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Supporting Information for

Ballast water exchange plus treatment lowers species invasion rate in freshwater ecosystems

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A. Bailey^{1*}*

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Number of pages: 12
Number of tables: 4
Number of figures: 7

16 **Table S1.** Sample size of voyages ($n = 2,980$) for each salinity combination within a given
 17 shipping pathway. Sample year indicates the timespan for which transit data were available for
 18 each region.

Recipient Port Salinity	Source Port Salinity	All Shipping Pathways	Great Lakes-St. Lawrence River International	Pacific International	Atlantic International	Arctic International	Arctic Domestic
	Fresh	87	67	9	11	0	0
Fresh	Brackish	77	58	17	2	0	0
	Marine	324	186	136	2	0	0
	Fresh	27	0	0	27	0	0
Brackish	Brackish	40	0	1	39	0	0
	Marine	59	0	26	33	0	0
	Fresh	458	0	105	329	4	20
Marine	Brackish	638	0	153	478	7	0
	Marine	1,270	0	940	307	18	5
	Sample Year		2006	2008	2006	2015	2015

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21 **Table S2.** Number of empirical ballast water samples available with estimates of zooplankton
22 abundance and species richness for each shipping pathway. Note that due to limited biological
23 data, the Arctic domestic pathway used zooplankton data from ships arriving to the Arctic from
24 Atlantic Canada, and from internal Great Lakes-St. Lawrence River transits.

Shipping Pathway	Number of Samples
Pacific International	50
Atlantic International	39
Great Lakes-St. Lawrence River International	19
Arctic International	31
Arctic Domestic	74

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27 **Table S3.** Model parameters used to estimate the mean number of nonindigenous zooplankton
 28 species establishing in Canadian ecosystems.

Model Parameter		Shipping Pathway					
		Great Lakes-St. Lawrence River International	Pacific International	Atlantic International	Arctic International	Arctic Domestic*	
						Atlantic	GLSLR
Sample Concentration (Negative Binomial Distribution)	<i>size</i>	0.6297	0.2783	0.8268	0.2894	1.5618	0.4034
	μ	752.00	8861.66	13099.23	1661.77	77349.90	123550.70
Population Concentration Error				Poisson			
Proportion Nonindigenous (Beta)	α	0.7515	0.2302	0.1842	0.0973	1.0696	0.2411
	β	0.4004	2.9896	14.1509	0.4625	7.9209	1.1468
All Trips							
Probability Single Propagule Establishes (Beta)	α			0.005			
	β			5			
Allee Effect	c			1			

