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## Importance measures for non-coherent-system analysis

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# Importance Measures for Non-Coherent-System Analysis

Sally Beeson and John D. Andrews

**Abstract**—Component importance analysis is a key part of the system reliability quantification process. It enables the weakest areas of a system to be identified and indicates modifications, which will improve the system reliability. Although a wide range of importance measures have been developed, the majority of these measures are strictly for coherent system analysis. Non-coherent systems can occur and accurate importance analysis is essential. This paper extends four commonly used measures of importance, using the noncoherent extension of Birnbaum’s measure of component reliability importance. Since both component failure and repair can contribute to system failure in a noncoherent system, both of these influences need to be considered. This paper highlights that it is crucial to choose appropriate measures to analyze component importance. First the aims of the analysis must be outlined and then the roles that component failures and repairs can play in system state deterioration can be considered. For example, the failure/repair of components in safety systems can play only a passive role in system failure, since it is usually inactive, hence measures that consider initiator importance are not appropriate to analyze the importance of these components. Measures of importance must be chosen carefully to ensure analysis is meaningful and useful conclusions can be drawn.

**Index Terms**—Fault tree, importance measure, noncoherent, structure function.

## ACRONYMS<sup>1</sup>

B&P	Barlow and Proschan
C	controller
CS	cut set
FC	failure critical
FT	fault tree
FV	Fussell-Vesely
II	ignition source
IV	isolation valve
LF	leaking flange
LP	leaking pipe
N/A	not applicable
PRV	pressure-relief valve
RC	repair critical
s-	statistical(ly)
SF	system failure
SWS	system is in a working state

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<sup>1</sup>The singular and plural of an acronym are always spelled the same.

## NOTATION

$C_k$	prime-implicant set $k$ for noncoherent systems; minimal CS $k$ for coherent systems
$G_i(q)$	Birnbaum measure of component-reliability importance
$G_i^F(q)$	component-failure criticality: $Pr\{ \text{SWS such that failure of component } i \text{ causes SF} \}$
$G_i^R(q)$	component-repair criticality: $Pr\{ \text{SWS such that repair of component } i \text{ causes SF} \}$
$G_{i,j}(q)$	$Pr\{ \text{SWS such that components } i \text{ and } j \text{ are FC} \}$
$G_{i,\bar{j}}(q)$	$Pr\{ \text{SWS such that component } i \text{ is FC and component } j \text{ is RC} \}$
$G_{\bar{i},j}(q)$	$Pr\{ \text{SWS such that component } i \text{ is RC and component } j \text{ is FC} \}$
$G_{\bar{i},\bar{j}}(q)$	$Pr\{ \text{SWS such that components } i \text{ and } j \text{ are RC} \}$
$G_{M_{i,j}}(q)$	$Pr\{ \text{SWS such that components } i \text{ and } j \text{ are FC, and the failure of either } i \text{ or } j \text{ is sufficient to cause SF} \}$
$G_{M_{\bar{i},j}}(q)$	$Pr\{ \text{SWS state such that component } i \text{ is FC and component } j \text{ is RC, and the failure of } i \text{ or the repair of } j \text{ alone is sufficient to cause SF} \}$
$G_{M_{i,\bar{j}}}(q)$	$Pr\{ \text{SWS such that component } i \text{ is RC and component } j \text{ is FC, and the repair of } i \text{ or the failure of } j \text{ alone is sufficient to cause SF} \}$
$G_{M_{\bar{i},\bar{j}}}(q)$	$Pr\{ \text{SWS such that components } i \text{ and } j \text{ are RC, and the repair of either } i \text{ or } j \text{ alone is sufficient to cause SF} \}$
$\phi(x)$	structure function: system state in terms of the system components-states
$I_{C_i}^F$	component-failure criticality measure
$I_{C_i}^R$	component-repair criticality measure
$I_{FV_i}$	FV of component importance
$I_{FV_i}^F$	FV measure of component-failure importance
$I_{FV_i}^R$	FV measure of component-repair importance
$I_{IN_i}$	B&P measure of initiator importance
$I_{IN_i}^F$	Component-Initiator failure importance
$I_{IN_i}^R$	Component-Initiator repair importance
$I_{E_i}$	Component-Enabler importance
$I_{E_i}^F$	component-failure enabler importance
$I_{E_i}^R$	component-repair enabler importance
$\lambda$	failure rate
$n_p$	total number of prime-implicant sets or minimal CS
$n_c$	total number of components in prime-implicant set
$n$	total number of components in a system
$n_{FI}$	total number of component-failure initiators
$n_{RI}$	total number of component-repair initiators

$p_i$	$p_i(t)$ : working probability of component $i$
$q_i$	$q_i(t)$ : failure probability of component $i$
$q_{Av}$	average unavailability
$Q_{Sys}(t)$	system unavailability function: $\Pr\{\text{system is in a failed state at time } t\}$
$Q_M(t)$	modified unavailability function
$Q(1_i, \underline{q}(t))$	$\Pr\{\text{system fails with component } i \text{ failed}\}$
$Q(0_i, \underline{q}(t))$	$\Pr\{\text{system fails with component } i \text{ working}\}$
$\tau$	mean time to repair
$\theta$	test or inspection interval
$W_{Sys}(0, t)$	mean number of SF in $[0, t)$
$w_i$	$w_i(t)$ : unconditional failure intensity of component $i$
$v_i$	$v_i(t)$ : unconditional repair importance of component $i$ failure

## I. INTRODUCTION

**R**ISK and reliability assessment techniques have developed over many years to meet the needs of industries such as the nuclear and oil industries; failure of safety systems in a nuclear power plant or on an offshore platform can have catastrophic consequences. Many regulatory bodies have now incorporated quantified risk assessment into safety requirements, to ensure that the risk of SF is as low as practicable.

Many techniques for risk and reliability assessment have been developed. One of the most popular is FT Analysis [1], [2], a deductive technique involving both qualitative and quantitative analysis. Qualitative analysis identifies the minimal CS, (or prime implicant sets in the case of noncoherent systems). Quantitative analysis involves quantification of the system unavailability and unreliability parameters and the analysis of component and/or minimal cut-set importance.

A FT is constructed by linking component states (usually failure modes) by gates (logical operators). The fundamental gates used during construction are AND gate, OR gate, and NOT gate. The construction process determines whether the FT structure is coherent, containing only AND gates and OR gates, or potentially noncoherent if it contains the NOT gate. A structure is noncoherent when its  $\phi(\underline{x})$  does not comply with the following definition of coherency [3], [4]:

- 1) Every component  $i$ ,  $i = 1, \dots, n$  is relevant to the system state:

$$\phi(1_i, \underline{x}) \neq \phi(0_i, \underline{x}) \text{ for some } \underline{x}.$$

- 2) The structure function is monotonically increasing (non-decreasing)

$$\begin{aligned} \phi(1_i, \underline{x}) &\geq \phi(0_i, \underline{x}) \quad \forall i; \\ \phi(1_i, \underline{x}) &\equiv \phi(x_1, \dots, x_{i-1}, 1, x_{i+1}, \dots, x_n), \\ \phi(0_i, \underline{x}) &\equiv \phi(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n). \end{aligned}$$

Andrews [5] demonstrated that for systems, such as multi-tasking systems, the use of NOT logic was essential for a meaningful analysis. The consideration of NOT logic during FT construction often results in a noncoherent FT. Numerous algorithms for identifying the prime implicant sets of the FT, and more recently techniques enabling system unavailability and un-

reliability to be calculated, have been developed for this circumstance [6], [7]. However, importance analysis of noncoherent FT has received little attention. [8] attempts to extend some measures of importance; however, this extension of Birnbaum's measure was shown to be conceptually incorrect, rendering the extension of the other measures, based on this measure, incorrect. [9] extends Birnbaum's measure of importance to enable analysis of noncoherent systems.

