



## **Code of Conduct for Employees**

Policy written – January 2019

To be reviewed – January 2021

To be reviewed – January 2023

Dear Colleague

### **Code of Conduct**

Please find attached an updated version of the Local Authority (LA) sample Code of Conduct for school staff. The amendments are limited to;

- an addition to section 4.3, referring to the safeguarding responsibilities of staff and
- an addition to 9.1 and a new paragraph, 9.2, to clarify the expectations of staff when seeking other work.

Although the document has been the subject of formal consultation and negotiation through Schools' Joint Consultative Committee (SJCC), unfortunately it has not been possible to achieve a collective agreement with all the recognised professional associations and trade unions. However, during the consultation process the views expressed by the representatives were fully taken into account and amendments made accordingly.

The code is therefore offered to Governing Boards as a sample rather than a model document. The format is based on Derbyshire County Council's Code of Conduct for staff employed centrally.

It is recognised that some schools will already have developed and adopted their own codes. In such cases schools may wish to utilise the Code of Conduct offered by the LA when reviewing their existing approach. The position of some teachers' unions is that there are already sufficient policies which lay down the parameters for staff conduct; for example, the Disciplinary Policy & Procedure, the Acceptable Use of IT, Internet & Electronic Communication and the Teachers' Standards.

However, the introduction of the Government Guidance 'Keeping Children Safe in Education' requires that all educational settings should have a staff behavioural code of conduct, or similar policy concerning behaviour, which is agreed and signed by all staff including temporary and agency staff and by all proprietors or governors (DCC good practice requirement). It is also recognised that when schools are audited, consideration of the Code of Conduct is included.

It is important for schools to ensure that different documents setting out expectations of staff do not contradict each other. Schools need to regularly reinforce the safeguarding policy and safer working practice guidance that now forms part of this code. To support this, the recognised and recommended guidance is now the revised September 2015, Guidance for Safer Working Practices

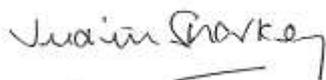
for those working with Children and Young People in Education Settings (Safer Recruitment Consortium DfE and Child Protection in Education).

In the Code of Conduct the other relevant policies and guidance are cross referenced and duplication kept to a minimum. In reviewing the document you will notice that there sections where schools are invited to insert any specific requirements already adopted, eg paragraph 4.2 where there is reference to acceptable dress for the workplace. Any amendments or additions to the Code would need to be the subject of consultation by the school with staff, recognised trade unions and professional associations.

In conclusion, it is hoped that the sample document attached will be of help to schools when considering the adoption of a code of conduct and clarification of expectations to staff. It is important for all parties to have a shared, clear understanding of workplace requirements, so that inadvertent contraventions can be avoided and to facilitate addressing any transgressions.

If you have any queries please contact the Children's Services HR Advice and Guidance team on 01629 535734.

Yours sincerely

A handwritten signature in black ink that reads "Judain Sharkey". The signature is written in a cursive style and is positioned above a short horizontal line.

**HR Service Partner**  
Children's Services (Schools' HR)

DERBYSHIRE LA

# Code of Conduct for Staff

The Acorn Federation

**Date: November 2017 (DCC Written)**

**Review:**

## **CODE OF CONDUCT FOR STAFF**

### **1 Introduction**

The Federation values and respects all members of the community. Colleagues are expected to work in co-operation and collaboration, within an ethos of mutual trust and confidence. All employees and volunteers must be committed to promoting the safety, welfare and interests of children (pupils/students) as paramount. Staff are expected to place the wellbeing, development and progress of children/young people at the heart of their professional practice.

- 1.1 The public is entitled to expect the highest standards of conduct from all the Federation employees. To serve the Federation, you should implement its policies and perform your duties, with integrity, honesty, impartiality and objectivity.
- 1.2 The purpose of the Code is to assist you in carrying out your job by making clear the standards of behaviour the Federation requires you to meet. It incorporates the existing laws, regulations and conditions of service you should be most aware of in your work for the Federation.

