



## Safer Recruitment Policy

Reviewed on:	February 2021
Reviewed:	Annually
Staff Responsibility:	DSL/ DDSLs/ Headteacher/ Safeguarding Governor

### Content

Induction

Aims of this Policy

Single Central Record of Vetting and Recruiting

Roles and Responsibilities

Verification of Identity and Address

The Procedure

Advertising

Applications

Interviews

References

Medical Fitness

Disclosure and Barring Service Check

Prohibition Order Check

Prohibition from Management of Independent Schools Directions

Disqualification – Early Year and Relevant Later Years Provision

Contractors and Agency Staff

Policy on Recruitment of Ex-Offenders

Assessment Criteria

Assessment Procedure

Employment Offer

Record Retention / Data Protection

Appendix

## Introduction

The safe recruitment of staff in Schools is the first step to safeguarding and promoting the welfare of children in education. Russell Street School is committed to safeguarding and promoting the welfare of children and young people and expects all staff and volunteers to share this commitment. The School is also committed to providing a supportive and flexible working environment for all its members of staff. Russell Street School recognises that, in order to achieve these aims, it is of fundamental importance to attract, recruit and retain staff of the highest calibre who share this commitment.

The School recognises the value of, and seeks to achieve a diverse workforce. The School is committed to ensuring that the recruitment and selection of all who work within the School is conducted in a manner that is systematic, efficient, effective and promotes equality of opportunity. This document provides a good practice framework to comply with the principles set down in the School's Equal Opportunities Policy.

## Aims of this Policy

- To ensure that the best possible staff are recruited on the basis of their merits, abilities and suitability of the person
- To ensure that all job applicants are considered equally and consistently
- To ensure that no job applicant is treated unfairly on any ground including age, disability, gender reassignment, race, religion or belief, sex or sexual orientation as outlined in the Equality Act 2010
- To ensure compliance with all relevant legislation, recommendations and guidance including the statutory guidance published by the Department for Education (DfE), Keeping Children Safe in Education (Sep 2020) and the code of practice published by the Disclosure and Barring Service (DBS)
- To ensure that the School meets its commitment to safeguarding and promoting the welfare of children and young people by carrying out all necessary pre-employment checks
- To ensure that all employment checks are recorded clearly on the School's electronic Single Central Register (SCR)

## Single Central Record (SCR) of Vetting and Recruiting

In line with DfE requirements, Russell Street School will keep and maintain a SCR. The SCR will list all staff who are employed at the School, including casual staff, supply agency staff whether employed directly or through an agency, proprietors and those who provide additional teaching or instruction for pupils but who are not employed by the School eg specialist sports co. The SCR will indicate whether the required pre-employment checks have been completed, what documents have been checked, when and by whom.

Staff members have a duty through their contract to immediately disclose during their employment if they are:

- charged or convicted of any criminal offence;
- in receipt of a police caution, reprimand or warning, or if there is a formal child protection allegation;
- disqualified under the Childcare (Disqualification) Regulations 2009 (when working in relevant childcare provision);
- barred from working with children or vulnerable adults;
- the subject of a referral to the Disclosure and Barring Service (DBS).

Staff will also be asked yearly whether they have any of the above to disclose, including where relevant disqualification under the Childcare Act 2006.

In accordance with the recommendations set out in the Guidance, and the requirements of the Education (Independent School Standards) (England) Regulation 2014 which came into force on 5th January 2015 and the ISI Regulatory Requirements (Sep 2018) the School carries out a number of pre-employment checks in respect of all prospective employees.

