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# Birnbaum's Measure of Component Importance for Noncoherent Systems

John D. Andrews and Sally Beeson

**Abstract**—Importance analysis of noncoherent systems is limited, and is generally inaccurate because all measures of importance that have been developed are strictly for coherent analysis. This paper considers the probabilistic measure of component importance developed by Birnbaum (1969). An extension of this measure is proposed which enables noncoherent importance analysis. As a result of the proposed extension the average number of system failures in a given interval for noncoherent systems can be calculated more efficiently. Furthermore, because Birnbaum's measure of component importance is central to many other measures of importance; its extension should make the derivation of other measures possible.

**Index Terms**—Fault tree, importance measures, noncoherent, structure function.

## ACRONYMS<sup>1</sup>

FTA	—fault-tree analysis
iff	—if and only if
r.h.s.	—right-hand side
s-	—statistical(ly)

## NOTATION

$\phi(\underline{x})$	—structure function: defines the system state in terms of the states of the system components
$G_i(\underline{q})$	—Birnbaum-measure of component reliability Importance
$G_i^*(\underline{q})$	—Jackson's proposed-extension of Birnbaum-measure
$G_i^R(\underline{q})$	—component-repair criticality: $\Pr\{\text{the system is in a working state such that repair of component } i \text{ would cause system failure}\}$
$G_i^F(\underline{q})$	—component-failure criticality: $\Pr\{\text{the system is in a working state such that failure of component } i \text{ would cause system failure}\}$
$Q_{\text{SYS}}(t)$	—system unavailability: $\Pr\{\text{the system is in a failed state at time } t\}$
$Q_{\text{SYS}}(1_i, \underline{q})$	— $\Pr\{\text{the system fails with component } i \text{ failed}\}$
$Q_{\text{SYS}}(0_i, \underline{q})$	— $\Pr\{\text{the system fails with component } i \text{ working}\}$

$q_i$	—unavailability of component $i$
$p_i$	—availability of component $i$
$\alpha$	—indicator variable with value of 0 or 1
$n$	—total number of system components
$n_p$	—total number of prime implicants
$n_e$	—total number of elements in a selected prime implicant
$n_{\text{crit}}$	—total number of critical system-states
$w_{\text{SYS}}(t)$	—system unconditional failure intensity
$W_{\text{SYS}}(t)$	— $s$ -expected number of system failures in $[0, t)$
$w_i(t)$	—unconditional failure intensity of component $i$
$v_i(t)$	—unconditional repair intensity of component $i$
$\theta_i$	—occurrence of prime-implicant set $\mathbf{i}$ in $[t, t + dt)$
$\epsilon_i$	—event that prime implicant $i$ exists at time $t$
$B$	— $\Pr\{\text{at least 1 prime-implicant set exists at time } t\}$

## I. INTRODUCTION

### Definition

**COHERENT:** A system is coherent if each component is relevant, and the structure function is increasing (nondecreasing).

Safety systems are designed to protect against hazardous events; if failure occurs on a potentially hazardous system, the consequences can be disastrous. Many examples are possible; e.g., the recent crash of the Concord aeroplane in Paris, 2000 July. This left all 113 passengers and crew-members dead. Such disasters make clear the need to minimize the likelihood of system failure. Today, reliability assessment is critical in analyzing and improving system safety.

FTA [1], [2] is a well known and widely used deductive technique developed by Watson in the early 1960s to enable reliability assessment of a wide variety of systems. A fault-tree diagram expresses the causes of a particular system-failure-mode (top event) in terms of component failure modes that are connected by gates (logical operators).

The 3 fundamental gate types used in the fault tree are: AND, OR, and NOT. Generally the use of the NOT gate is discouraged because a fault tree might be noncoherent if the NOT gate is used or is directly implied. In a noncoherent system, component failed states and component working states can contribute to system failure. This can be considered philosophically to be a

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<sup>1</sup>The singular and plural of an acronym are always spelled the same.

poor analysis because, intuitively, it is a bad design that has components working correctly and contributing to system failure. From a practical viewpoint, the use of NOT logic increases the complexity of analysis and, in some circumstances does not provide additional information about the system. If only the AND and OR gates are used in the fault tree, and all basic events represent failures, then the fault tree is coherent, and only component failures can contribute to system failure.