This paper provides noncoherent extensions to 4 commonly used measures of importance; 3 of these measures are based on Birnbaum's measure of importance: component criticality, B&P measure of Initiator importance, and Lambert's measure of Enabler importance. The fourth measure to be extended is the FV measure of component importance.

When the various extensions are introduced, a simple system with 5 prime implicants is used to demonstrate how these measures are calculated. These 5 prime implicants are:  $\{abd, \bar{a}\bar{b}c, \bar{c}de, ade, acd\}$

The Boolean expression obtained from these prime implicants is:

$$T = a \cdot b \cdot d + a \cdot \bar{b} \cdot c + \bar{c} \cdot d \cdot e + a \cdot d \cdot e + a \cdot c \cdot d. \quad (1)$$

The system unavailability can be obtained using the method outlined in [6]:

$$\begin{aligned} Q_{Sys}(t) &= q_a \cdot q_b \cdot q_d + q_a \cdot p_b \cdot q_c + p_c \cdot q_d \cdot q_e \\ &\quad + q_a \cdot q_d \cdot q_e + q_a \cdot q_c \cdot q_d - q_a \cdot q_b \cdot q_c \cdot q_d \\ &\quad - q_a \cdot q_b \cdot q_d \cdot q_e \\ &\quad - q_a \cdot p_b \cdot q_c \cdot q_d - q_a \cdot p_c \cdot q_d \cdot q_e \\ &\quad + q_a \cdot q_b \cdot q_c \cdot q_d \cdot q_e. \end{aligned} \quad (2)$$

## II. MEASURES OF IMPORTANCE

Importance analysis is a part of the system quantification process which enables the analyst to rank the contribution that each component provides to SF, and thus identify the weakest areas of the system. Efforts to improve reliability can then be concentrated on those areas whose contribution indicates that by upgrading them, the maximum improvement in system reliability can be achieved. Importance measures assign a numerical value between 0 and 1 to each system component or minimal CS; the 1 signifies the highest level of importance. This signifies system susceptibility to component or minimal cut-set failure. Numerous measures of importance have been developed to enable analysts to assess the roles a component failure can play in the deterioration of the system state. For example, the B&P Initiator importance measure considers the role that component failures play in causing SF, whereas the Lambert Enabler importance measure is concerned with the indirect role that a component failure plays in allowing other components to cause SF.

Measures of importance can be categorized as either deterministic or probabilistic. Deterministic measures assess the importance of a component or minimal cut-set without considering component reliability. Although these measures can be useful early in the design phase when information concerning component-failure probability is limited, generally probabilistic measures of importance are preferred because they provide more-valuable information.

Probabilistic measures of importance can be further categorized as either ‘measures concerned with system unreliability (contributing to failure frequency)’ or ‘measures concerned with system unavailability (contributing to failure probability)’. There is a clear and important distinction between the information the various measures of importance provide; consequently, measures must be chosen to suit the objectives of the study being performed.

Reliability  $\equiv \Pr\{\text{an item, system, or component operates without failure for a stated period of time, under specified conditions}\}$ .

Availability  $\equiv \Pr\{\text{an item, system, or component can perform its required function at a particular time}\}$ .

System availability is only of interest when SF can be tolerated; for hazardous industries, SF can be catastrophic; in such cases system unreliability must be assessed as opposed to system unavailability. For reliable systems, the unreliability can be approximated by the  $s$ -expected number of SF.

### III. BIRNBAUM’S MEASURES AND ITS EXTENSION FOR NON-COHERENT ANALYSIS

Birnbaum introduced a probabilistic measure of component reliability importance [10]:  $\Pr\{\text{component } i \text{ is critical to SF}\} = \Pr\{\text{the system is residing in a critical state for a component, such that its failure causes SF}\}$ :

$$G_i(\underline{q}) = Q_{Sys}(1_i, \underline{q}(t)) - Q_{Sys}(0_i, \underline{q}(t)) = \frac{\partial Q_{Sys}(t)}{\partial q_i(t)}. \quad (3)$$

The system unavailability can be calculated using the method outlined in [6]. In addition to providing an important measure in its own right, this measure also forms the basis for other measures of importance, including the measure of component criticality, B&P measure of component Initiator importance, and Lambert’s measure of component Enabler importance.

When analyzing noncoherent FT, both the ‘component failed states’ and ‘component working states’ can contribute to SF. Hence failed and working states occur in the prime implicant sets and consequently in the system unavailability function. Thus, to calculate  $\Pr\{\text{a component is critical to SF}\}$ , it is necessary to consider both component-failure and component-repair. Birnbaum’s failure (repair) criticality for component  $i$  is calculated by considering only the role that event  $i$ , and event  $\bar{i}$ , have in SF;

- event  $i \equiv$  the failed state of component  $i$ ,
- event  $\bar{i} \equiv$  the working state of component  $i$ .

In mathematical equations,

$p_i \equiv \Pr\{\text{a component works}\}$ , and can be either reliability or availability,

$q_i \equiv \Pr\{\text{a component does not work}\}$ , and can be either unreliability or unavailability.

[9] develops an extension of Birnbaum’s measure for the analysis of noncoherent systems. From this, expressions for the failure criticality, repair criticality, and total criticality, can be obtained: (4)–(6). These equations hold provided that Henley and Inagaki’s method was used to calculate  $Q_{Sys}(t)$ . A component is FC at time  $t$  if and only if the system is in a

working state such that the failure of component  $i$  causes the system to fail.

$$G_i^F(\underline{q}) = \frac{\partial Q_{Sys}(t)}{\partial q_i}. \quad (4)$$

Similarly a component is RC at time  $t$  if and only if the system is in a working state such that the repair of component  $i$  causes the system to fail. Repair criticality for component  $i$  is given in (5).

$$G_i^R(\underline{q}) = \frac{\partial Q_{Sys}(t)}{\partial p_i}. \quad (5)$$

During a ‘noncoherent system analysis’ the role of component failure and the role of component repair are considered separately. The overall contribution of component  $i$  is then:

$$G_i(\underline{q}) = G_i^F(\underline{q}) + G_i^R(\underline{q}). \quad (6)$$

Consider the Boolean expression introduced earlier for the example system; the system unavailability function is given in (2).