### **2 Scope**

- 2.1 The Code applies to all employees of the Federation. The Code also applies to contractors, agency staff, volunteers and those on student/work experience placements working on behalf of the Federation. *[Schools will need to ensure that the attention of all relevant people is drawn to the code. Schools are advised to provide a summary of the relevant sections for those groups who are occasionally in the school and would benefit from receiving the key expectations at a brief induction. If such a summary is produced please add to the list of documents in Appendix 1 ]*
- 2.2 You should read the Code in conjunction with professional standards, other Federation policies, requirements and guidance regarding standards of conduct in particular areas of work. This list is not exhaustive and you should familiarise yourself with any relevant guidance which may be provided in relation to your particular area of work. You should address any further queries you have to your line manager, senior leader or Headteacher.

### **3 Accountability**

- 3.1 As an employee, you must act in accordance with the principles set out in this Code, recognising the duty of all public sector employees to discharge public functions reasonably and according to the law.
- 3.2 If you fail to comply with the Code's provisions, this may result in action under the Federation's Disciplinary Procedure.

### **4 Maintenance of Standards**

- 4.1 You should inform your line manager/head teacher if you identify any deficiency in the service provided by the Federation, resulting from breaches of this Code. If you have concerns about

raising the deficiency with your line manager, and wish to maintain confidentiality, you can use the Federation's Confidential Reporting Code. Under this code you should make disclosures about possible malpractice to:

- a) Your line manager
- b) Where (a) is inappropriate, the Headteacher or a senior leader
- c) Where (a) and (b) are inappropriate, you should approach the Chair of Governors
- d) Where the disclosure relates to potential harm/abuse of a child, you should contact the Local Authority Designated Officer (LADO), contact details can be found in the Child Protection procedures and on the County Council website
- e) If the concern is regarding financial irregularities or fraud then you should report to the Headteacher/Chair of Governors. Where this is not appropriate you should contact the Audit Service at the Local Authority.

## 4.2 **Honesty and Integrity**

You must maintain high standards of honesty and integrity in your work. You should treat all pupils/students, colleagues, parents/carers and visitors with respect and professional courtesy. You should maintain professional boundaries and avoid behaviour which may lead any reasonable adult to question your behaviour, motivations or intentions

*Please refer to each school's Vision and Mission Statement.*

- 4.3 In order to maintain high standards of working practice with and/or around children, you are expected to comply with 'safe working practice' guidance.

### **Safeguarding Pupils/Students**

You have a duty to safeguard pupils/students from:

Physical abuse

Sexual abuse

Emotional abuse

Neglect

Specific safeguarding issues as outlined by the DfE guidance, Keeping Children Safe in Education, which includes responsibilities in relation to Prevent.

You must take reasonable care of pupils/students under their supervision with the aim of ensuring their welfare and safety. Failure to do so may be regarded as neglect.

You must comply with school/college policy that supports the wellbeing of pupils and this includes co-operation and collaboration with external agencies that support the welfare of children/young people.

There is a duty to safeguard pupils includes the duty to report any concerns about a pupil and to have a working knowledge of the procedures in place for this. You have a professional responsibility to inform an appropriate person if it is believed that a colleague is behaving in a way that compromises the wellbeing and safety of any child/young person or group of children or young people. You should know about and have access to the Confidential Reporting Code and the Whistleblowing Procedure and feel able to use this.

*Please refer to the policies listed above and the national guidance listed in Appendix 1*

#### 4.4 Setting an Example

All staff who work in schools/colleges set examples of behaviour and conduct which can be copied by pupils/students.

You must, therefore, demonstrate high standards of conduct in order to encourage our pupils to do the same and avoid putting yourself at risk of allegations of abusive or unprofessional conduct.

You must follow guidance in line with safer working practices.

You must not have any electronic communication, other than via the school's platforms, and/or accept as friends, pupils/students under the age of 18 including ex pupils, this includes the use of personal mobiles, and or social networking sites or media.

Expectations in relation to staff use of electronic communication, including the internet, are detailed in the Federation's policy, listed in Appendix 1. Attention is particularly drawn to section 6, of that policy, which covers the use of social media. You have a responsibility to familiarise yourself with the policy and adhere to the requirements entailed.

