' business models
- What's the challenge when looking at a transaction? Is the transaction in line with what you know about this customer?

15.00

## FOCUSING ON AML AND CTF CONTROLS



**Geert Delrue**  
Detective Commissioner Law Enforcement  
**AML/CTF (Belgium)**



**James Clancey**  
Head of AML/CDD Remediation  
**Royal Bank of Scotland (UK)**

- New powers to law enforcement authorities: what do greater investigation periods mean for financial institutions
- Best practice for detecting and managing the risks of terrorist finance: risk assessment; third party relationships, including correspondent networks; monitoring social media
- Information disclosure and difference in privilege rules across jurisdictions: reporting on suspected irregularities and cooperating with the authorities
- Criminal Finances Bill: Looking at the new legislation through law enforcement and AML lenses

16.00

## CO-CHAIRS' CLOSING REMARKS

16:00 - 16:30

## Refreshments and Networking

## POST-CONFERENCE WORKSHOP C FRIDAY, 17 NOVEMBER 2017

9.00 – 12.00 (Registration begins at 8:45)

### HOW TO SUCCESSFULLY NAVIGATE GREY AREAS IN CONTRACT MANAGEMENT IN THE CONTEXT OF SANCTIONS

*By using practical examples and real-life scenarios, this advanced, highly focused workshop will take you through possible legal mechanisms and available remedies to effectively mitigate the impact of sanctions on contractual agreements and resolve potential contractual disputes.*

- What contractual clauses must be included to adequately capture OFAC and OFSI sanctions risks?
- Long-term contracts and snap back provisions
- Assessing the viability of get-out clauses in terms of commercial arrangements
- Mitigating the wider impact of sanctions on those who have already engaged in business with non-OFAC SDNs
- Issues of enforceability. Challenging sanctions-related awards through the courts in various jurisdictions
- Using sanctions exclusion clauses and warranties on insurance and reinsurance contracts
- Challenging sanctions-related awards through the courts in various jurisdictions
- Special considerations for lending transactions

Led by:



**Nancy A. Fischer**  
Partner  
**Pillsbury Winthrop Shaw Pittman (USA)**

## POST-CONFERENCE WORKSHOP D FRIDAY, 17 NOVEMBER 2017

12:30 - 15:30 (Registration begins at 12:15)

### INs AND OUTs OF SANCTIONS RISK ASSESSMENT

*This practical and interactive working group will focus on hugely topical areas of conducting an effective sanctions risk assessment helping you to ensure that you have proper procedures on par with the latest expectations of enforcement agencies. This unique, closed-door session will allow for an interactive discussion, allowing ample time for Q&A*

- Essential components of effective sanctions compliance assessments
- Who, what, when: staffing, scope and frequency of testing. Money-saving methods: mini-audits, technology shortcuts, and other tools to stretch resources
- What can be done to make sure your compliance programme is constantly evolving based on changing risk profiles?
- Uncovering new red flags for activities, transactions and schemes
- How to build an internal compliance team based on the results from your risk assessment
- Working with finance, internal audit and accounting departments in risk assessment and reporting findings to compliance officers, audit committees and legal counsel

Led by:



**Michael E. Zolandz**  
Chair, Federal Regulatory and Compliance  
**Dentons (USA)**

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DOW JONES

Dow Jones Risk & Compliance is a global provider of third party risk management and regulatory compliance solutions. Working with clients across the globe, we deliver products and services to help organizations evaluate third parties effectively and efficiently.

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BUREAU VAN DIJK

A Moody's Analytics Company

- Research beneficial ownership
- On board quickly
- Run automated checks and monitoring
- Create customised risk scores
- Generate secure and tailored reporting

Website: [www.bvdinfo.com/compliance](http://www.bvdinfo.com/compliance)

#### Grant Thornton

Grant Thornton's financial crime practice provides businesses with advice, guidance and support through the identification, mitigation and remediation of financial crime risks. Our team are well-versed in undertaking large-scale international assignments. Areas we cover include: anti-money laundering, counter terrorism financing, anti-bribery and corruption, UK Criminal Finances Act, sanctions, fraud and investigations, market abuse, transaction monitoring, digital forensics, Cybercrime.