As an example calculation for Birnbaum’s measure of importance for component  $b$ , the failure and repair importance are calculated using (4) and (5), and are then summed.

$$\begin{aligned} G_b^F(\underline{q}) &= q_a \cdot q_d - q_a \cdot q_c \cdot q_d - q_a \cdot q_d \cdot q_e + q_a \cdot q_c \cdot q_d \cdot q_e \\ &= q_a \cdot q_d \cdot (1 - q_c) \cdot (1 - q_e); \\ G_b^R(\underline{q}) &= q_a \cdot q_c - q_a \cdot q_c \cdot q_d = q_a \cdot q_c \cdot (1 - q_d); \\ G_b(\underline{q}) &= q_a \cdot q_d \cdot (1 - q_d) \cdot (1 - q_e) + q_a \cdot q_c \cdot (1 - q_d). \end{aligned}$$

### IV. THE COMPONENT CRITICALITY MEASURE

For coherent systems, the component criticality measure is defined as: the probability that component  $i$  is critical to the system and  $i$  has failed, weighted by the system unavailability at time  $t$ , viz,

$$I_{C_i} = \frac{G_i(\underline{q}) \cdot q_i}{Q_{Sys}(t)}. \quad (7)$$

When analysis is noncoherent, then component-failure and component-repair can cause SF; hence an expression for failure-importance and repair-importance must be obtained.

For this measure of importance, failure importance  $\equiv \Pr\{\text{component } i \text{ is FC to the system; and has failed, weighted by the system unavailability}\}$ . Equation (8) is the criticality measure of failure importance:

$$I_{C_i}^F = \frac{G_i^F \cdot q_i}{Q_{Sys}(t)}. \quad (8)$$

Similarly, repair importance  $\equiv \Pr\{\text{component } i \text{ is success (repair) critical to the system; and is in a working state, weighted by the system unavailability}\}$ . Equation (9) is the criticality measure of repair importance:

$$I_{C_i}^R = \frac{G_i^R \cdot p_i}{Q_{Sys}(t)}. \quad (9)$$

The overall importance of a component is obtained by summing its failure and repair contributions.

To demonstrate the calculation procedure for this measure, consider the Boolean expression (1). Birnbaum’s repair and failure measures have already been calculated and an expression for the system unavailability function has been obtained;

thus it is a simple matter of substitution to calculate the repair and failure criticality measure for component  $b$ . From (8) and (9):

$$I_{C_b}^F = \frac{[q_a \cdot q_d \cdot (1 - q_c) \cdot (1 - q_e)] \cdot q_b}{Q_{Sys}(t)};$$

$$I_{C_b}^R = \frac{[q_a \cdot q_c \cdot (1 - q_d)] \cdot p_b}{Q_{Sys}(t)};$$

$$I_{C_b} = \frac{[q_a \cdot q_d \cdot (1 - q_c) \cdot (1 - q_e)] \cdot q_b + [q_a \cdot q_c \cdot (1 - q_d)] \cdot p_b}{Q_{Sys}(t)}.$$

## V. FV MEASURE OF COMPONENT IMPORTANCE

For coherent systems, the FV measure of component importance [11] is concerned with component failures contributing to SF. This measure is defined as  $\Pr\{$  a minimal cs containing component  $i$  causes SF  $\}$ , and is given in (10).

$$I_{FV_i} = \frac{\Pr\left\{\bigcup_{k=1}^{n_p} C_k\right\}}{Q_{Sys}(t)}. \quad (10)$$

This measure can be extended to noncoherent analysis. The FV failure importance  $\equiv \Pr\{$  the failed state of component  $i$  contributes to SF  $\}$ ; see (11).

$$I_{FV_i}^F = \frac{\Pr\left\{\bigcup_{k=1}^{n_p} C_k\right\}}{Q_{Sys}(t)}. \quad (11)$$

Similarly the FV repair importance is defined  $\Pr\{$  the working state of component  $i$  contributes to SF  $\}$ , weighted by the system unavailability:

$$I_{FV_i}^R = \frac{\Pr\left\{\bigcup_{k=1}^{n_p} C_k\right\}}{Q_{Sys}(t)}. \quad (12)$$

The calculation of the FV measure does not involve Birnbaum's measure; instead the prime implicant sets of the system are considered. Consider the Boolean expression in (1).

To calculate the failure importance of component  $b$ , the prime implicants sets containing  $b$  are identified, and then (11) is applied. Similarly, to find the repair importance of component  $b$ , the prime implicants sets containing  $\bar{b}$  are identified, and then (12) is applied.

$$I_{FV_b}^F = \frac{q_a \cdot q_b \cdot q_d}{Q_{Sys}(t)};$$

$$I_{FV_b}^R = \frac{q_a \cdot p_b \cdot q_c}{Q_{Sys}(t)};$$

$$I_{FV_b} = \frac{q_a \cdot (q_b \cdot q_d + p_b \cdot q_c)}{Q_{Sys}(t)}.$$

## VI. MEASURES OF INITIATOR AND ENABLER IMPORTANCE

The 2 measures considered in Sections IV and V, the Criticality and the FV measures, are both suitable for system unavailability analysis, and both consider the events which need to exist to fail the system. The Initiator and Enabler measures, on the other hand, analyze the role of component failures in

causing and contributing to SF, respectively, and they are both concerned, in a limited capacity, with the sequence of events leading to SF. A component failure can contribute in 1 of 2 ways:

- 1) it can be an initiating event whereby its occurrence 'when the system is in a critical state' causes system failure,
- 2) it can be an enabling event whereby its existence permits another, initiator event, to cause SF.

Hence the 2 measures calculate the importance of components in very different roles and are considered separately in Sections VI-A and B.

### A. B&P Initiator Importance

B&P developed the measure of initiator importance in [12]; the measure is concerned with the failure of components acting as initiating events and thus their occurrence coincides with SF. This measure calculates  $\Pr\{$  component  $i$  causes SF in  $[0, t]$   $\}$ . This measure for coherent systems is:

$$I_{IN_i} = \frac{\int_0^t G_i(q) \cdot w_i(u) du}{W_{Sys}(0, t)}; \quad (13)$$

$w_i(t) \equiv$  the unconditional failure intensity of component  $i$ ,  
 $W_{Sys}(t) \equiv$  the  $s$ -expected number of SF in a given interval.

For noncoherent systems, the total initiator importance measure is defined again in 2 terms. Term #1 is  $\Pr\{$  the failure of component  $i$  causes SF in the interval  $[0, t]$   $\}$ :

$$I_{IN_i}^F = \frac{\int_0^t G_i^F(q) \cdot w_i(u) du}{W_{Sys}(0, t)}. \quad (14)$$

Term #2 is the initiator repair importance:  $\Pr\{$  repair of component  $i$  causes system failure in the interval  $[0, t]$   $\}$ :

$$I_{IN_i}^R = \frac{\int_0^t G_i^R(q) \cdot v_i(u) du}{W_{Sys}(0, t)}. \quad (15)$$

To calculate the initiator importance of a component, an expression for  $W_{Sys}(0, t)$  must be obtained:

$$W_{Sys}(0, t) = \int_0^t \left[ \sum_{i=1}^{n_{Fi}} G_i^F(q) \cdot w_i(u) + \sum_{i=1}^{n_{Ri}} G_i^R(q) \cdot v_i(u) \right] du.$$

This is not considered in detailed here; for a detailed explanation see [9].