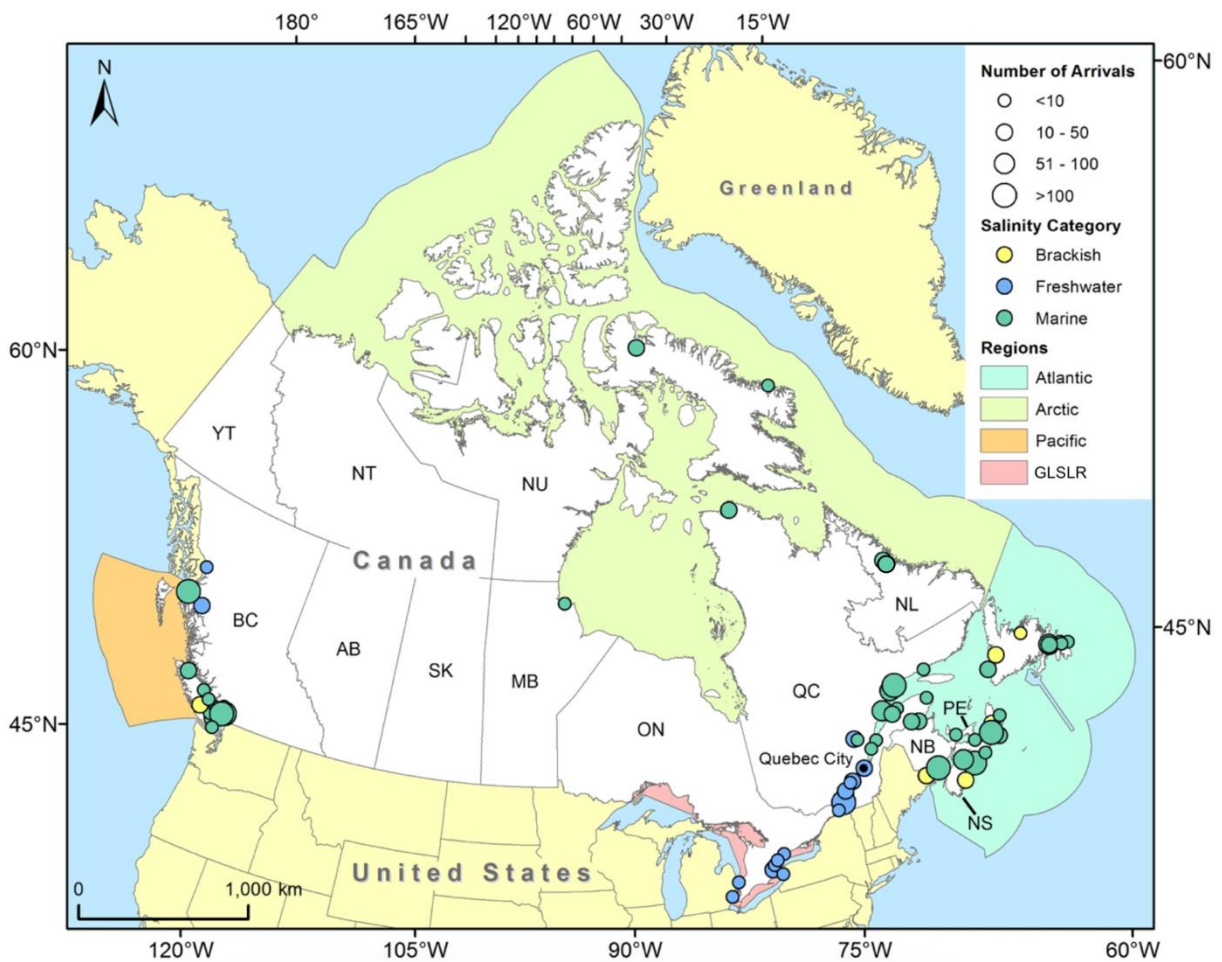
*The Arctic domestic pathway used zooplankton data from ships arriving to the Arctic from Atlantic Canada, and from internal Great Lakes-St. Lawrence River transits.

30 **Table S4.** Sensitivity analysis results.

Management Scenario	Null	Randomized Port Pairings	Transit Frequency		Mean Plankton Concentration μ		Mean Nonindigenous β		$\alpha = 0.005$	All Species	Allee Effect $c = 2$
			+25%	-25%	+25%	-25%	+25%	-25%			
NM	1.85	1.86	2.00	1.76	2.13	1.73	2.03	1.76	54.63	3.98	
E	1.87	1.89	1.99	1.74	2.13	1.72	2.02	1.70	54.32	3.92	
T (PE)	0.80	0.81	0.89	0.73	0.86	0.78	0.87	0.74	22.29	1.78	
E+T (PE)	0.75	0.77	0.81	0.71	0.82	0.79	0.83	0.68	20.83	1.69	
T (FE)	0.06	0.06	0.07	0.06	0.07	0.07	0.08	0.05	0.48	0.05	
E+T (FE)	0.05	0.06	0.06	0.06	0.06	0.07	0.07	0.03	0.35	0.03	

The response variable is the mean number of species per year among all Canadian ports (fresh, brackish, and marine) examined in this study. The management methods assessed are no management (NM), exchange only (E), treatment only (T), and exchange plus treatment (E+T). Ballast water treatment systems were either partially effective on half of the transits (PE) or fully effective on all transits (FE). An outcome with less or greater than 1:1 response indicates the model is insensitive or very sensitive to changes to a parameter. Outcomes with large deviations (>25% change) relative to the null are in bold.