A fault tree is noncoherent if its structure function  $\phi(\underline{x})$  does not comply with the definition of coherence given by the properties of relevance and monotonicity [3], [4]:

- 1) Every component  $i$  is relevant:  $\phi(1_i, \underline{x}) \neq \phi(0_i, \underline{x})$  for some  $\underline{x}$ .
  - 2) The structure function of component  $i$  is monotonically increasing:  $\phi(1_i, \underline{x}) \geq \phi(0_i, \underline{x})$ ,  $\forall i, \forall \underline{x}$ .  
 $\phi(1, \underline{x}) \equiv \phi(x_1, \dots, x_{i-1}, 1, x_{i+1}, \dots, x_n)$ ;  
 $\phi(0, \underline{x}) \equiv \phi(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n)$ .
- Condition #1 ensures that each component contributes to the system state.
  - Condition #2 an increasing (nondecreasing) structure function, ensures that the system state deteriorates (at least does not improve) with an increasing number of component failures.
  - Component failures cannot improve the system state.

Although the use of NOT logic is often discouraged, [5] demonstrates that for multi-tasking systems, NOT logic is essential if successful and meaningful analysis is to be performed. This is also true for event-tree analysis [6]–[8]. Hence it is essential to consider NOT logic for such systems and be able to analyze resulting noncoherent fault trees efficiently and accurately.

FTA can be split into 2 stages:

- 1) Qualitative analysis—identifies the minimal cut sets or, for noncoherent fault trees, the prime-implicant sets (minimal combinations of both success and failure events that cause the top event).
- 2) Quantitative analysis—involves calculating the system unavailability and the system unreliability; and can involve analysis of component and minimal cut set (prime implicant) importance.

Importance analysis, and Birnbaum's measure of component reliability importance in particular, is the focus of this paper.

## II. BIRNBAUM'S COMPONENT IMPORTANCE

When assessing a system, its performance depends on that of its components. Some components play a more important role in causing or contributing to system failure than others. The concept of importance measures is to numerically-rank the contribution of each component, or basic event, to reflect the susceptibility of the system to the occurrence of this event. Importance-measures assign a numerical value between 0 and 1 to each system component; 1 signifies the highest level of importance.

Reference [9] introduced the concept of importance, and developed a probabilistic measure of component-reliability importance.  $G_i(q)$  is defined as: the probability that component  $i$  is critical to system failure; i.e., when  $i$  fails it causes the system to

pass from a working-state to a failed-state. Birnbaum's measure is also referred to as the criticality function:

$$G_i(q) = Q_{\text{SYS}}(1_i, q) - Q_{\text{SYS}}(0_i, q); \quad (1)$$

$Q_{\text{SYS}}(1_i, q) \equiv \Pr\{\text{system fails with component } i \text{ failed}\}$ ,  
 $Q_{\text{SYS}}(0_i, q) \equiv \Pr\{\text{system fails with component } i \text{ working}\}$ ,  
 $q \equiv \text{vector of component unavailability's for the remaining components}$ .

$Q_{\text{SYS}}$  is generated using the inclusion-exclusion expansion (and is considered in greater detail later).

Although this field has received much attention over the past 30 years, the majority of measures that have been developed, have been developed specifically for the analysis of coherent systems, and therefore have ranked component failures. Importance analysis of noncoherent systems is extremely limited; it is generally inaccurate and misleading because importance is approximated using the measures developed for the analysis of coherent systems.