To obtain an expression for  $W_{Sys}(0, t)$ , it is necessary to calculate Birnbaum's measure for each component (both repair and failure). Having obtained an expression for  $W_{Sys}(0, t)$ , it is a simple matter of substitution to calculate the initiator importance of a component; the initiator importance of component  $b$  is, using (14) and (15):

$$I_{IN_b}^F = \frac{\int_0^t [q_a \cdot q_d \cdot (1 - q_c) \cdot (1 - q_e)] \cdot w_b du}{W_{Sys}(0, t)};$$

$$I_{IN_b}^R = \frac{\int_0^t [q_a \cdot q_c \cdot (1 - q_d)] \cdot v_b du}{W_{Sys}(0, t)};$$

$$I_{IN_b} = I_{IN_b}^F + I_{IN_b}^R.$$

### B. Lambert's Enabler Importance

Lambert introduced the measure of enabler importance in [13], [14]; and is defined, for coherent systems, as  $\Pr\{\text{the failure of component } i \text{ allows SF in } [0, t] \text{ caused by the failure of another component } j \text{ occurring}\}$ . Lambert developed the expression for this measure:

$$I_{E_i} = \frac{\sum_{j; i \neq j; i, j \in C_K} \int_0^t \Psi(u) \cdot q_i(u) \cdot w_j(u) du}{W_{Sys}(0, t)};$$

$$\Psi(u) \equiv Q_{Sys}(1_i, 1_j, \underline{q}(u)) - Q_{Sys}(1_i, 0_j, \underline{q}(u)); \quad (16)$$

$i$  is the enabler and  $j$  is the initiator.

However, (16) does not exactly specify  $\Pr\{\text{component } i \text{ contributes to SF in a given interval when another component, } j, \text{ causes SF}\}$ . It is an approximation because it does not consider the separate roles of components  $i$  and  $j$  in causing, or contributing to, SF. For component  $i$  to enable SF by the failure of component  $j$ , then  $i$  and  $j$  must occur in at least 1 minimal cut-set together, and it must be the existence of 1 such minimal cut-set that causes SF, for  $i$  to enable  $j$  to initiate failure.

To demonstrate this, consider the following example with minimal CS: {abe, def, bg, dh}.

The Boolean expression for the top event and the system unavailability function are:

$$T = abe + def + bg + dh;$$

$$\begin{aligned} Q_{Sys}(t) = & q_a \cdot q_b \cdot q_e + q_d \cdot q_e \cdot q_f + q_b \cdot q_g + q_d \cdot q_h \\ & - q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_f - q_a \cdot q_b \cdot q_e \cdot q_g \\ & - q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_h \\ & - q_b \cdot q_d \cdot q_e \cdot q_f \cdot q_g - q_d \cdot q_e \cdot q_f \cdot q_h \\ & - q_b \cdot q_d \cdot q_g \cdot q_h \\ & + q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_f \cdot q_g + q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_g \cdot q_h \\ & + q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_f \cdot q_h + q_b \cdot q_d \cdot q_e \cdot q_f \cdot q_g \cdot q_h \\ & - q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_f \cdot q_g \cdot q_h. \end{aligned} \quad (17)$$

Let  $d$  be an enabler, and  $e$  be an initiator; then, for  $d$  to act as enabler and contribute to SF when  $e$  actually causes SF, they must be in the same minimal CS. This is minimal CS 2, {def}. Therefore,  $f$  must fail, and CS 1, 3, 4 must not fail. This means that either  $b$  works, or  $a$  works from minimal CS 1; and  $g$  works or  $b$  works to prevent minimal CS 3, and  $h$  works to prevent minimal CS 4. Thus the required circumstances are:  $f$  and  $\overline{ab}$  and  $\overline{bg}$  and  $\overline{h}$ ; ie,

$$f \cdot (\overline{a} + \overline{b}) \cdot (\overline{b} + \overline{g}) \cdot \overline{h} = f \cdot \overline{h} \cdot (\overline{b} + \overline{a} \cdot \overline{g}).$$

Expanding this gives

$$\begin{aligned} I_{AE_{d,e}} &= \frac{\int_0^t [q_f \cdot (1 - q_h) \cdot \Psi_{a,b,g}] \cdot q_d \cdot w_e du}{W_{Sys}(0, t)}, \\ \Psi_{a,b,g} &\equiv (1 - q_b) + (1 - q_a) \cdot (1 - q_g) \\ &\quad - (1 - q_a) \cdot (1 - q_b) \cdot (1 - q_g); \\ I_{AE_{d,e}} &= \frac{\int_0^t [q_f \cdot (1 - q_h) \cdot \Psi_{a,b,g}^*] \cdot q_d \cdot w_e du}{W_{Sys}(0, t)}; \\ \Psi_{a,b,g}^* &\equiv 1 - q_a \cdot q_b - q_b \cdot q_g + q_a \cdot q_b \cdot q_g. \end{aligned}$$

$I_{AE_{i,j}}$  is the actual enabler probability.

The probability calculated using the Lambert formula is:

$$\begin{aligned} I_{E_{d,e}} &= \frac{Q_{Sys}(1_d, 1_e, \underline{q}(t)) - Q_{Sys}(1_d, 0_e, \underline{q}(t))}{W_{Sys}(0, t)}, \\ &= \frac{\int_0^t (1 - q_h) \cdot \Psi_{a,b,f,g} \cdot q_d(u) \cdot w_e(u) du}{W_{Sys}(0, t)}; \end{aligned}$$

$$\begin{aligned} \Psi_{a,b,f,g} &\equiv q_a \cdot q_b \cdot (1 - q_g) \\ &\quad + q_f \cdot (1 - q_a \cdot q_b - q_b \cdot q_g + q_a \cdot q_b \cdot q_g). \end{aligned}$$

### C. Discussion

The results in Section VI-B for the Lambert expression and the exact calculation, differ; (16) results in the extra terms:

$$\begin{aligned} (1 - q_h) \cdot [q_a \cdot q_b - q_a \cdot q_b \cdot q_g] \\ = q_a \cdot q_b \cdot (1 - q_g) \cdot (1 - q_h). \end{aligned}$$

These extra terms occur because the measure has failed to consider that components  $i$  and  $j$  can occur separately in failure combinations, and consequently affect the system state individually as well as jointly; (16) has accounted for the independent role of the initiator but not the enabler.

The required probability is  $\Pr\{\text{components } i \text{ and } j \text{ are critical to system state at time } t, \text{ and component } i \text{ has failed and component } j \text{ fails}\}$ , all weighted by the  $s$ -expected number of SF.

To calculate the required probability, first the criticality of component  $i$  and  $j$  must be calculated; then a correction term, which eliminates the  $s$ -independent contribution of  $i$  and  $j$ , is required. The criticality of  $i$  and  $j$  is  $\Pr\{\text{components } i \text{ and } j \text{ are critical to system state}\}$ , ie, the system is in a state at time  $t$  such that the failure of components  $i$  and  $j$  would cause SF.