*Please also refer to the Intimate Care Policy, Behaviour Policy, E-Safety Policies, 2009 DfE and supporting leaflet issued by the Derby & Derbyshire Safeguarding Children board, July 2014.*

#### 4.5 Dress and Appearance

Your appearance, dress and behaviour is expected to promote appropriate boundaries and working relationships between the pupils and the staff/volunteer body, as role models and responsible adults in a position of trust. You should dress in ways that are appropriate and relevant to your role. You should dress in ways that are not likely to cause offense, embarrassment, distract or give rise to misunderstanding.

#### 4.6 Conduct Outside Work

You must not behave in a manner which any reasonable adult would question your suitability to work with children or young people.

You must not engage in conduct outside work which could easily damage your own reputation and that of the Federation and this is especially important in and around our immediate local community

### 5 Disclosure of Information

5.1 The Federation is committed to open government and to rights of public scrutiny and participation. Legislation, including the Freedom of Information Act 2000 and the Data Protection Act 1998, requires that certain types of information must be made available to the public and other recognised third parties and to employees. Advice and guidance on the requirements of the Freedom of Information and Data Protection Acts is available from the Legal Services' Division at County Hall and requests may be made through the Headteacher.

5.2 You have a responsibility to safeguard the security and confidentiality of personal information you hold and you should ensure that only the appropriate amount of information required is

provided to those who have a clearly established and legitimate need to use it. Advice and guidance on existing statutory or common law obligations regarding confidentiality is also available to the school from the Legal Services' Division at County Hall.

- 5.3 You should not use any information obtained in the course of your employment for personal gain or benefit, nor should you pass it on to others who might use it in such a way.

## **6 Relationships**

### **6.1 The Local Community, School Users and Other Employees**

- 6.1.1 You should always remember your responsibilities to the community you serve and ensure courteous, efficient and impartial service delivery to all users, groups and individuals within that community. These responsibilities apply equally to your conduct with fellow employees, contractors, agency staff, volunteers and those on student/work experience placements.

- 6.2 You are expected to work as part of a whole school team.

- 6.3 You should take positive steps to understand the roles of other colleagues in the children's workforce. You are expected to cooperate with other professional colleagues who have a role in enabling children/young people to thrive and succeed.

- 6.4 You should follow the school's ethos and approach in any communication with parents and carers.

### **6.2 Contractors**

- 6.2.1 If you engage in the provision of work under contract, or supervise contractors or have any other official relationship with contractors or have previously had or currently have a relationship in a private or domestic capacity with contractors, you should formally declare that relationship to your Headteacher/Chair of Governor.

- 6.2.2 Orders and contracts must always be awarded on merit, in accordance with Financial Regulations and Standing Orders in Relation to Contracts, and no special favour should be shown to businesses run by, for example, friends, partners or relatives in the tendering process. No part of the local community should be discriminated against.

- 6.2.3 As a private citizen, you should be aware of possible conflicts of interest when you buy goods or use the services of firms who have dealings with the Federation and you should follow official procedures relating to the disclosure of any such transactions.

## **7 Equalities**

- 7.1 You must comply with the Federation's Equalities Policy and legal requirements relating to equality issues. The School is committed to promoting equality of opportunity, preventing unlawful discrimination in all of its activities and to valuing diversity.

## **8 Appointment and other Employment Matters**

- 8.1 If you are involved in the appointment of employees, you should be aware that it is unlawful for you to make an appointment based on anything other than the ability of the candidate to undertake the duties of the post. To avoid any possible accusation of bias, you should not be

involved in an appointment where you are related to an applicant or have/have had a close association with the applicant.

- 8.2 You should not be involved in decisions relating to discipline, promotion or pay and conditions adjustments for another employee who is a relative or with whom you have/have had a close association.
- 8.3 Where you have been provided with a “benefit in kind” or other untaxed income, whether this has been funded from the school’s delegated budget, private school fund or parental contributions this must be formally recoded within the school for notification to the County Council. Where you are uncertain about whether you need to declare a “benefit in kind” or untaxed income you should discuss this with your Headteacher, who should consult with the County Council’s Shared Service Centre.

