

1 Title page

2 Title: Comparison of two surgical techniques for reconstructing
3 posterolateral corner of the knee: a cadaveric study evaluated by
4 navigation system

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27 ABSTRACT

28 **Purpose:** This study aimed to evaluate the immediate effect on knee kinematics by
29 two different techniques of posterolateral corner (PLC) reconstruction.

30 **Methods:** Five intact formalin preserved cadaveric knees were used in this study.
31 Navigation system was employed to measure knee kinematics (posterior translation,
32 varus angulation and external rotation) after applying constant force and torque to the
33 tibia. Four different conditions of the knee including intact knee, PLC sectioned knee
34 and PLC reconstructed knees by the double-femoral-tunnel technique and
35 single-femoral-tunnel technique were evaluated during the biomechanical test.

36 **Results:** Sectioning the PLC structures resulted in significant increase in external
37 rotation at 30 degrees of flexion from 11.2 (2.6) degrees to 24.6 (6.2) degrees,
38 posterior translation at 30 degrees of flexion from 3.4 (1.5) mm to 7.4 (3.8) mm, varus
39 angulation at 0 degree of flexion from 2.3 (2.1) degrees to 7.9 (5.1) degrees. Both
40 reconstruction techniques significantly restored the varus stability. The external
41 rotation and posterior translation at 30 degrees of flexion after reconstruction with
42 double-femoral-tunnel technique were 10.2 (1.3) degrees and 3.4 (2.7) degrees
43 respectively, which were significantly better than that of single-femoral-tunnel
44 technique.

45 **Conclusion:** Both techniques of reconstruction showed improved stability compared
46 with PLC sectioned knees. Double-femoral-tunnel technique in PLC reconstruction
47 showed a better rotational stability and resistance to posterior translation without
48 comprising the varus stability than single-femoral-tunnel technique.

49 **Clinical Relevance:** PLC reconstruction by a double-femoral-tunnel technique would
50 achieve a better rotational control and resistance to posterior translation.

51 **Key Words:** Kinematics, PLC reconstruction, method

52 **INTRODUCTION**

53 The posterolateral instability was reported to be a significant disabling condition^{1,2}.
54 Failure to recognize the posterolateral (PLC) injury would lead to failure in
55 reconstructing anterior cruciate ligament (ACL) and posterior cruciate ligament
56 (PCL)³⁻⁷. The understanding of anatomy and biomechanics of PLC was improved in
57 the past two decades, but the best technique to reconstruct PLC was not well
58 established^{3,5,8-10}.

59

60 The anatomy of the PLC is complex and it is composed of both static and dynamic
61 stabilizers. Previous studies^{4,7,11-15} reported that there were three primary stabilizers
62 of PLC including the lateral collateral ligament (LCL), popliteus muscle tendon unit
63 and popliteo-fibular ligament (PFL), which served as the primary restrainers of tibial
64 external rotation maximally at 30 degrees of flexion. The LCL was suggested to act as
65 a primary restraint to varus angulation, whereas the PFL and the popliteus as a
66 secondary stabilizers to varus angulation.

67

68 Larson and coworkers¹⁶ described a technique of reconstruction in 1996 that involved
69 the utilization of a free semitendinosis graft as a figure of eight through a transfibular
70 tunnel and the fixation at an isometric point of LCL and PFL by screw and washer in
71 the lateral femoral condyle. In the past decades, various modifications of
72 reconstruction technique and development on anatomical reconstruction of PLC were
73 reported^{8,17-19}. Kumar and coworkers¹⁷ described a technique in 1999 by drilling a
74 tunnels in the fibular head and the lateral femoral epicondyle. The PLC structure was
75 reconstructed by using autogenous tendon graft passing through the tunnels and
76 secured with an interference screw in the lateral epicondyle tunnel. However, residual
77 laxity was reported after PLC reconstruction with this technique⁸. It was suggested

78 that the single isometric femoral tunnel did not address the different insertion sites of
79 popliteus tendon and LCL. In 2005, Arciero⁸ suggested another technique that aimed
80 to provide a more anatomical reconstruction of the PLC by recreating the insertion
81 sites of the LCL and the popliteus on the femur using a dual femoral sockets
82 technique.

83

84 The purpose of this study was to compare the immediate effect of
85 double-femoral-tunnel technique and single-femoral-tunnel technique for PLC
86 reconstruction on knee kinematics, using an isolated cadaveric injury model. It was
87 hypothesized that the knee kinematics was better restored by double-femoral-tunnel
88 technique.