The  $\Pr\{\text{component } i \text{ is critical to the system state at time } t\}$  is calculated as:

$$G_i(\underline{q}) = Q_{Sys}(1_i, \underline{q}(t)) - Q_{Sys}(0_i, \underline{q}(t)),$$

$Q_{Sys}(1_i, \underline{q}(t))$  is  $\Pr\{\text{system is in a failed state at time } t, \text{ and } i \text{ is failed}\}$ ,

$Q_{Sys}(0_i, \underline{q}(t))$  is  $\Pr\{\text{system is in a failed state at time } t, \text{ and } i \text{ is working}\}$ .

Because  $Q_{Sys}(t)$  is linear in  $q_i(t)$ , the  $G_i(\underline{q})$  can be re-written as:

$$G_i(\underline{q}) = \frac{\partial Q_{Sys}(t)}{\partial q_i(t)}.$$

Hence  $\Pr\{\text{components } i \text{ and } j \text{ are critical to system state}\}$ ,  $G_{i,j}(\underline{q})$  can be obtained by extending this definition of criticality. First, extend the notation:

$Q_{Sys}(1_i, 1_j, \underline{q}(t)) = \Pr\{\text{the system is in a failed state with components } i \text{ and } j \text{ failed}\}$ .

Then  $Q_{Sys}(1_i, 0_j, \underline{q}(t)) = \Pr\{\text{the system is in a failed state with component } i \text{ failed, and component } j \text{ working}\}$ .

Then  $\Pr\{\text{components } i \text{ and } j \text{ are critical to the system at time } t\}$  is:

$$\begin{aligned} G_{i,j}(\underline{q}) &= Q_{Sys}(1_i, 1_j, \underline{q}(t)) - Q_{Sys}(1_i, 0_j, \underline{q}(t)) \\ &\quad - Q_{Sys}(0_i, 1_j, \underline{q}(t)) + Q_{Sys}(0_i, 0_j, \underline{q}(t)). \end{aligned}$$

This is because  $Q_{Sys}(1_i, 1_j, \underline{q}(t))$  represents  $\Pr\{\text{the system is in a failed state, and components } i \text{ and } j \text{ are failed}\}$ . To eliminate the individual contributions of components  $i$  and  $j$ , (ie., if they are contained separately in minimal CS, then they make an individual contribution to SF) then  $\Pr\{\text{the system is failed when } i \text{ is failed and } j \text{ is working}\}$ , and  $\Pr\{\text{the system is failed when } i \text{ is working and } j \text{ is failed}\}$  is subtracted. Finally, because this subtraction results in an underestimation, the  $\Pr\{\text{the system is in a failed state and components } i \text{ and } j \text{ are working}\}$  must be added.

Because  $Q_{Sys}(t)$  is linear in  $q_i(t)$ , the  $G_{i,j}$  can be re-written as:

$$G_{i,j}(\underline{q}) = \frac{\partial^2 Q_{Sys}(t)}{\partial q_i \partial q_j}.$$

A correction term to be applied to  $G_{i,j}(\underline{q})$  can be derived to account for the separate effects of components  $i$  and  $j$  on the system state. It can be defined as  $\Pr\{\text{the system is in a critical state for components } i \text{ and } j, \text{ such that the failure of either } i \text{ or } j \text{ alone is sufficient to cause the system to fail}\}$ . To calculate the correction term, a modified unavailability function,  $Q_{M_{i,j}}(t)$  is required; it considers all minimal CS of the system apart from those containing both  $i$  and  $j$ .

$$Q_{M_{i,j}}(t) = \bigcup_{k=1; i,j \notin C_K}^{n_p} \Pr\{C_K\}.$$

The  $\Pr\{\text{components } i \text{ and } j \text{ are critical to the system state such that the failure of either } i \text{ or } j \text{ alone would be sufficient to cause the system to fail}\}$  is:

$$G_{M_{i,j}}(\underline{q}) = \frac{\partial^2 Q_{M_{i,j}}(t)}{\partial q_i \partial q_j}.$$

Thus the enabler importance of component  $i$ , when component  $j$  causes SF, is:

$$I_{E_{i,j}} = \frac{\int_0^t [G_{i,j}(\underline{q}) - G_{M_{i,j}}(\underline{q})] \cdot q_i(t) \cdot w_j(u) du}{W_{Sys}(0, t)}. \quad (18)$$

The total enabler importance of component  $i$  is the sum of the enabler importance of  $i$  when component  $j$  initiates SF for  $j = 1, \dots, n, j \neq i$  is:

$$I_{E_{i,j}} = \frac{\sum_{j=1, j \neq i}^{n_{F_j}} \int_0^t [G_{i,j}(\underline{q}) - G_{M_{i,j}}(\underline{q})] \cdot q_i(t) \cdot w_j(u) du}{W_{Sys}(0, t)}. \quad (19)$$

Consider the system introduced in (17); the enabler importance of component  $d$  when component  $e$  causes SF is calculated using this new measure:

$$\begin{aligned} \frac{\partial^2 Q_{Sys}(t)}{\partial q_d \partial q_e} = & q_f - q_a \cdot q_b \cdot q_f - q_a \cdot q_b \cdot q_h - q_b \cdot q_f \cdot q_g \\ & - q_f \cdot q_h + q_a \cdot q_b \cdot q_f \cdot q_g \\ & + q_a \cdot q_b \cdot q_g \cdot q_h + q_a \cdot q_b \cdot q_f \cdot q_h \\ & + q_b \cdot q_f \cdot q_g \cdot q_h - q_a \cdot q_b \cdot q_f \cdot q_g \cdot q_h. \end{aligned}$$

For the modified unavailability function, the minimal CS containing components  $d$  and  $e$  are extracted to give:

$$\begin{aligned} T_M = & abe + bg + dh; \\ Q_{M_{d,e}}(t) = & q_a \cdot q_b \cdot q_e + q_b \cdot q_g \\ & + q_d \cdot q_h - q_a \cdot q_b \cdot q_e \cdot q_g \\ & - q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_h - q_b \cdot q_d \cdot q_g \cdot q_h \\ & + q_a \cdot q_b \cdot q_d \cdot q_e \cdot q_g \cdot q_h; \\ \frac{\partial^2 Q_{M_{d,e}}}{\partial q_d(t) \partial q_e(t)} = & -q_a \cdot q_b \cdot q_h + q_a \cdot q_b \cdot q_g \cdot q_h \\ = & q_a \cdot q_b \cdot q_h \cdot (q_g - 1). \end{aligned}$$

From (18)

$$\begin{aligned} I_{E_{d,e}} = & \frac{\int_0^t [q_f \cdot (1 - q_h) \cdot \Psi_{a,b,g}] \cdot q_d \cdot w_e du}{W_{Sys}(0, t)}; \\ \Psi_{a,b,g} \equiv & 1 - q_a \cdot q_b - q_b \cdot q_g + q_a \cdot q_b \cdot q_g. \end{aligned}$$

This agrees with the required result obtained in Section VI-B.

#### D. Extension for Non-Coherent Systems

This measure can be extended for noncoherent analysis by considering the role that both component failure and working states play in SF. Thus, begin by considering the failure enabler importance of component  $i$ . The failure of component  $i$  could result in component  $j$  being either FC or RC.