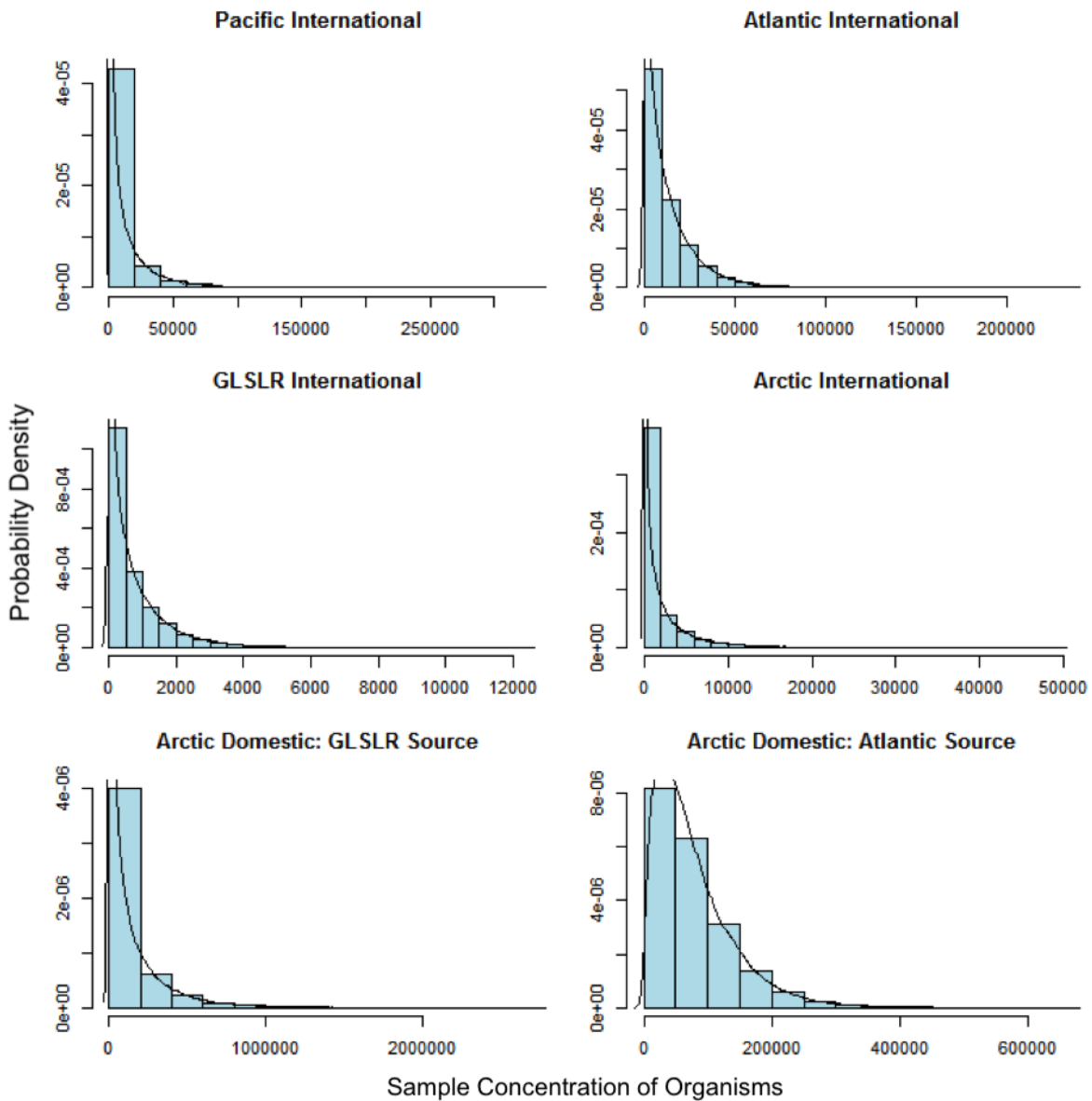
32 **Figure S1.** The Canadian geographical regions with the shipping ports examined in this study.
 33 The four Canadian regions of interest are the Pacific, Atlantic, Great Lakes-St. Lawrence River
 34 (GLSLR), and Arctic. The Great Lakes-St. Lawrence River region includes all freshwater ports
 35 upstream of and including Québec City. The destination ports ($n = 72$) included in this study are
 36 displayed by the markers where their color and size represent their salinity category and number
 37 of arrivals, respectively.