89

90 **METHOD**

91 **Specimen Preparation:** Ten intact human cadaveric formalin preserved knees were
92 used in this study. The specimens were checked by inspection, palpation and physical
93 examination including Lachman test and varus/valgus stress test to detect any obvious
94 bony deformity, previous fracture and ligamentous laxity. Four knees were used for
95 the development of research protocol. One cadaveric knee was found to have severe
96 degeneration after dissection that was not suitable for the study. Five cadaveric knees
97 were finally employed in the experimental test.

98

99 For all cadaveric specimens, the femur was sawed at 15cm above the joint line and the
100 ankle was disarticulated, keeping the distal tibio-fibular joint intact. The skin and
101 muscle 10cm above and below the joint line were removed, keeping the interosseous
102 membrane intact. The soft tissue was carefully dissected by a single surgeon while
103 keeping the following structures intact: medial collateral ligament, posteromedial

104 complex, ACL, PCL, popliteus muscle tendon unit, LCL, PFL and menisci. Apart
105 from the above structures, all other soft tissues were removed including the capsule,
106 patellar tendon, iliotibial band, biceps tendon and hamstring tendons. The above
107 procedures aimed to minimize the effects of the muscle tone, restraint caused by
108 capsule so that the two reconstruction techniques were compared in a well controlled
109 condition.

110

111 The dissected knees were put on a custom made testing apparatus in which the distal
112 femur was rigidly held and it allowed a free moving of tibia and fibula for conducting
113 biomechanical test. A custom-made 8mm diameter intramedullary nail with an
114 adapter over the distal end was inserted from distal tibia to shaft of tibia. Two 4.5mm
115 shanz screws were inserted to the tibia through two locking holes of the intramedullary
116 nail for anchoring the trackers of the navigation system (Figure 1). A torque sensor
117 (FUTEK, USA) with accuracy less than 0.02Nm was attached to the distal end of
118 intramedullary nail for application of external rotation torque during the test.
119 Another two parallel 4.5mm shanz screws were inserted over distal shaft of femur for
120 anchoring the trackers of the navigation system.

121

122 **Testing protocol:** Intra-operative navigation system (BrainLab, Germany) was
123 employed for measuring the testing parameters. The BrainLab ACL reconstruction
124 system version 2.0 was used to measure the degrees of external rotation and posterior
125 translation while the BrainLab Total Knee Replacement System version 2.1 was used
126 to measure the varus angulation. For the biomechanical test, constant force and torque
127 were firstly applied to the tibia of the intact knee. It included anterior and posterior
128 pulling forces of 133N²⁰ for measuring anterior-posterior laxity at 30/90 degrees of
129 flexion; rotational torques of 5Nm²⁰ for measuring internal/external rotational laxity

130 and varus/valgus laxity at 30/90 and 0/30 degrees of flexion respectively. The degree
131 of flexion and extension was guided by the navigation system.

132

133 The popliteus, PFL and the LCL structures of the knee was then sectioned through its
134 mid substance. Same testing procedures were repeated to document the laxity of the
135 sectioned knee. Two different techniques of PLC reconstruction were performed. In
136 both techniques, a formalin fixed tibialis anterior tendon allograft was harvested from
137 the same leg and both ends of the tendon were whipstitched by ethibon 5 suture for
138 1.5cm. The details of both reconstruction techniques were described in the following
139 paragraphs. The same testing procedures were employed after each reconstruction.

140

141 **Surgical technique:** Technique A (Figure 2) - This technique aimed to reconstruct the
142 LCL and PFL in a more anatomical way by creating two femoral tunnels according to
143 the footprint of the LCL and the popliteus tendon as described by Arciero⁸. A 2.4mm
144 guide pin was inserted at the anterior and inferior to the fibula insertion of the LCL. It
145 then posteromedially exited to the posterior aspect of the fibula head at level of the
146 proximal tibio-fibular joint. A 7mm diameter transfibular tunnel was created by the
147 cannulated reamer. A 2.4mm guide pin was inserted to the centre of the footprint of
148 LCL over the lateral epicondyle of femur towards the medial cortex. A 7mm femur
149 tunnel was created by cannulated reamer for the reconstruction of the LCL. The
150 popliteofibular tunnel was created after establishing the tunnel for the LCL by
151 inserting a 2.4mm guide pin to the centre of the footprint of the popliteus tendon. A
152 7mm popliteofibular tunnel was created by the cannulated reamer. The tendon graft
153 was passed through the transfibular tunnel. The posterior limb was passed along the
154 posterior aspect of the proximal tibio-fibular joint, through the popliteus hiatus and
155 then through the poplitealfibula tunnel towards the medial cortex. The anterior limb

156 was passed over the posterior limb, then through the LCL tunnel towards the medial
157 cortex. The graft was tensioned at 30 degrees of flexion, internal rotation and slight
158 valgus. It was then fixed by sutures tied around a post created by a 4.5mm cortical
159 screw with washer.