Reference [10] considers the extension of some of the most commonly used measures of importance to enable analysis of noncoherent systems; it begins by developing an extension of Birnbaum's measure and then uses this extension to extend other measures based on Birnbaum's measure. This proposed extension of Birnbaum's measure is:

$$G_i^*(q) = |Q_{\text{SYS}}(1_i, q) - Q_{\text{SYS}}(0_i, q)|. \quad (2)$$

It is not clear exactly how this measure should be interpreted; (2) suggests only 1 calculation per component. However, in a worked example, [10] actually ranks component failure importance and component repair importance separately. To demonstrate the difficulties encountered with this extension, consider the basic noncoherent system that [10] uses during this worked example. The system has 3 prime-implicant sets:  $\{a, b\}$ ,  $\{a, c\}$ .  $\{b, \bar{c}\}$ . While the system details are not important for this demonstration, they are in [11].

The calculation procedure in [11] can be used to obtain an expression for the system unavailability function of a noncoherent system. The calculation procedure is

$$Q_{\text{SYS}}(t) = \sum_{i=1}^{n_p} \Pr\{\epsilon_i\} - \sum_{i=1}^{n_p-1} \sum_{j=i+1}^{n_p} \Pr\{\epsilon_i \cap \epsilon_j\} + \dots \\ + (-1)^{n_p-1} \cdot \Pr\{\epsilon_1 \cap \epsilon_1 \cap \dots \cap \epsilon_{n_p}\}; \quad (3)$$

$\alpha = 1$  if event  $i$  is a member of  $\epsilon_i$ ,  $\alpha = 0$  if event  $\bar{i}$  is a member of  $\epsilon_i$ .

$$\Pr\{\epsilon_i\} = \prod_{j=1}^{n_e} q^{\alpha_j}(t), \\ q^{\alpha_j}(t) = \begin{cases} q_j, & \text{if } \alpha = 1 \\ p_j, & \text{if } \alpha = 0 \end{cases}$$

$\alpha = 1$  if a normal literal occurs,  $\alpha = 0$  if a negated literal occurs.

Rather than perform Boolean reduction with terms involving both failed and success states, the following identity is used:  $p_i \cdot q_i = 0$ .

TABLE I  
THE RESULTS OBTAINED IN [10]

Event	Results	Ranking
$a$	$5.665 \cdot 10^{-3}$	4
$b$	$8.105 \cdot 10^{-3}$	3
$c$	$9.575 \cdot 10^{-3}$	2
$\bar{c}$	$3.839 \cdot 10^{-2}$	1

Hence, the following expressions are obtained from (3):

$$\begin{aligned} \sum_{i=1}^{n_p} \Pr\{\epsilon_i\} &= \sum_{i=1}^3 \Pr\{\epsilon_i\} \\ &= q_a \cdot q_b + q_a \cdot q_c + q_b \cdot p_c, \\ \sum_{i=1}^{n_p-1} \sum_{j=i+1}^{n_p} \Pr\{\epsilon_i \cap \epsilon_j\} &= \sum_{i=1}^2 \sum_{j=2}^3 \Pr\{\epsilon_i \cap \epsilon_j\} \\ &= q_a \cdot q_b \cdot q_c + q_a \cdot q_b \cdot p_c, \\ \Pr\{\epsilon_1 \cap \epsilon_2 \cap \epsilon_3\} &= 0 \cdot 0. \end{aligned}$$

Thus:

$$Q_{\text{SYS}}(t) = q_a \cdot q_b + q_a \cdot q_c + q_b \cdot p_c - q_a \cdot q_b \cdot q_c - q_a \cdot q_b \cdot p_c. \quad (4)$$

The component unavailability's assigned in [10] are  $p_i \equiv 1 - q_i$ ,  $q_a = 9.90099 \cdot 10^{-3}$ ,  $q_b = 3.84615 \cdot 10^{-2}$ ,  $q_c = 1.52534 \cdot 10^{-2}$ . The results, using these numbers, in [10] for  $G_i^*(\underline{q})$  are in Table I.