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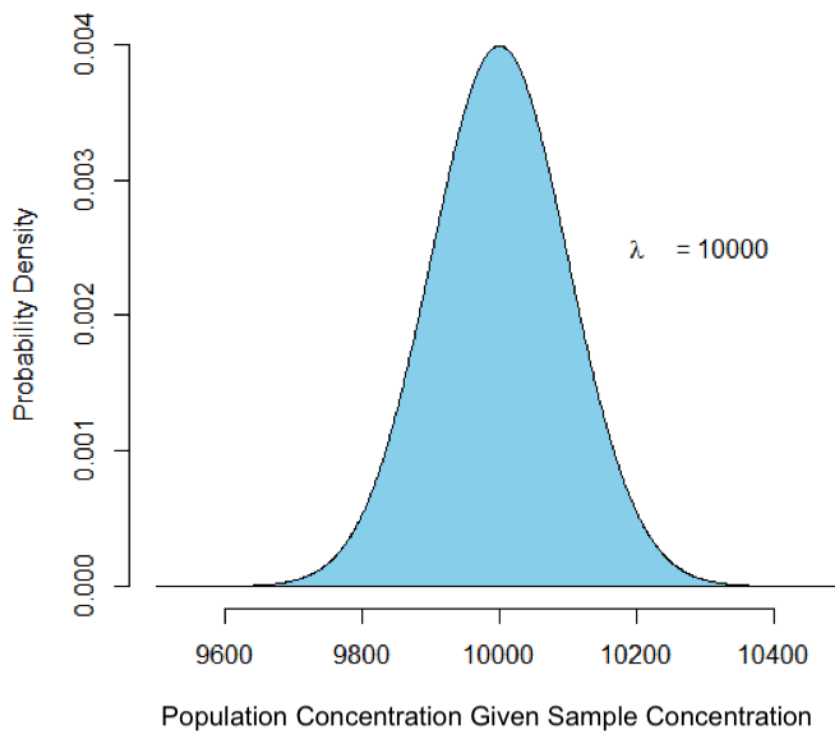
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40 **Figure S2.** Probability distribution describing the zooplankton sample concentration (individuals
41 per m³) among ship transits within each shipping pathway. The Arctic domestic pathway used
42 zooplankton data from ships arriving to the Arctic from Atlantic Canada (bottom right panel),
43 and from internal Great Lakes-St. Lawrence River (GLSLR) transits (bottom left panel). The
44 black lines represent the probability density function.



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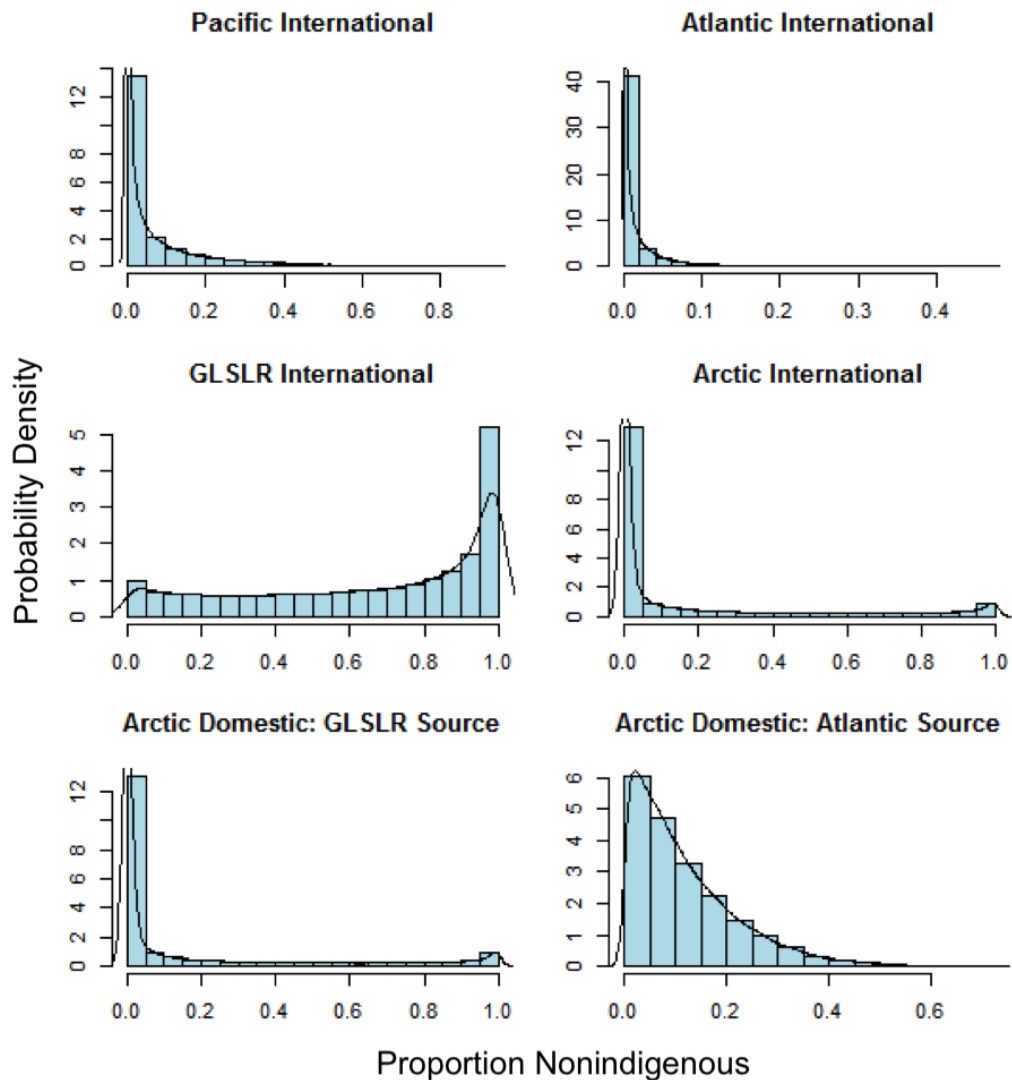
46 **Figure S3.** An example $C_p | C_s$ distribution describing the population concentration of
47 zooplankton in a single ship, with a sample concentration of 10,000 zooplankton per m^3 .