Thus the probability required for this circumstance: the failure enabler importance of component  $i$  when component  $j$  causes SF is:

“The probability that either component  $i$  fails, leaving the system FC for component  $j$ , and component  $j$  fails, or that component  $i$  fails, leaving the system RC for component  $j$ , and component  $j$  is repaired. Weighted by the  $s$ -expected number of SF in the interval  $[0, t)$ .”

The first stage is to calculate the  $\Pr\{\text{components } i \text{ and } j \text{ are FC at time } t\}$  and  $\Pr\{\text{component } i \text{ is FC and component } j \text{ is RC at time } t\}$ . These are in (20) and (21).

$$G_{i,j}(\underline{q}) = \frac{\partial^2 Q_{Sys}(t)}{\partial q_i(t) \partial q_j(t)}; \quad (20)$$

$$G_{i,\bar{j}}(\underline{q}) = \frac{\partial^2 Q_{Sys}(t)}{\partial q_i(t) \partial p_j(t)}. \quad (21)$$

A correction term for each type of criticality is required to ensure that the separate roles of component failures or repairs are eliminated. Thus the first correction term needs to calculate  $\Pr\{\text{components } i \text{ and } j \text{ are FC, such that the failure of either } i \text{ or } j \text{ alone is sufficient to cause SF}\}$ . Similarly, the second correction term is  $\Pr\{\text{component } i \text{ is FC, and component } j \text{ is RC, such that the failure of component } i \text{ or the repair of component } j \text{ alone is sufficient to cause SF}\}$ . These are in (22) and (23).

$$G_{M_{i,j}}(\underline{q}) = \frac{\partial^2 Q_{M_{i,j}}(t)}{\partial q_i(t) \partial q_j(t)}; \quad (22)$$

$$G_{M_{i,\bar{j}}}(\underline{q}) = \frac{\partial^2 Q_{M_{i,\bar{j}}}(t)}{\partial q_i(t) \partial p_j(t)}. \quad (23)$$

$Q_{M_{i,j}}(t)$  is the modified system unavailability function for  $i$  and  $j$  is shown next; the union is taken for all prime implicants that do not contain both  $i$  and  $j$ , and also do not contain either  $\bar{i}$  or  $\bar{j}$ .

$$Q_{M_{i,j}}(t) = \bigcup_{k=1; i,j \notin C_K; \bar{i} \notin C_K; \bar{j} \notin C_K}^{n_p} \Pr\{C_K\}.$$

$Q_{M_{i,\bar{j}}}(t)$  is the modified system unavailability function for  $i$  such that,

$$Q_{M_{i,\bar{j}}}(t) = \bigcup_{k=1; i, \bar{j} \notin C_K; \bar{i} \notin C_K; j \notin C_K}^{n_p} \Pr\{C_K\}.$$

Hence the failure enabler importance of component  $i$ , when component  $j$  initiates SF, is:

$$\begin{aligned} I_{E_{i,j}}^F &= \frac{\int_0^t [\Psi_{i,j} \cdot q_i \cdot w_j + \Psi_{i,\bar{j}} \cdot q_i \cdot v_j] du}{W_{Sys}(0,t)}; \\ \Psi_{i,j} &\equiv G_{i,j}(\underline{q}) - G_{M_{i,j}}(\underline{q}); \\ \Psi_{i,\bar{j}} &\equiv G_{i,\bar{j}}(\underline{q}) - G_{M_{i,\bar{j}}}(\underline{q}). \end{aligned} \quad (24)$$

The total failure enabler importance of component  $i$  is:

$$\begin{aligned} I_{E_i}^F &= \frac{\Psi_1(u) + \Psi_2(u)}{W_{Sys}(0,t)}; \\ \Psi_1(u) &\equiv \sum_{j=1; j \neq i}^{n_{F_j}} \int_0^t [G_{i,j}(\underline{q}) - G_{M_{i,j}}(\underline{q})] \cdot q_i \cdot w_j du, \\ \Psi_2(u) &\equiv \sum_{j=1; j \neq i}^{n_{R_j}} \int_0^t [G_{i,\bar{j}}(\underline{q}) - G_{M_{i,\bar{j}}}(\underline{q})] \cdot q_i \cdot v_j du. \end{aligned} \quad (25)$$

The probability required for the repair enabler importance of component  $i$  when component  $j$  causes SF is:

“The probability that either component  $i$  has been repaired, leaving the system FC for component  $j$ , and component  $j$  fails, or that component  $i$  has been repaired, leaving the system RC for component  $j$ , and component  $j$  is repaired.”

By similar arguments to those used to derive the failure enabler importance, the repair enabler importance of component  $i$ , when component  $j$  causes SF, is:

$$\begin{aligned} I_{E_{i,j}}^R &= \frac{\int_0^t \Psi(u) du}{W_{Sys}(0,t)}; \\ \Psi(u) &\equiv [G_{\bar{i},j}(\underline{q}) - G_{M_{\bar{i},j}}(\underline{q})] \cdot p_i \cdot w_j \\ &\quad + [G_{\bar{i},\bar{j}}(\underline{q}) - G_{M_{\bar{i},\bar{j}}}(\underline{q})] \cdot p_i \cdot v_j. \end{aligned} \quad (26)$$

The total repair enabler importance for component  $i$  is:

$$\begin{aligned} I_{E_i}^R &= \frac{\Psi_1 + \Psi_2}{W_{Sys}(0,t)}; \\ \Psi_1 &\equiv \sum_{j=1; j \neq i}^{n_{F_j}} \int_0^t [G_{\bar{i},j}(\underline{q}) - G_{M_{\bar{i},j}}(\underline{q})] \cdot p_i \cdot w_j du \\ \Psi_2 &\equiv \sum_{j=1; j \neq i}^{n_{R_j}} \int_0^t [G_{\bar{i},\bar{j}}(\underline{q}) - G_{M_{\bar{i},\bar{j}}}(\underline{q})] \cdot p_i \cdot v_j du. \end{aligned} \quad (27)$$

$$G_{\bar{i},j}(\underline{q}) = (\partial^2 Q_{Sys}(t) / \partial p_i(t) \partial q_j(t)): \Pr\{\text{component } i \text{ is RC, and component } j \text{ is FC at time } t\}.$$

$$G_{\bar{i},\bar{j}}(\underline{q}) = (\partial^2 Q_{Sys}(t) / \partial p_i(t) \partial p_j(t)): \Pr\{\text{components } i \text{ and } j \text{ are RC at time } t\}.$$

$$G_{M_{i,j}}(\underline{q}) = (\partial^2 Q_{M_{i,j}}(t) / \partial p_i(t) \partial q_j(t)): \Pr\{\text{component } i \text{ is RC, and component } j \text{ is failure critical such that the repair of } i, \text{ or the failure of } j \text{ alone, is sufficient to cause SF}\}.$$

$$G_{M_{i,\bar{j}}}(\underline{q}) = (\partial^2 Q_{M_{i,\bar{j}}}(t) / \partial p_i(t) \partial p_j(t)): \Pr\{\text{components } i \text{ and } j \text{ are RC such that the repair of } i, \text{ or of } j \text{ alone, is sufficient to cause SF}\}.$$