160

161 Technique B (Figure 3) - This was the modified Larson technique¹⁶ described by
162 Kumar¹⁷, which involved the use of single femoral tunnel for the fixation of both
163 anterior and posterior limb of the graft. The transfibular tunnel created in technique A
164 was reused. The femoral tunnel over the femoral insertion of the LCL created in
165 technique A was used, with the tunnel enlarged to 9mm diameter. Graft was passed
166 through the transfibular tunnel, both anterior and posterior limb were passed through
167 the femoral tunnel with the whipping suture. The graft was tensioned at a position of
168 30 degrees of flexion, internal rotation and slight valgus. The grafts were fixed by
169 sutures tied around a post created by a 4.5mm cortical screw.

170

171 **Statistical Analysis:** One-way multivariate analysis of variance (MANOVA) with
172 repeated measures was employed to examine the difference in all dependent variables.
173 One-way analysis of variance (ANOVA) with repeated measures was employed on
174 each parameter to examine any significant differences between all testing conditions,
175 which included intact knee, sectioned knee and reconstructed knees. Least Square
176 Difference (LSD) post-hoc pairwise comparisons was used between the different
177 conditions. All statistical tests were calculated by statistical analysis software (SPSS
178 version 16.0, USA). The level of significance was set at $p=0.05$. Results were
179 presented as mean (SD).

180

181 **RESULTS**

182 MANOVA showed that knee kinematics was significantly affected by the four
183 different conditions of the knee ($P<0.05$). ANOVA also showed that all dependent
184 variables except posterior translation at 90 degrees of flexion was significantly
185 affected by the four conditions of the knee ($P<0.05$). The results of the post-hoc
186 pairwise comparisons in different conditions of posterior translation, external rotation
187 and varus angulation were summarized in table 1.

188

189 **Posterior Translation (Figure 4):** After sectioning the structures of the PLC, there
190 was a significant increase in posterior translation at 30 degrees of flexion from 3.4
191 (1.5) mm to 7.4 (3.8) mm after application of posterior pulling force. After
192 reconstruction of the PLC by technique A, there was an improvement at 30 degrees of
193 flexion to 3.4 (2.7) mm, which showed a significance difference compared to the
194 sectioned knee ($p<0.05$). There was no significant difference compared to the intact
195 knee ($p>0.05$). Reconstruction of PLC by technique B decreased posterior translation
196 from 7.4 (3.8) mm to 5.0 (2.3) mm compared to sectioned knee, which was not
197 significant ($p>0.05$). Moreover, reconstruction by technique B showed inferior result
198 in resisting posterior translation when compared with technique A ($p<0.05$).

199

200 **External rotation (Figure 5):** The external rotation of the intact knee was 11.2 (2.6)
201 degrees and 15.0 (5.3) degrees at 30 and 90 degrees of flexion respectively. There was
202 significant increase in external rotation after sectioning the PLC structures, which
203 measured as 24.6 (6.2) degrees at 30 degrees of flexion ($p<0.05$) and 26.6 (7.3)
204 degrees at 90 degrees of flexion ($p<0.05$). Both techniques of PLC reconstruction
205 improved the rotational laxity when compared to the sectioned knee ($p<0.05$).
206 Reconstruction by technique A improved the external rotation at 30 degrees of flexion
207 from 24.6 (6.2) degrees to 10.2 (1.3) degrees, which was comparable to the intact

208 knee ($p>0.05$). The reconstruction with technique A showed a better result than that of
209 technique B, which measured as 14.4 (1.5) degrees ($p<0.05$). There was no significant
210 difference in external rotation at 90 degrees of flexion between technique A and
211 technique B ($p>0.05$).