If it is decided to make an offer of employment following a formal interview, employment with the School will be conditional on the following;

- an identity check;
- a barred list check;
- an enhanced DBS check;
- a prohibition from teaching check;  
(Must be made for anyone undertaking 'teaching work' (with or without Qualified Teaching Status))
- further checks on people living or working outside the UK, including any restrictions placed on teachers from the European Economic Area (EEA);  
(EEA is all EU countries, plus Iceland, Liechtenstein and Norway)
- a check of professional qualifications and QTS where appropriate;
- a check to establish the person's right to work in the United Kingdom; and

- a separate satisfactory barred list check will be undertaken in the event that an enhanced disclosure is not received in advance of starting employment in the regulated activity, or where a 'portable' disclosure is used;
- the signed declaration to satisfy the Childcare (Disqualification) Regulation 2009 where working in relevant Childcare Provision;
- verification of the applicant's medical fitness to ensure the duties of the post can be carried out satisfactorily, fully taking into account the Equality Act 2010 where reasonable adjustments may be made to fulfil the role effectively.

### **Roles and Responsibilities**

- Employees involved in the recruitment and selection of staff are responsible for familiarising themselves with and complying with the provisions of this policy.
- All employees involved in recruitment of staff will undertake Safer Recruitment training.
- It is the responsibility of the Headteacher and other senior managers involved in the recruitment process to ensure that the school operates safe recruitment procedures and carries out all appropriate checks on all staff, work experience students and volunteers who work at the School.
- Monitor contractors' and agencies' compliance with this policy; and promote the welfare of children and young people at every stage of the procedure.
- It is the responsibility of all contractors and agencies who provide services to the School to comply with safer recruitment practices.
- It is the responsibility of the School Business Manager, in conjunction with the Headteacher, to ensure all pre-employment checks are complete before employment begins and that they are recorded on the Single Central Record.

### **Verification of Identity and Address**

All applicants who are invited to an interview will be required to bring the following evidence of identity, right to work in the UK, current address and qualifications:

- Passport or photo-card driving licence providing photographic identity;
- Two utility bills or statements (from different sources) showing their name and home address; Valid in the last 3 months.
- Documentation confirming their National Insurance Number (P45, P60 or National Insurance Card);
- Original documents confirming any educational and professional qualifications referred to in their application form.
  - Where an applicant claims to have changed their name by deed poll or another means (eg marriage, adoption, statutory declaration) they will be required to bring documentary evidence of the change.

The School asks for the date of birth of all applicants (and proof of this) in accordance with the Guidance. Proof of date of birth is necessary so that the School may verify the identity of, and check for any unexplained discrepancies in the employment and education history of all applicants. The School does not discriminate on the grounds of age.

## **The Procedure**

### **Advertising**

To ensure equality of opportunity, Russell Street School will advertise all vacant posts to encourage as wide a field of candidates as possible. Normally this will entail an external advertisement. However, where there is a reasonable expectation that there are sufficient qualified internal candidates or where staff are at risk of redundancy, an internal advertisement may be considered appropriate.

### **Applications**

Applicants will receive a job description and person specification for the role applied for. All applicants for employment will be required to complete a confidential application form containing questions about their academic and employment history and their suitability for the role. Incomplete application forms will be returned to the applicant where the deadline for completed application forms has not passed. The school does not accept a curriculum vitae in place of an application form.

The applicant may then be invited to attend a formal interview at which his/her relevant skills and experiences will be discussed in more detail.

### **Interviews**

The selection process will always include the following;

- A tour of the School
- The candidate will be observed teaching a lesson
- A face to face professional interview including questions relating to safeguarding children
- Verification of Qualifications and/or professional Status

Short listed applicants will be required to provide proof of their qualifications and professional status by producing documentation on the day of interview. The School will verify that applicants have actually obtained any qualifications legally required or deemed essential for the job and claimed in their application by asking to see the relevant certificate, or a letter of confirmation from the awarding body / institution. If the original documents are not available, the School will require sight of a properly certified copy. Where applicants have obtained qualifications abroad, a certified comparability check by BARIC will be required. Proof of identity and other documentation will be verified by the chair of the panel/headteacher.

The School requires applicants to account for any gaps or discrepancies in employment history on the application form. Where any applicant is shortlisted, any gaps will also be discussed at interview.

Applicants should be aware that providing false information is an offence and could result in an application being rejected or summary dismissal if the applicant has been selected. Where false information is provided in support of an application to work at the School, the School is required to report the matter to the Disclosure and Barring Service, the Police and other relevant professional bodies.