Again consider the Boolean expression in (1) whose system unavailability function is in (2). The enabler importance of component  $b$  is calculated when component  $c$  causes SF. The first step is to obtain the expression for the 4 modified unavailability functions required.

$$\begin{aligned} Q_{M_{b,c}} &= q_a \cdot q_b \cdot q_d + q_a \cdot q_c \cdot q_d + q_a \cdot q_d \cdot q_e \\ &\quad - q_a \cdot q_b \cdot q_c \cdot q_d - q_a \cdot q_b \cdot q_d \cdot q_e - q_a \cdot q_c \cdot q_d \cdot q_e \\ &\quad + q_a \cdot q_b \cdot q_c \cdot q_d \cdot q_e; \end{aligned}$$

$$\begin{aligned} Q_{M_{b,\bar{c}}} &= q_a \cdot q_b \cdot q_d + p_c \cdot q_d \cdot q_e + q_a \cdot q_d \cdot q_e \\ &\quad - q_a \cdot p_c \cdot q_d \cdot q_e - q_a \cdot q_b \cdot q_d \cdot q_e; \end{aligned}$$

$$Q_{M_{\bar{b},c}} = q_a \cdot q_c \cdot q_d + q_a \cdot q_d \cdot q_e - q_a \cdot q_c \cdot q_d \cdot q_e;$$

$$Q_{M_{\bar{b},\bar{c}}} = p_c \cdot q_d \cdot q_e + q_a \cdot q_d \cdot q_e - q_a \cdot p_c \cdot q_d \cdot q_e.$$

Now

$$G_{b,c}(\underline{q}) = -q_a \cdot q_d + q_a \cdot q_d \cdot q_e,$$

$$G_{M_{b,c}}(\underline{q}) = -q_a \cdot q_d + q_a \cdot q_d \cdot q_e;$$

$$G_{b,\bar{c}}(\underline{q}) = 0, \quad G_{M_{b,\bar{c}}}(\underline{q}) = 0;$$

$$G_{\bar{b},c}(\underline{q}) = q_a - q_a \cdot q_d, \quad G_{M_{\bar{b},c}}(\underline{q}) = 0;$$

$$G_{\bar{b},\bar{c}}(\underline{q}) = 0, \quad G_{M_{\bar{b},\bar{c}}}(\underline{q}) = 0.$$

Then from (24) and (25):

$$I_{E_{b,c}}^F = 0;$$

$$I_{E_{b,c}}^R = \frac{\int_0^t q_a \cdot (1 - q_d) \cdot p_b(u) \cdot w_c(u) du}{W_{Sys}(0,t)}.$$

Note: Components  $b$  and  $c$  or  $b$  and  $\bar{c}$  do not appear in any of the prime implicants together; hence the failure enabler importance of  $b$  when  $c$  causes system-failure is zero. Also, only components  $\bar{b}$  and  $c$  occur together in the prime implicant sets, thus as anticipated, the term involving them is nonzero.

## VII. APPLICATION TO A LEAK-PROTECTION SYSTEM

To demonstrate the results that the application of these non-coherent measures provide, consider the leak protection system in Fig. 1.

High-pressure gas flows through the pipes as part of a transmission system. A gas leak can occur beyond the isolation valve, due to a leak in either the pipe itself or at a flange. A gas-detection system detects a gas leak, and to simplify the analysis, it is assumed to be perfectly reliable. Upon gas detection, the isolation unit (which consists of the isolation valve and its controller) closes the isolation valve, thus preventing the gas from flowing through the valve and out of the damaged pipe. However, if isolation is successful, the high-pressure gas will cause a hammer effect on the valve, which can rupture the pipe in front of the

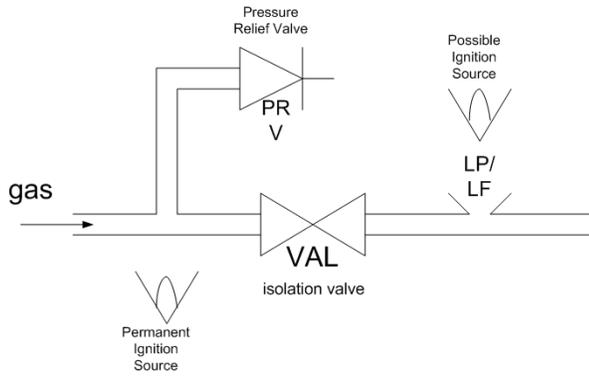


Fig. 1. Leak protection system.

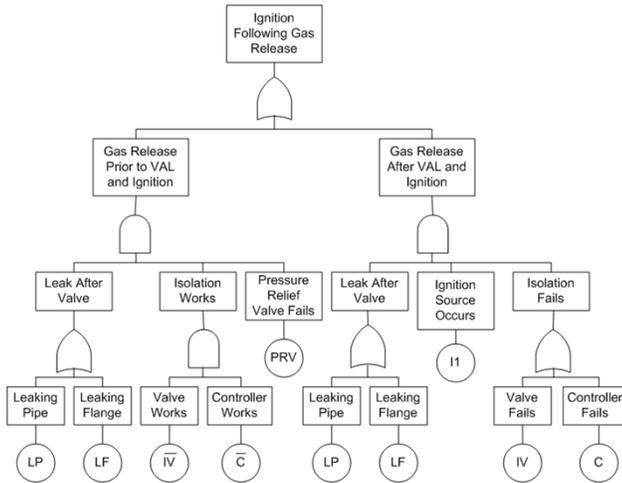


Fig. 2. Fault-tree representing the possible causes of the top-event for the system in Fig. 1.

valve. Due to the permanent ignition source located in this section, it is essential to divert the gas flow elsewhere and to prevent the pipe from rupturing; thus a pressure relief valve has been installed.

The system is designed to protect against an ignition following a gas release; hence the system is in a failed state if a gas leak occurs and an ignition results. Fig. 2 shows a FT, which represents the possible causes of the top event: an ignition source following a gas leak.