An alternative way of considering Birnbaum's measure for this example is to consider component-criticality by an exhaustive tabular approach. Consider a system with  $n$  components; the system state can then be expressed in terms of the component states. It is possible to determine whether a component is critical to system failure, given the states of the remaining  $n - 1$  components. There are possible  $2^{n-1}$  states of the other  $n - 1$  components. By identifying the critical situations for component  $i$  and summing their probabilities of occurrence, one can calculate the probability that component  $i$  is critical to system failure.

Thus for the example in [10], Table II identifies the critical states for each of the 3 components. Table III records the sum of the critical situations for each event, the probability that each event is critical to system failure, and the ranking that each component receives.

Comparing the results from [10] in Table I with those in Table III it is clear that not only does the extension [10] calculate component criticality incorrectly but that it also ranks the components incorrectly. Hence it the extension [10] is not conceptually equivalent.

### III. EXTENSION OF BIRNBAUM'S MEASURE OF COMPONENT IMPORTANCE FOR NONCOHERENT ANALYSIS

Birnbaum's measure of component reliability importance (importance is defined as: probability that component  $i$  is critical to system failure) is the fundamental probabilistic measure of importance. Many other measures of importance are

extensions of this measure. Birnbaum developed this measure only for the analysis of coherent systems. It is calculated from the system unavailability function,  $Q_{\text{SYS}}(t)$ , which is obtained using the exclusion-inclusion principle and Boolean reduction laws.  $G_i(\underline{q})$  can be evaluated from (1) which, because  $Q_{\text{SYS}}(t)$  is linear in each  $q_i$ , can be expressed as:

$$G_i(\underline{q}) = \frac{\partial Q_{\text{SYS}}(t)}{\partial q_i(t)}. \quad (5)$$

Because, for coherent systems, Birnbaum's measure is central to so many other measures of importance, its extension to enable analysis of noncoherent systems must provide a consistent foundation to extend these measures for noncoherent analysis.

When dealing with a coherent system, system failure can only be caused by component failure. Hence a component in a coherent system can only be failure-critical. However, when dealing with a noncoherent system, system failure can be caused, not only by component failure (referred to as event  $i$ ), but also by component repair (referred to as event  $\bar{i}$ ). Thus a component in a noncoherent system can be failure-critical or repair-critical. These two criticalities must be considered separately because component  $i$  can exist in only 1 state at any time.

The probability required is: the probability that component  $i$  is critical to system failure; this can be expressed as  $G_i^R(\underline{q})$  or  $G_i^F(\underline{q})$ .

$$G_i(\underline{q}) = G_i^R(\underline{q}) + G_i^F(\underline{q}). \quad (6)$$

An expression for the system unavailability function can be obtained from calculation procedure outlined in (3) [11]. Component  $i$  is failure critical if the system is working, but the system fails if component  $i$  fails. Thus the probability that component  $i$  is failure critical is the probability that the system is in a working state such that the failure of component  $i$  causes at least 1 prime-implicant set containing event  $i$  to occur. This probability is calculated by obtaining the probability that at least 1 prime-implicant set containing event  $i$  exists at time  $t$  and then dividing this probability by the unavailability of component  $i$ .

To calculate this probability it is helpful to re-express the system unavailability in the 3 distinct terms:

$$Q_{\text{SYS}}(t) = i \cdot A + \bar{i} \cdot B + C.$$

These 3 terms represent, respectively,

- those products involving the failure of component  $i$ ,
- those products involving the repair of component  $i$ ,
- those products for which component  $i$  is irrelevant.

The probability that component  $i$  is failure critical is:

$$G_i^F(\underline{q}) = \frac{\Pr\{i \cdot A\}}{q_i} = \Pr\{A\}. \quad (7)$$

Similarly, the probability that component  $i$  is repair critical is the probability that the system is in a working state such that the repair of component  $i$  causes at least 1 prime-implicant set containing event  $\bar{i}$  to occur. This is calculated by obtaining the probability that at least 1 prime-implicant set containing event