## **References**

References for shortlisted applicants will be requested immediately after short-listing. The only exception to this is where applicants have indicated on the application forms that they do not wish their current employer to be contacted. In such cases, this reference will be taken up immediately after interview and prior to any offer of employment being made. One reference will be sought prior to interview wherever possible.

All referees will be asked whether they believe the applicant is suitable for the job for which they have applied. All referees will be sent a copy of the job description and person specification relevant to the role for which the applicant had applied. If the referee is a current or previous employer, they will also be asked to confirm the following:

- The applicant's dates of employment, salary, job title/duties, reason for leaving, performance and disciplinary record
- Their relationship to the applicant
- Whether they have any reason to believe that the applicant is unsuitable to work with children
- Whether the applicant has ever been the subject of disciplinary procedures involving issues related to the safety and welfare of children including any in which the disciplinary sanction has expired
- Whether any allegations or concerns have been raised about the applicant that relate to the safety and welfare of children or young people or behaviour towards children or young people

The school will only accept references obtained directly from the referee and will not rely on references or testimonials provided by the applicant or open references of testimonials. The School will not accept references from relatives of the applicant or people writing solely in the capacity as a friend.

References will be compared to the application form to ensure that the information provided is consistent. Any discrepancies will be taken up with the applicant and/or the referee before any appointment can be confirmed. Any information about past disciplinary action or allegations will be considered in the circumstances of the individual case.

## **Medical Fitness**

The School is legally required to verify the medical fitness of anyone to be appointed to a post at the School, after an offer of employment has been made but before the appointment can be confirmed.

It is Russell Street School's practice that all applicants to whom an offer of employment is made must complete and sign a self-declaration of medical fitness which forms part of the School's job application procedure. The School will arrange for the information contained in the self-declaration to be reviewed. This information will be reviewed against the job description and the Person Specification for the particular role, together with details of any other physical or mental requirements of the role i.e. proposed timetable, extra-curricular activities, layout of the School etc. If there are any doubts about an applicant's fitness Russell Street School will consider reasonable adjustments in consultation with the applicant and occupational health. The School may also seek a further medical opinion from a specialist or request that the applicant undertakes a full medical assessment.

The School is aware of its duties under the Equality Act 2010. No job offer will be withdrawn without first consulting with the applicant, obtaining medical evidence and considering reasonable adjustments and suitable alternative employment.

## **Disclosure and Barring Service Check**

Due to the nature of the work, the School applies for an enhanced disclosure check via the Disclosure and Barring Service (DBS) in respect of all members of staff, proprietors and volunteers on entry to the school's workforce.

An enhanced disclosure check will contain details of all convictions on record (including those which are defined as "spent" under the Rehabilitation of Offenders Act 1974) together with details of any cautions, reprimands or warnings held on the Police National Computer. An enhanced disclosure will also reveal whether an applicant is barred from working with children or vulnerable adults by virtue of his/her inclusion on the lists of those considered unsuitable to work with children or vulnerable adults maintained by the DBS. An enhanced disclosure may also contain non-

conviction information from local police records which a chief police officer thinks may be relevant in connection with the matter in question.

DBS checks will be requested for applicants with recent periods of overseas residence and those with little or no previous UK residence. These applicants may also be asked to provide further information, including the equivalent of an enhanced DBS disclosure, from the relevant jurisdiction(s).

For any person who have applied to the DBS update service the School will examine the original certificate, check it matches the individual's identity and run an online update check, which will provide information about any changes since the certificate was issued. If the check indicates that there has been a change then the individual must apply for a new certificate

If there is a delay in receiving an enhanced DBS disclosure before a person starts work in regulated activity, the headteacher may allow the member of staff to commence work:

- after a satisfactory check of the barred list if the person is working in regulated activity;
  - and all other relevant checks have been completed satisfactorily;
  - provided that the DBS application has been made in advance;
  - with appropriate safeguards taken (for example, Risk Assessment carried out and appropriate supervision put in place)
- The Risk Assessment will be reviewed every two weeks and a note added to the single central register. The staff member will be informed of all safeguards put in place.