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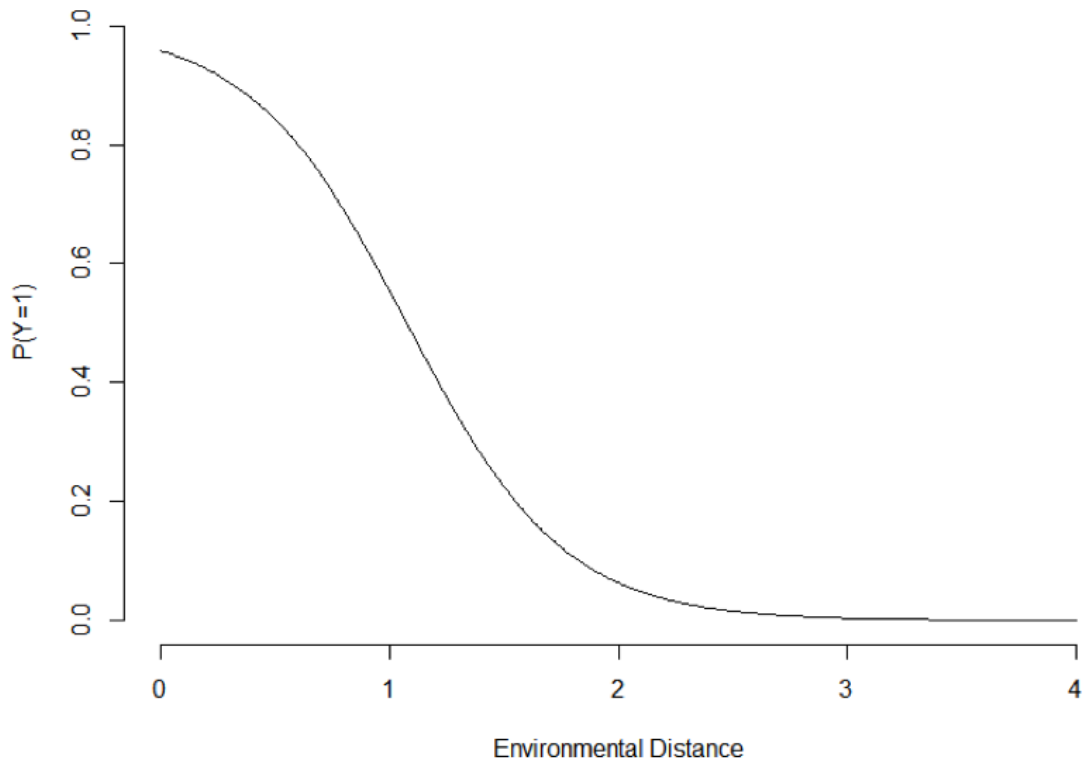
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50 **Figure S4.** Probability distributions describing the proportion of nonindigenous zooplankton out
51 of the total organism concentration among ship trips within each shipping pathway. The Arctic
52 domestic pathway used zooplankton data from ships arriving to the Arctic from Atlantic Canada
53 (bottom right panel), and from internal Great Lakes-St. Lawrence River (GLSLR) transits
54 (bottom left panel). The black lines represent the probability density function.