212

213 **Varus angulation:** At 0 degree of flexion, varus angulation significantly increased
214 from 2.3 (2.1) degrees to 7.9 (5.1) degrees after sectioning the structures of PLC
215 ($p<0.05$). Both reconstruction techniques restored the varus laxity to 2.0 (1.5) degrees
216 in technique A and 1.0 (0.5) degrees in technique B, which showed no significant
217 difference between the reconstructed knees and the intact knee ($p>0.05$). However,
218 there was no significant difference between the two reconstruction techniques
219 ($p>0.05$). At 30 degrees of flexion, the varus angulation significantly increased from
220 4.0 (3.5) degrees to 12.8 (5.5) degrees ($p<0.01$). After reconstruction by technique A,
221 the varus laxity significantly decreased from 12.8 (5.5) degrees to 4.9 (2.9) degrees
222 ($p<0.05$). There was no significant difference between the sectioned knee and the
223 reconstructed knee with technique B; and between the reconstructed knees with both
224 techniques.

225

226 **DISCUSSION**

227 There were numerous surgical techniques proposed for restoring the posterolateral
228 instability in the literature, which included acute repair, augmentation by the
229 surrounding structures and reconstruction by using allograft or autograft. In the 1980s,
230 Hughston and Jacobsen²¹ used a lateral gastrocnemius, capsular, LCL and popliteus
231 advancement procedure that relied on the integrity of posterolateral structures.
232 However, the result was not satisfactory. Clancy and coworkers¹ diverted the biceps
233 tendon and fixed it to the lateral femoral condyle by a screw and washer that aimed to

234 reduce the external rotation of the knee, but the PLC function could not be completely
235 restored. Muller²² employed a strip of the iliotibial band along the line of the popliteus
236 tendon as a popliteal bypass procedure. The clinical outcomes and the degrees of
237 residual laxity of this technique was not clearly reported.

238

239 In 1990s, Larson and coworkers¹⁶ advocated a technique utilizing a free
240 semitendinosis graft as a figure of eight through a fibula tunnel and around a screw
241 and washer in the lateral femoral condyle to reconstruct the LCL and the PFL. The
242 tunnel technique was similar to the one proposed by Kumar and coworkers¹⁷ but it
243 was simplified that the semitendinosis loop formed a triangle and was secured in the
244 lateral epicondyle by using an interference screw. In 2004, the two-tailed technique
245 was described by La Prade and coworkers²³ that offered a more anatomical
246 reconstruction by adding a tibial tunnel to reconstruct the popliteus, which stressed the
247 reconstruction of the three primary stabilizers (Popliteus, PFL and LCL). Nau and
248 coworkers²⁴ in 2005, compared the two-graft technique and a two-tunnel technique
249 that only stressed the reconstruction of static stabilizing structures of the PLC in a
250 cadaveric study. It was reported that both techniques restored the external rotation
251 laxity at 30 and 90 degrees of flexion, varus laxity in 0 and 30 degrees of flexion. The
252 two-tunnel technique was similar to the technique A in the current study, which
253 supported the previous result.

254

255 The anatomy over the lateral side of the knee was firstly described⁷ in 1982 that it was
256 divided into three layers from superficial to deep. The biomechanics of PLC was then
257 studied by sequential sectioning of the various structures in cadaver^{4, 7, 11-15}. From
258 these studies, the LCL was found to be the primary restraint to varus movement. The
259 PFL and popliteus tendon were reported for resisting the external rotation of the knee.

260 Both ACL and PCL served as the secondary restraint to the varus angulation and
261 external rotation. Moreover, the structures of PLC were secondary restraints to
262 posterior translation, which the current result showed an increased posterior
263 translation after sectioning the PLC structures.

264

265 Brinkman and coworkers²⁵ quantitatively documented the insertion geometry of the
266 LCL and popliteus tendon and found that the popliteus tendon inserted around 11mm
267 distally and 0.84mm either anterior or posterior to the LCL. Therefore, it was
268 concluded that single-femoral-tunnel technique could not restore the normal anatomy.
269 The current study showed that single-femoral-tunnel technique did not completely
270 restore the rotational laxity in the sectioned knee and it was inferior to the
271 double-femoral-tunnels technique as well. There was no significance difference in
272 external rotation and varus angulation between the intact knee and the reconstructed
273 knee with double-femoral-tunnel technique. The reconstruction with
274 double-femoral-tunnel technique included two femoral tunnels with two separate
275 limbs of soft tissue graft to simulate the function of the LCL and PFL, which
276 explained the experimental results of better rotational control. Apart from using the
277 tendon graft of tibialis anterior for the reconstruction, literature suggested using
278 Achilles tendon allograft⁹, split Achilles tendon allograft²⁶ for reconstructing PLC
279 with double-femoral-tunnel technique.