Schools are not required to retain copies of DBS certificates.

### **Overseas checks, where appropriate, including checking for EEA Professional Sanctions**

If, because a person 'living or having lived' outside of the UK for three months or more in the last five years, a DBS check is not considered sufficient to establish suitability to work in a school (because a UK check would not cover offences committed abroad, but only those on the UK Police National Computer), the School would carry out such further checks as the proprietor considers appropriate, having regard to any guidance issued by the DfE. Such checks will be completed before the person starts in person. This applies where relevant both to foreign nationals and UK nationals returning from overseas.

Further checks may include an EEA check that will be carried out to check for information about any teacher sanctions or restrictions that has been imposed by a professional regulating authority in the European Economic Area (EEA). This check is relevant to applicants for teaching posts in England who have taught in the EEA. It is applicable to both foreign nationals and UK nationals who have taught in the EEA. This check is carried out using the Teacher Services system.

### **Prohibition Order Check**

Prohibition orders prevent a person from carrying out 'teaching work' in schools, sixth form colleges, 16-19 academies, relevant youth accommodation and children's homes in England. A person who is prohibited from teaching must not be appointed to work as a teacher in such a setting.

Prohibition orders are made by the Secretary of State following consideration by a professional conduct panel convened by the TRA (Teaching Regulation Agency). Pending such consideration, the Secretary of State may issue an interim prohibition order if he considers that it is in the public interest to do so.

The prohibition from teaching check is carried out using the Teacher Services system.

Qualified Teacher Status is not a requirement for teachers in the independent sector, but schools must now check that anyone employed to carry out teaching work is not subject to a prohibition order issued by the Secretary of State.

Teaching work is defined in The Teachers' Disciplinary (England) Regulations 2012 to encompass:

- planning and preparing lessons and courses for pupils;
- delivering and preparing lessons to pupils;
- assessing the development, progress and attainment of pupils;
- reporting on the development, progress and attainment of pupils.

"Delivering" includes delivering lessons through distance learning or computer aided techniques. However, none of these are "teaching work" if the person carrying out the activity does so (other than for the purposes of induction) subject to the direction and supervision of a qualified teacher or other person nominated by the headteacher.

It is our policy that all new teaching staff will be subject to a prohibition check before commencing work at Russell Street School and a record will be kept on the school's single central record.

### **Prohibition from Management of Independent School's Directions**

From August 2015, schools must check whether staff appointed to management positions after that date are subject to a section 128 direction. This also applies to staff promoted internally from September 2018.

The following staff are considered to be in management positions for the purpose of this check:

- headteachers;
- all staff on the senior leadership team (including non-teaching staff);

- teaching positions with departmental headship;
  - proprietors
- The checks will be carried out using the DfE sign in portal.

### **Disqualification – Early Year and Relevant Later Years Provision**

#### **Disqualification under the Childcare Act 2006**

We will make sure that anyone who falls within the relevant categories of staff is made aware of the legislation. For adults who work in, or are involved in the management of, i) EYFS or ii) out of hours care for children up to the age of 8.

An entry of staff working within relevant childcare provision will be made on the single central register, including the date disqualification checks were completed.

The declaration made will be rechecked annually as part of the staff appraisal procedure and will form part of a staff members contract, stating that they should inform the school if their circumstances change.

### **Contractors and Agency Staff**

Contractors engaged by the school must complete the same checks for the employees that the school is required to complete for its staff. The School requires confirmation that these checks have been completed before employees of the contractor can commence work at the School.

The School will independently verify the identity of staff supplied by contractors or an agency.

### **Policy on Recruitment of Ex-Offenders**

Russell Street School will not unfairly discriminate against any applicant for employment on the basis of conviction or other details revealed. The School makes appointment decisions on the basis of merit and ability. If an applicant has a criminal record this will not automatically bar him/her from employment within the School. Instead, each case will be decided on its merits in accordance with the objective assessment criteria set out below.