Pillsbury, a dynamic full-service law firm, has offices located across the globe to service our clients. Pillsbury's international trade practice advises clients on a wide range of topics including export controls, economic embargoes and sanctions, anti-money laundering compliance, foreign investment and industrial security regulations, and anticorruption laws. Pillsbury's Global Trade & Sanctions blog can be found at [www.globaltradeandsanctionslaw.com](http://www.globaltradeandsanctionslaw.com)

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[Registration@C5-Online.com](mailto:Registration@C5-Online.com)



PHONE:  
+44 20 7878 6888

## Registration Information

Registration Code

B00-598-598L18.E

Conference Code

598L18-LON

Registration Type	SAVE OVER £300 Register & Pay by 15 September 2017	SAVE OVER £150 Register & Pay by 27 October 2017	Register & Pay after 13 November 2017
<input type="checkbox"/> Conference + All Four Workshops	£2470	£2620	£2774
<input type="checkbox"/> Conference + 3 Workshops <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D	£2345	£2495	£2649
<input type="checkbox"/> Conference + 2 Workshops <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D	£2195	£2345	£2499
<input type="checkbox"/> Conference + 1 Workshop <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D	£1995	£2145	£2299
<input type="checkbox"/> Conference Only	£1695	£1845	£1999
<input type="checkbox"/> Conference on Demand Only		£595	
All Delegates will receive an online link to access the conference materials as part of their registration fee. Conference materials are available 2 working days post event.			

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Payment must be received in full by the conference date to ensure admittance. All discounts will be applied to the Conference Only fee (excluding add-ons), cannot be combined with any other offer, and must be paid in full at time of order. Group discounts available to 2 or more individuals employed by the same organisation, when registering at the same time.

### Delegate Substitutions and Cancellations

You must notify us by email at least 48 hrs in advance of the conference if you wish to send a substitute participant. If you are unable to find a substitute, please notify us in writing no later than 10 days prior to the conference date and a credit voucher will be issued to you for the full amount paid, redeemable against any other C5 conference in the next 12 months. Delegates may not "share" a pass between multiple attendees without prior authorization.

All cancelled conference registrations will be subject to a cancellation fee of £250 and applicable VAT. If the conference currency is EUR or USD a €350 and applicable VAT or \$350 cancellation fee will apply.

Any product extensions (inclusive of workshops, receptions, masterclasses, etc.) will be subject to a cancellation fee of £40 and applicable VAT. If the conference currency is EUR or USD a €50 and applicable VAT or \$50 cancellation fee will apply.

If you prefer, you may request a refund of fees paid less the applicable cancellation fee. No credits or refunds will be given for cancellations received within 10 days of the conference start date. No liability is assumed by C5 for changes in program date, content, speakers or venue. C5 reserves the right to cancel any conference it deems necessary and will, in such event, make a full refund of any registration fee, but will not be responsible for airfare, hotel or other costs incurred by registrants.



### WHAT ATTENDEES SAY ABOUT C5'S SANCTIONS EVENTS:

If you want to know what's happening in global sanctions compliance, this is where you go."

Senior Regulatory Advisor, Commerzbank (Germany)

The conference was very useful and insightful indeed and I especially appreciated the opportunity to get updates on sanctions and export control questions from the regulators OFAC and OFSI."

Legal, Compliance & Quality Director BACIS, Novo Nordisk LLC (Russia)

## KIRKLAND & ELLIS INTERNATIONAL LLP

Kirkland & Ellis is a global law firm with more than 2,000 attorneys representing clients in litigation, dispute resolution/arbitration, intellectual property, M&A, restructuring, and private equity. The Firm has 13 offices: London, Beijing, Boston, Chicago, Hong Kong, Houston, Los Angeles, Munich, New York, Palo Alto, San Francisco, Shanghai and Washington, D.C.



### VENUE INFORMATION AT A GLANCE

Date: 14 – 18 November 2017  
Time: 09.00 – 16.30  
Venue: Crowne Plaza London – The City  
Address: 19 New Bridge St, London, EC4V 6DB  
Telephone: 0871 942 9190