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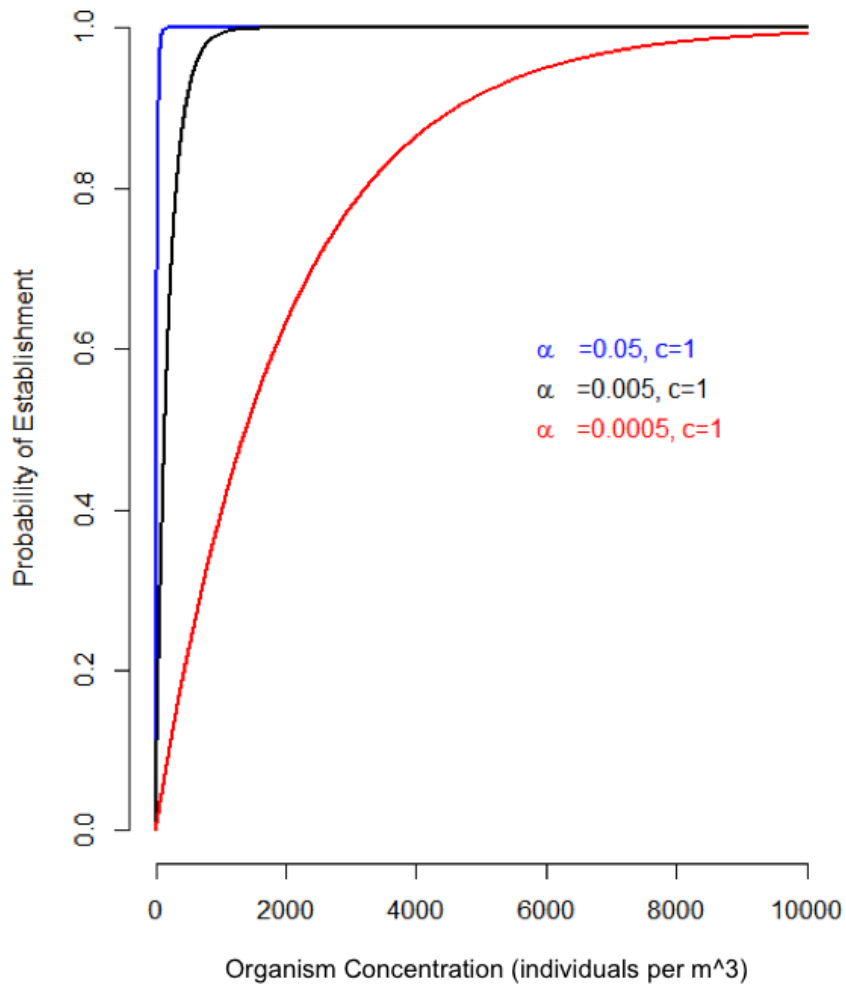
56 **Figure S5.** Environmental distance curve. $P(Y=1)$ represents the probability of survival in the
57 recipient environment given the temperature match between the source and recipient
58 environments.



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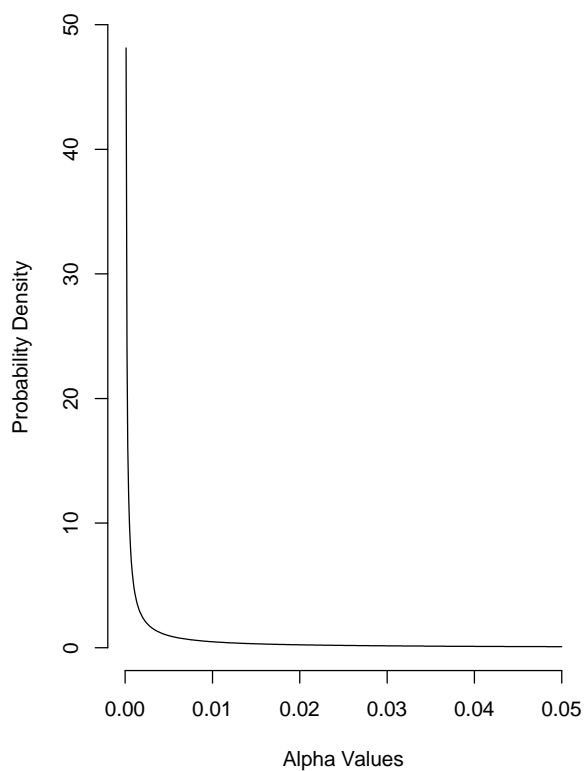
61 **Figure S6.** Examples of the probability of establishment based on the per-capita probability of
62 establishment (α), initial organism concentration (individuals per m^3), and Allee effect (c).



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65 **Figure S7.** Probability distribution describing the per-capita probability of establishment (α)
66 across multiple species in a ballast tank. This distribution was identical across all trips and
67 shipping pathways.



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