All positions within the School are exempt from the provisions of the Rehabilitation of Offenders Act 1974. All applicants must therefore declare all previous convictions, including those which would normally be considered “spent”, when applying for a position at the school. A failure to disclose a previous conviction may lead to any application being rejected or, if the failure to disclose is discovered after employment has started, may lead to summary dismissal on the grounds of gross misconduct. A failure to disclose a previous conviction may also amount to a criminal offence.

It is unlawful for the School to employ anyone who is barred from working with children. It is a criminal offence for any person who is barred from working with children to attempt to apply for a position at the School. The School will make a report to the Police and/or the Disclosure and Barring Service if:

- It receives an application from a barred person
- It is provided with false information in, or in support of an applicant’s application, or
- It has serious concerns about an applicant’s suitability to work with children.

### **Assessment Criteria**

In the event that relevant information (whether in relation to previous convictions or otherwise) is volunteered by an applicant during the recruitment process or obtained through a disclosure check, the School will consider the following factors before reaching a recruitment decision:

- Whether the conviction or other matter revealed is relevant to the position in question;
- The seriousness of any offence or other matter revealed;
- The length of time since the offence or other matter occurred;
- Whether the applicant has a pattern of offending behaviour or other relevant matters;
- Whether the applicant’s circumstances have changed since the offending behaviour or other relevant matters;
- The circumstances surrounding the offence and the explanation(s) offered by the convicted person.

If the post involves regular contact with children, it is the School’s normal policy to consider it a high risk to employ anyone who has been convicted at any time of any of the following offences:

Murder, manslaughter, rape, other serious sexual offences, grievous bodily harm, or other serious acts of violence. Serious Class A drug related offences, robbery, burglary, theft, deception or fraud.

If the post involved access to money or budget responsibility, it is the school’s normal policy to consider it a high risk to employ anyone who has been convicted at any time of robbery, burglary, theft, deception or fraud.

If the post involves some driving responsibility, it is the School’s normal policy to consider it a high risk to employ anyone who has been convicted of drink driving within the last ten years.

## **Assessment Procedure**

In the event that relevant information (whether in relation to previous convictions or otherwise) is volunteered by an applicant during the recruitment process or obtained through a disclosure check, Russell Street School will carry out a risk assessment by reference to the criteria set out above. The assessment form must be signed by the headteacher before a position is offered. Such convictions will also be discussed with the applicant at interview.

If an applicant wishes to dispute any information contained in a disclosure, he/she can do so by contacting the DBS direct. In cases where the applicant would otherwise be offered a position were it not for the disputed information, the School will, where practicable, defer a decision about the appointment until the applicant has had a reasonable opportunity to challenge the disclosure information.

## **Employment Offer**

It may be possible to negotiate a provisional start date with the preferred applicant, however, the checks detailed above must all be completed before a person's appointment is confirmed. Once all pre-employment checks have been satisfactorily completed and received, an offer of employment will be made.

A contract of employment will be issued upon satisfactory completion of the probation period, usually a term.

## **Record retention / Data Protection**

At Russell Street School we follow the Data Protection Act 2018, the General Data Protection Regulation (GDPR) and the employment practices code.

If an applicant is appointed, the School will retain any relevant information provided on his/her application form, together with any attachments and evidence of the pre-employment checks completed on his/her personnel file.

If the application is unsuccessful, all documentation relating to the application will normally be confidentially destroyed after six months.

The School will store all confidential personnel files in locked, non-portable storage containers, access to which will be restricted to members of the School's senior management team;

Personnel files will be kept for six years after the person has left.

The School will also ensure that any personnel information is destroyed by suitably secure means such as shredding.

