

All about that BASE

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Overview

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OAI-PMH

Metadata

DOI

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BASE: Bielefeld Academic Search Engine

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BASE harvests, aggregates, enriches and exposes OAI metadata, mainly from institutional repositories (IR), subject repositories and open access (OA) journals.

BASE is ...

- the second largest academic search engine by index size (behind GScholar) [Khabsa & Giles, 2014]
- an OAI-PMH aggregator, metadata mirror of the IR/OA landscape, IR checker (both automatic at <http://oval.base-search.net> and in person via e-mail)
- an OAI-PMH service provider
- a search API provider
- on Twitter: @BASEsearch

Scope

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The BASE scope:

- OA Repositories world-wide
- Academically valuable contents
- Focus on Institutional Repositories
- Aggregators (RePEc)
- Subject Repositories (arXiv, CiteSeerX, PubMed etc.)
- Electronic journals (e.g. OA journals from CrossRef)
- Digital collections
- Dataset repositories

History

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- 2001 Building a search engine based follow-up to a metasearch system
- 2004 Official Start (using FAST Data Search)
- 2005 Functionalities search history, sorting added
- 2006 Starting participation in EU projects (DRIVER), Search API
- 2007 Introducing multilingual search
- 2008 Index > 10 million records
- 2009–2011 automatic DDC classification of OAI-DC metadata (ta, DFG)
- 2011 Switch to open source platform (Lucene/Solr, VuFind)
- 2012 OAI Interface, data delivery of subject sections
- 2014 OA search rank boosting (on by default)
- 2016 Index > 100 million records
- 2017/05 ORCID claiming in BASE (funded by DFG project ORCID DE)
- 2017/09 ORCID Search & Link wizard integrates BASE as a claiming service

The Present

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Through BASE you can search:

- Number of documents: 122,231,419 (ca. 70% open access; 42.5% confirmed OA)
- Number of content sources: 6,103
- Number of source countries: 127

source: `https://www.base-search.net/about/en/about_sources.php?menu=2`

Three ways to access BASE

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- web interface: researchers, information specialists
- search API: many website that do not have their own search index, e.g. meta search engines MetaGer, etools.ch, and Searx.
- OAI-PMH API: German National Library (DNB), Discovery Services (EBSCO, Karlsruher Virtueller Katalog), alternative DOI resolvers (DOAI, and, until recently, oaDOI) and other innovative Open Access services such as Dissemin and Open Knowledge Maps.

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OAI-PMH

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Open Archives Initiative – Protocol for Metadata Harvesting
since ca. 2000

pros:

- simple, time-tested, widely spread and stable (specification)

cons:

- technical implementation
- misconfigurations
- slow for large repositories
- HTTP-based but no quite RESTful
- not enough transparency regarding availability

Alternatives to OAI-PMH

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- Web harvesting (unstructured data unless Semantic Web)
- ResourceSync <https://www.openarchives.org/rs/toc>
- Linked Open Data?

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Dublin Core

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Dublin Core (DC) is the only metadata format all OAI repositories must support.

It is simple, flexible and somewhat vague.

Issue at hand: identifiers

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- OAI Identifier: often misconfigured, e.g., hostname not set, i.e. `<dc:identifier>http://localhost/9160/</dc:identifier>` instead of `<dc:identifier>http://eprints.an.edu/9160/</dc:identifier>`
- Handle/DOI/ISSN/ISBN/URN/PMCID
- Author/Organization/Funder IDs

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DOIs in the wild

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Where to look for DOIs:

- We found DOIs in the following Dublin Core elements: identifier, relation, source, description, rights.
- Those in dc:relation are likely to refer to other documents. Those in dc:description can, too.

How to identify DOIs:

- Not trivial: The suffix can be made up of any Unicode characters, so where does it end?
- Real specimen:
10.1002/(SICI)1521-3765(19990604)5:6<1728::AID-CHEM1728>3.3.CO;2-M
- Most pathologic case: comma as last character of a DOI – or not?

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ORCID iDs in Dublin Core

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- source: IFPRI ca. 2017

<dc:creator><http://orcid.org/0000-0002-1179-0189> Ball, Anna</dc:creator>

<dc:creator><http://orcid.org/0000-0001-9794-2026> Van den Bold, Mara;

<http://orcid.org/0000-0002-8501-5943> Gillespie, Stuart;

<http://orcid.org/0000-0001-5988-2894> Menon, Purnima</dc:creator>

- other creative solutions: (search in BASE)

ORCID-DE and DINI intend to publish joint recommendations this year.

Alternatives to Dublin Core (1/2)

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Qualified Dublin Core

Dublin Core can be extended. However, none of the extensions is popular. QDC is so rare in the wild, it is not worth looking at.

MARC

source: Hindawi in 2015

```
<datafield tag="700" ind1=" " ind2=" "> <subfield code="a">Awais,  
Muhammad</subfield> <subfield code="u">Department of Industrial Engineering,  
King Abdulaziz University, P.O. Box 344, Rabigh 21911, Saudi Arabia</subfield>  
<subfield code="j">ORCID-0000-0002-5229-8780</subfield> </datafield>
```

Alternatives to Dublin Core (2/2)

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MODS

```
source: https://pub.uni-bielefeld.de/oai?verb=
GetRecord&identifier=2907546&metadataPrefix=mods
<mods version="3.3">
```

```
...
```

```
<name type="personal">
<namePart type="given">Amelie</namePart>
<namePart type="family">Bäcker</namePart>
<identifier type="unibi">53852847</identifier>
<description xsi:type="identifierDefinition"
type="orcid">0000-0001-6015-2063</description>
</name>
```

ORCID in the wild

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Repositories we found ORCID iDs in used the following software:

- OJS
- Diva
- DSpace
- DSpace XOAI
- DigitalCommons / BEPress
- Invenio
- ContentDM
- LibreCat
- (maybe more)

ORCID handling

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ORCID handling

- Detecting ORCID in metadata
- Publication claiming
- Feeding to ORCID publication list
- Feeding back to repositories?

ORCID claiming in BASE

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Goals:

- assigning more ORCID iDs to records from the OAI document space
- one-stop shop for claiming ORCID iDs in >6000 manually curated sources
- re-use of thusly enriched records by third parties – e.g. ORCID

Two entry points:

- integrated in BASE's web front-end (VuFind, PHP, MySQL)
- integrated in ORCID.org's Search & Link wizard

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ORCID claiming

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[https://orcid.org/blog/2017/09/06/
announcing-base-orcid-search-link-wizard](https://orcid.org/blog/2017/09/06/announcing-base-orcid-search-link-wizard)

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