## **9 Taking Other Employment**

9.1 You should not undertake additional employment (paid or unpaid), or allow your name to be put forward for consideration for such work, if your proposed additional work either will or has the potential to:

- Create a conflict of interest
- Overlap with official duties
- Make use of material to which you have access by virtue of your position
- Weaken public confidence in the School

You should, in any case, inform your Headteacher of any proposed secondary employment if you consider that any of the bullet points above apply. In general, there is no reason why someone should not be able to work simultaneously in two or more schools. This would not in itself create a conflict of interest and, therefore, the permission of your current employer is not required in order for you to seek additional employment, provided that none of the specific issues set out in the bullet points above would apply.

### **9.2 For supply staff only.**

If you have or are seeking additional employment either paid or unpaid in other establishments, or allow yourself to be considered for such work, the work in question should not;

- Create a conflict of interest
- Overlap with official duties
- Make use of material to which you have access by virtue of your position
- Weaken public confidence in the School or Council

When you consider any proposed other employment which may conflict with these requirements, you should inform your line manager(s) and you may be required to seek the agreement of Headteacher/Governing Board to the proposed additional employment. Supply teachers do not need the permission of a school that they are working with in order to seek additional employment, provided that none of the bullet points above would apply. In normal circumstances there would not be a conflict of interest.

## **10 Personal Interests**

- 10.1 Personal interests must not conflict with your public duty. An official position or information acquired in the course of your employment must not be used to further personal interests or for the interests of others.
- 10.2 You must declare to your Headteacher/Chair of Governors any:
- External financial or non-financial interest in any existing or proposed contract with the school or other organisational decision
  - Membership of any organisation which could conflict with the Federation's interests
- 10.3 You should make any such declaration in writing to your Headteacher. Any such declaration by a Headteacher should be made in writing to the Chair of Governors.

## **11 Stewardship**

- 11.1 You must ensure that you use public funds entrusted to you in a responsible and lawful manner and must not utilise property, vehicles or other facilities of the Federation for personal use unless authorised in advance to do so. You should strive to ensure value for money to the local community and to avoid legal challenge to the Federation.
- 11.2 Personal Use of Internet and Email – any personal use must be in accordance with the School's current Acceptable Use of IT, Internet and Electronic Communication Policy and Guidance.
- 11.3 Personal Telephone Calls:
- Personal mobile phones should be used for any outgoing personal telephone calls, other than in exceptional circumstances (e.g. no signal available). In these circumstances the school telephone may be used, following the school's procedure for such use, recording and payment.
  - Such outgoing calls/texts and personal incoming calls should occur infrequently and be kept as short as possible. For those working with children, calls/texts should not be made/taken during lessons, except in emergencies. As stated in the Acceptable Use of IT, Internet & Electronic Communication, 'Staff should not engage in 'recreational' chatting during working time, on email or through instant messaging, that results in lost productivity or distracts other employees from their work.'
  - School mobile phones should not be used for personal use, except in emergency.
  - Accredited professional association/union representatives may utilise the school telephone in accordance with the Facilities Agreement.
- 11.4 Intellectual Property is property which enjoys legal protection and is a result of intellectual effort, including patents, copyright, trademarks, designs and software. Where developed in the course of your duties, such intellectual property is the property of the Federation. You should not make use of the Federation's intellectual property to conduct private work.
- 11.5 Any copies of material taken for use within the Federation must only be as allowed under the Copyright Act and under the appropriate licensing agreement.

## **12 Corruption**

- 12.1 You must be aware that it is a serious criminal offence for you to corruptly receive or give any gift, loan, fee, reward or advantage for doing or neglecting to do something or showing favour or disfavour to any person in your official capacity.

## **13 Hospitality and Gifts**

- 13.1 You should only accept hospitality in the form of refreshments/attendance at events if there is a genuine need to impart information or to represent the Federation. All other offers of hospitality/gifts should be firmly, but politely refused. You should also ensure that accepting the hospitality does not create a conflict of interest and is not likely to cause embarrassment to the School.
- 13.2 You should report the offer of hospitality, whether accepted or not, to your line manager, and should ensure that all such offers are recorded in the appropriate hospitality register.
- 13.3 If gifts are offered or you suspect that an improper motive exists, you should always decline the offer and report it to your Headteacher/Chair. This includes any preferential rates offered for goods and services. Headteachers should report the offer to the Chair of Governors regardless of whether the offer is accepted or declined.