## **Appendix**

Certificate of good conduct see: [www.safeguardinghandbook.co.uk/overseas](http://www.safeguardinghandbook.co.uk/overseas)

To carry out the EE8 sanctions see: [www.safeguardinghandbook.co.uk/teachersservices](http://www.safeguardinghandbook.co.uk/teachersservices)

Disclosure and Barring Service: [www.safeguardinghandbook.co.uk/dbs](http://www.safeguardinghandbook.co.uk/dbs)

Right to Work information: [www.safeguardinghandbook.co.uk/illegalwork](http://www.safeguardinghandbook.co.uk/illegalwork)

Teachers Status Checks: [www.safeguardinghandbook.co.uk/teachersstatus](http://www.safeguardinghandbook.co.uk/teachersstatus)

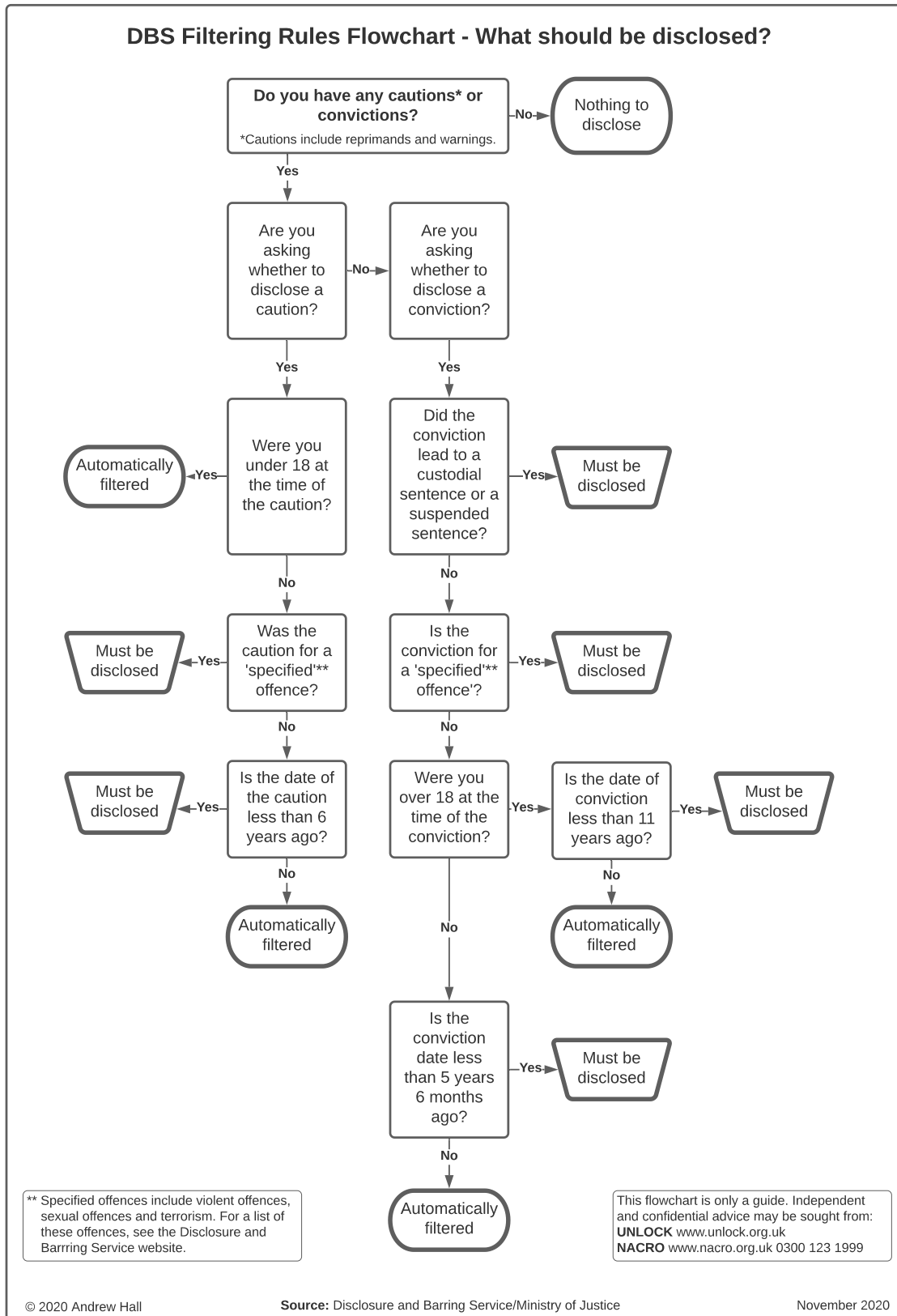
Overseas Criminal Records Checks: [www.safeguardinghandbook.co.uk/overseas](http://www.safeguardinghandbook.co.uk/overseas)