From this FT in Fig. 2, the prime implicant sets of the system can be obtained using a bottom-up approach and applying the consensus theorem to the implicant sets obtained. The 8 prime-implicant sets of the system are:

$$\begin{aligned} & \{ LP, \overline{IV}, \overline{C}, PRV \}, \\ & \{ LP, IV, I1 \}, \\ & \{ LP, C, I1 \}, \\ & \{ LF, IV, I1 \}, \\ & \{ LF, C, I1 \}, \\ & \{ LF, \overline{IV}, \overline{C}, PRV \}, \\ & \{ LP, PRV, I1 \}, \\ & \{ LF, PRV, I1 \}. \end{aligned}$$

Prior to calculating the various measures of importance for all the components, the role that each component failure/repair could have in a system-failure must be considered. Then the appropriate measures of importance can be used to analyze each

TABLE I  
REPAIR AND FAILURE RATES, AND INSPECTION INTERVALS,  
FOR THE SYSTEM COMPONENTS

Component	Failure Rate (per hour)	MTTR (hour)	Inspection Interval (hour)
LF	$1.8 \cdot 10^{-6}$	1	0
LP	$2 \cdot 10^{-8}$	1	0
IV	$17.3 \cdot 10^{-6}$	20	8760
C	$5 \cdot 10^{-6}$	12	8760
I1	Occurs 1/week and lasts for 12 minutes	N/A	N/A
PRV	$17.3 \cdot 10^{-6}$	20	8760

TABLE II  
COMPONENT UNAVAILABILITY AND UNCONDITIONAL FAILURE AND REPAIR RATES

Component	Unavailability	Unconditional failure rate	Unconditional repair rate
LF	$1.8 \cdot 10^{-6}$	$1.799 \cdot 10^{-6}$	$1.8 \cdot 10^{-6}$
LP	$2 \cdot 10^{-8}$	$1.998 \cdot 10^{-8}$	$2 \cdot 10^{-8}$
IV	0.07612	$1.598 \cdot 10^{-5}$	0.003806
C	0.2196	$3.902 \cdot 10^{-6}$	0.0183
I1	1/840	1/840	N/A
PRV	0.07612	$1.598 \cdot 10^{-5}$	0.003806

component's contribution to SF. Both the isolation unit and the pressure relief valve are safety systems; so they are passive components and hence their failed and working (in the case of the isolation unit) states can only act as enablers. The failure of the remaining 3 components can act as either initiators or enablers. Consider the situation whereby a gas leak exists at time  $t$ , and the isolation unit has failed prior to time  $t$ , the system will be in a failed state if an ignition source occurs before the leak is repaired. In this case the gas leak is an enabling event and the ignition source is the initiating event. Similarly if an ignition source exists at some time  $t$  and the isolation unit has failed prior to time  $t$ , then the occurrence of a gas leak while the ignition source is present, will cause the system top event. Thus the gas leak is the initiating event and the ignition source is an enabling event.

This shows that, of the measures introduced, only the FV measure, the measure of criticality, and the measure of enabler importance, should be used to analyze the importance of safety system components. On the other hand, all 5 measures of importance can be used to analyze the importance of the remaining 3 components.

Appropriate failure and repair rates have been assigned to each component as given in Table I. Inspection intervals have been assigned to the valves, because it is standard to inspect such dormant components in order to reveal a failure; in this case they are inspected annually. From these rates, the component unavailability and the unconditional failure and repair rates are calculated from (26). The results are in Table II.

$$\begin{aligned} q_{AV} &= \lambda \cdot \left( \tau + \frac{\theta}{2} \right); \\ w &= \lambda \cdot (1 - q); \\ v &= \frac{q}{\tau} \end{aligned} \quad (28)$$

TABLE III  
RESULTS FOR THE VARIOUS IMPORTANCE MEASURES

Event	Birnbaum's	Criticality	FV	Initiator	Enabler
LF	0.0551 (2)	0.9875 (4)	0.98997 (4)	0.9571 (1)	$5.79 \cdot 10^{-3}$ (5)
LP	0.0552 (1)	0.01097 (5)	0.01099 (5)	0.01063 (2)	$6.66 \cdot 10^{-5}$ (8)
I1	$5.08 \cdot 10^{-7}$ (4)	$6.05 \cdot 10^{-3}$ (6)	$7.17 \cdot 10^{-3}$ (6)	$5.83 \cdot 10^{-3}$ (3)	$5.7 \cdot 10^{-3}$ (6)
IV	$1.56 \cdot 10^{-9}$ (8)	$1.18 \cdot 10^{-3}$ (8)	$1.64 \cdot 10^{-3}$ (8)	N/A	0.9976 (3)
$\bar{IV}$	$1.08 \cdot 10^{-7}$ (6)	0.9927 (3)	0.99398 (2)	N/A	0.9621 (4)
C	$1.84 \cdot 10^{-9}$ (7)	$6.01 \cdot 10^{-3}$ (7)	$4.74 \cdot 10^{-3}$ (7)	N/A	0.9998 (2)
$\bar{C}$	$1.26 \cdot 10^{-7}$ (5)	0.9929 (2)	0.99398 (2)	N/A	$4.35 \cdot 10^{-4}$ (7)
PRV	$1.31 \cdot 10^{-6}$ (3)	0.99398 (1)	0.9944 (1)	N/A	0.9999 (1)

(The equation number is provided for each result) IV  $\equiv$  failure of IV;  $\bar{IV}$   $\equiv$  repair of IV; C  $\equiv$  failure of C;  $\bar{C}$   $\equiv$  repair of C; PRV  $\equiv$  failure of PRV

### VIII. RESULTS

Birnbaum's measure of repair and failure importance was calculated for all components to enable calculation of the  $s$ -expected number of SF in a given interval. Table III shows the results. The system is most likely to be in a critical state for LP and least likely to be in a critical state for IV. The numerical difference for Birnbaum's importance of the LP and LF is very small; thus the system is most likely to be in a critical state for a leak in the pipe-work, (either pipe or flange). Thus: if system performance is inadequate, then extra resources should be allocated to:

- Reduce existence of the necessary and sufficient conditions for the leaking pipe or leaking flange to be critical.

The conclusions here are limited because there is no real distinction between the 2 possible leaks: LP and LF. The criticality measure can be used to analyze which of the 2 components is most likely to be in a failed state when the system is failed. The results highlight that the system is most likely to be in a failed state due to LF. Thus the previous conclusion about resource allocation can be made more specific. The main priority should lie with:

- Reduction of the existence of the necessary and sufficient conditions for the system to be in a critical state for the LF.
- Increase the reliability of the flange.

The criticality measure also highlights that of the two safety systems: it is the pressure relief valve that is most likely to be in a failed state when the system is failed, thus if resources are to be allocated to safety system improvement, efforts should be concentrated on the PRV.

The results obtained from the initiator importance, in Table III, again confirm these conclusions. LF is most likely to cause SF. LP is ranked second and I1 is the least likely event to cause SF.

The FV measure ranks the contribution each component failure/repair makes to SF. The results are in Table III. The top 3 ranked events are: PRV,  $\bar{IV}$ , and  $\bar{C}$ . Thus the isolation unit is the most reliable of the 2 safety systems; this conclusion agrees with that from the criticality results. Thus if safety systems are to be improved, then the PRV should be done first. The isolation valve is slightly more reliable than the controller. Hence efforts to improve the isolation unit should be concentrated on the controller. The FV measure also highlights that a leak in the flange is more likely to contribute to SF than a leak in the pipe. This again confirms that the flange is less reliable than the pipe itself.

Finally, the results obtained from the enabler measure are considered. The failure of PRV is ranked highest; thus it is most likely to be in a failed state at time  $t$ , and enable a component which can act as an initiator to fail and cause SF. The components of C and IV, and enabling system failure are ranked 2nd and 3rd, again highlighting that it is the more reliable of the 2 safety systems. Also as anticipated, due to component reliabilities and the prime implicants sets of the system, the controller is more likely to fail and enable SF than the isolation valve.

It is essential to consider importance measures in their entirety; upgrading worst case, and reconsidering system importance, is not to be used because it can lead to alternating system design.

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