## **14 Sponsorship**

- 14.1 Where an outside organisation is seeking to sponsor a Federation activity, whether by invitation, tender, negotiation or voluntarily, the Code's provisions concerning acceptance of hospitality or gifts and working with contractors apply. No sponsorship deal should be made without the prior agreement of your Governing Board.
- 14.2 Where the Federation wishes to sponsor an event or service, you must declare in writing to your Headteacher any possible conflict of interest or any benefit which you or a member of your family, or anybody with whom you have a close association, is likely to receive. Similarly, where the Federation is providing sponsorship in the community, you should ensure that impartial advice is given and that there is no conflict of interest involved.

## **15 Contact with the Media**

- 15.1 Any enquiries from the media on work-related matters should be referred to the Headteacher and Chair of Governors who are advised to contact the Local Authority's Public Relations Division. You should seek formal authorisation from the Headteacher, who should consult the Public Relations Division, before you speak, write or give interviews to the media.
- 15.2 If you wish to publish books, articles or letters you have written in connection with your duties, you must first consult your Headteacher who will consult with the Governing Board and take legal advice.

## Appendix 1

A number of additional sources of guidance are listed here. However, this list is not exhaustive and you should ensure that you familiarise yourself with any relevant guidance which may be provided in respect of your particular area of work. Any queries in this respect should be addressed to your line manager or the Headteacher.

### Personnel Policies and Procedures of the School

- Terms and Conditions of Employment
  - Confidential Reporting Code and Whistleblowing
  - The Disciplinary Procedure
  - Acceptable Use of IT, the Internet and Electronic Communication Policy and Guidance
  - Equality and Diversity Policy
  - Grievance Procedure
  - Dealing with Complaints of Harassment
  - Managing Allegations of Abuse against School Staff
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- Financial Regulations, incorporating Standing Orders
  - Freedom of Information Act 2000
  - Computer Misuse Act 1990
  - Policy Statements on AIDS and other Infectious Diseases
  - Safeguarding Policy and Child Protection Procedure (Derbyshire/Derby City Safeguarding Children Board procedure)
  - Keeping Children Safe in Education (DfE)
  - Guidance for Safer Working Practice for Adults who work with Children & Young People in Education Settings
  - Teachers' Standards 2012 (see below)

## Appendix 2

### Teachers' Standards 2012

*The section from the document relating to conduct, as opposed to teaching practice, is copied below.*

#### Preamble

Teachers make the education of their pupils their first concern, and are accountable for achieving the highest possible standards in work and conduct.

Teachers act with honesty and integrity; have strong subject knowledge, keep their knowledge and skills as teachers up-to-date and are self-critical; forge positive professional relationships; and work with parents in the best interests of their pupils.

#### Part Two: Personal and Professional Conduct

A teacher is expected to demonstrate consistently high standards of personal and professional conduct. The following statements define the behaviour and attitudes which set the required standard for conduct throughout a teacher's career.

- Teachers uphold public trust in the profession and maintain high standards of ethics and behaviour, within and outside school, by:
  - treating pupils with dignity, building relationships rooted in mutual respect, and at all times observing proper boundaries appropriate to a teacher's professional position
  - having regard for the need to safeguard pupils' well-being in accordance with statutory provisions
  - showing tolerance of and respect for the rights of others,
    - not undermining fundamental British values, including
    - democracy, the rule of law, individual liberty and mutual
    - respect and tolerance of those with different faiths and
    - beliefs
  - ensuring personal beliefs are not expressed in ways
    - which exploit pupils' vulnerability or might lead them to
    - break the law.
    - Teachers must have proper and professional regard for the ethos, policies and practices of the school in which they teach, and maintain high standards in their own attendance and punctuality.
    - Teachers must have an understanding of, and always act within, the statutory frameworks which set out their professional duties and responsibilities.