Teaching Regulation Agency (Telephone: 0207 593 5394) Email : [gts.enquiries@education.gov.uk](mailto:gts.enquiries@education.gov.uk)

Prohibition Order check- Employer Access Online Service (Teachers only)

[www.safeguardinghandbook.co.uk/teachersservice](http://www.safeguardinghandbook.co.uk/teachersservice)

Keeping Children Safe in Education (2020) says that 'schools and colleges must make any further checks they think appropriate so that any events that occurred outside the UK can be considered'. (paragraph 159).





### Appendix 3 - Application Form – Inclusions

The wording on the application form regarding criminal records is legally compliant with the Supreme Court judgement.

- The DBS suggests that the following questions are used as a template for recruitment processes:

*Do you have any unspent conditional cautions or convictions under the Rehabilitation of Offenders Act 1974 [yes/no].*

*Do you have any adult cautions [simple or conditional] or spent convictions that are not protected as defined by the Rehabilitation of Offenders Act 1974 [exceptions] Order 1975 [amendment] [England and Wales] Order 2020 [yes/no].*

- The DBS also encourages employers to include the paragraphs below in standard application forms.

*The amendments to the Rehabilitation of Offenders Act 1974 [exceptions] Order 1975 [2013 and 2020] provides that when applying for certain jobs and activities certain convictions and cautions are considered "protected." This means that they do not need to be disclosed to employers and, if they are disclosed, employers cannot take them into account. Guidance about whether a conviction or caution should be disclosed can be found on the Ministry of Justice Website and on the websites of charities NACRO and UNLOCK.*

- Applicants must be given information on where to obtain advice, for example, NACRO or UNLOCK.
- Applicants must be given time to access this advice so the request for the self- declaration of any criminal cautions or convictions should be made only to shortlisted candidates and before the interview itself.
- Applicants should not be asked verbally in the interview whether they have any criminal cautions or convictions that would prevent them working for children, as they will not have access to the advice they may need about what should be disclosed.
- Should any protected cautions or convictions be inadvertently disclosed, it is unlawful to take that information into account in suitability decisions.

## Appendix 4 – Further information sources

Guidance on the Rehabilitation of Offenders Act 1974 and the Exceptions Order 1974 (Ministry of Justice)  
<https://www.gov.uk/government/publications/new-guidance-on-the-rehabilitation-of-offenders-act-1974>

New filtering rules for DBS certificates (from 28th November 2020 onwards) (Disclosure and Barring Service)  
<https://www.gov.uk/government/publications/filtering-rules-for-criminal-record-check-certificates/new-filtering-rules-for-dbs-certificates-from-28-november-2020-onwards>

DBS filtering guide (Disclosure and Barring Service/ Ministry of Justice)

<https://www.gov.uk/government/publications/dbs-filtering-guidance>

Filtering process flowchart, DBS vetting (NACRO)

<https://www.nacro.org.uk/news/nacro-news/dbs-filtering-regime-changes-to-come-into-effect-end-of-nov/>

What will be filtered by the DBS (UNLOCK)

<https://hub.unlock.org.uk/wp-content/uploads/What-will-be-filtered-by-the-DBS.pdf>

List of offences that will never be filtered from a DBS certificate (Disclosure and Barring Service/Home Office)  
<https://www.gov.uk/government/publications/dbs-list-of-offences-that-will-never-be-filtered-from-a-criminal-record-check>