280

281 In the literatures, most of the studies employed interference screws as a method of
282 fixation for the soft tissue graft in the femoral tunnels. During the development of the
283 research protocol, interference screw was firstly used for fixation and it was found
284 that the graft loosened during biomechanical test especially after the rotational torque
285 applied. One of the possible reasons was that the formalin fixed specimen affected the

286 bone quality, graft quality and the subsequent fixation. Therefore, the graft was fixed
287 by the tie-on post technique in the current study. In clinical practice, it was suggested
288 that the graft should be adequately protected postoperatively or double fixation
289 method should be employed.

290

291 The navigation system developed for ACL reconstruction would assist surgeon to
292 evaluate the anteroposterior translation and rotation displacement at 30 and 90 degrees
293 of flexion. Due to the accurate measurement provided by the system, it was employed
294 in the current study to measure knee kinematics. Another software in the navigation
295 system (BrainLab Total Knee Replacement System version 2.1) was used to evaluate
296 the treatments effect on varus angulation. The real time changes in knee kinematics
297 presented by the system provided valuable information for surgeon to examine the
298 intact, sectioned and reconstructed knees under anaesthesia. During operation in
299 human, it involved passing the graft through various soft tissue plane and bone
300 tunnels, it might be possible of trapping soft tissue within these tunnels and might
301 result in insufficient graft tensioning. Utilization of navigation system to verify knee
302 kinematics before and after reconstruction greatly avoided the problem of insufficient
303 tensioning.

304

305 The cadaveric knees in this study were fixed by formalin, which caused a limitation in
306 the range of motion and the degree of ligament laxity. This negative effect was
307 avoided by using each specimen to serve as its control. The measurements were
308 conducted in the same knee for four conditions including intact knee, sectioned knee
309 and reconstructed knee with both techniques. There was another limitation in this
310 study that the biomechanical test was not able to fully simulate the in-vivo conditions.
311 Moreover, the function of dynamic stabilizers was not addressed in this study. During

312 the PLC reconstruction in human, the anterior limb of the graft was tunneled deep to
313 the biceps femoris tendon insertion and adjacent to the native LCL, but these
314 procedures could not be repeated in the current study as the muscle tone of biceps was
315 absent. Lastly, the graft healing and maturation, which are the most important clinical
316 issues, were not investigated. In this study, the real physiological condition could not
317 be simulated but the tested conditions could be isolated clearly, Therefore, the results
318 were reproducible, which facilitated the experiemnt to determine the differences
319 between the two reconstruction techniques.

320

321 **CONCLUSION**

322 Both techniques of PLC reconstruction in the current study showed improved stability
323 compared with PLC-sectioned knee. The PLC reconstruction with
324 double-femoral-tunnel technique showed a better rotational stability and resistance to
325 posterior translation without comprising the varus stability than the
326 single-femoral-tunnel technique.

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402 surgical technique utilizing a bifid Achilles tendon allograft and a double

404 **Figure 1.** A line diagram showing how the intramedullary nail was fixed inside the
405 distal tibia by two shanz screws connected with navigation trackers. The distal end of
406 the intramedullary nail was connected to the torque sensor for application of the
407 controlled torque.

408

409 **Figure 2.** PLC reconstruction by technique A. Two femoral tunnels measured 7mm
410 diameter were created according to the footprint of LCL and Popliteus tendon. The
411 tendon graft was passed through the 7mm transfibular tunnel, the posterior limb was
412 passed to the popliteal tunnel and the anterior limb was passed over the posterior
413 limb, then through the LCL tunnel towards the medial cortex. It was then fixed by
414 sutures tied around a post created by a 4.5mm cortical screw with washer.

415

416 **Figure 3.** PLC reconstruction by technique B. A single femoral tunnel measured 9mm
417 in diameter was created over the lateral epicondyle for the passage of both anterior
418 and posterior limbs of the graft. The graft was fixed by sutures tie around a post.

419

420 **Figure 4.** The posterior translation (mm) for each tested knee state (intact, sectioned,
421 technique A, technique B) at 30 degree of flexion. Technique A,
422 double-femoral-tunnel technique; Technique B, single-femoral-tunnel technique.
423 Asterisks (*) indicate a significant difference ($p < 0.05$).

424

425 **Figure 5.** The external rotation (deg) for each tested knee state (intact, sectioned,
426 technique A, technique B) at 30 degrees of flexion. Technique A,
427 double-femoral-tunnel technique; Technique B: single-femoral-tunnel technique.
428 Asterisks (*) indicate a significant difference ($p < 0.05$).

429

430 **Table 1.** Statistical results of different parameters comparing the four testing
431 conditions (intact, sectioned, technique A and technique B)