TABLE II  
POSSIBLE AND CRITICAL STATES FOR THE EVENTS

State of <i>a</i>	State of <i>b</i>	Is <i>c</i> Critical	State of <i>a</i>	State of <i>c</i>	Is <i>b</i> Critical	State of <i>b</i>	State of <i>c</i>	Is <i>a</i> Critical
W	W	No	W	W	Yes (F)	W	W	No
W	F	Yes (R)	W	F	No	W	F	Yes (F)
F	W	Yes (F)	F	W	Yes (F)	F	W	No
F	F	No	F	F	No	F	F	Yes (F)

W = Working, F = Failed

TABLE III  
EXPECTED RESULTS

Event	Sum of Critical Situations	Expected Result	Rank
<i>a</i>	$p_b \cdot q_c + q_b \cdot q_c$	0.152534	2
<i>b</i>	$p_a \cdot p_c + q_a \cdot p_c$	0.84747	1
<i>c</i>	$q_a \cdot p_b$	0.00952	4
$\bar{c}$	$p_a \cdot q_b$	0.03808	3

TABLE IV  
CRITICALITY ASSESSMENT FOR COMPONENT *c*

State of <i>a</i>	State of <i>b</i>	Is <i>c</i> critical
W	W	No
W	F	Yes (REPAIR)
F	W	Yes (FAILURE)
F	F	No

$\bar{i}$  exists at time  $t$  and then dividing this probability by the availability of component  $i$ .

$$G_i^R(\underline{q}) = \frac{\Pr\{\bar{i} \cdot B\}}{p_i} = \Pr\{B\}. \quad (8)$$

The top event can only exist at time  $t$  if at least 1 prime-implicant set exists at time  $t$ . Hence, the repair and failure criticalities can be calculated separately by differentiating  $Q_{\text{SYS}}(t)$  with respect to  $p_i$  and  $q_i$ , respectively.

$$G_i^F(\underline{q}) = \frac{\partial Q_{\text{SYS}}(t)}{\partial q_i}, \quad (9)$$

$$G_i^R(\underline{q}) = \frac{\partial Q_{\text{SYS}}(t)}{\partial p_i}, \quad (10)$$

(if  $Q_{\text{SYS}}(t)$  is expressed according to the method in [11]).

#### Example

Consider the noncoherent system introduced in Section III, the Boolean expression for the top event is:

$$T = a \cdot b + a \cdot c + b \cdot \bar{c}.$$

The system unavailability is in (4). The proposed extension can be used to calculate the repair and failure importance of any component. The failure importance and repair importance for component  $c$  are calculated from (9) and (10):

$$G_c^F(\underline{q}) = q_a - q_a \cdot q_b = q_a \cdot p_b \quad (11)$$

$$G_c^R(\underline{q}) = q_b - q_a \cdot q_b = q_b \cdot p_a. \quad (12)$$

Hence, from (6):

$$G_c(\underline{q}) = p_a \cdot q_b + q_a \cdot p_b.$$

This result can be checked by using the tabular approach introduced in Section III. There are 4 situations for which component  $c$  could be FAILURE or REPAIR critical to the system failure according to the states of components  $a$  and  $b$ . Table IV outlines the 4 situations; column #3 records whether component  $c$  is critical to system failure.

From Table IV it is clear that component  $c$  is critical for 2 of the 4 situations, hence Birnbaum's measure for component  $c$  is calculated as:

$$G_c(\underline{q}) = \sum_{k=1}^{n_{\text{crit}}} \Pr\{\text{Critical Situation } k\} = p_a \cdot q_b + q_a \cdot p_b.$$

The result obtained using this tabular approach is the same as the result obtained using the proposed equation. The proposed extension calculates the probability that component  $i$  is critical to system failure. Having calculated the component repair and failure criticality, components need to be ranked, and the results analyzed; this is considered in Section V.

#### IV. EXPECTED NUMBER OF SYSTEM FAILURES

The expression for calculating the  $s$ -expected number of system failures,  $W_{\text{SYS}}(0, t)$ , in  $[0, t]$ , when the analysis is coherent can be given in terms of Birnbaum's measure of component reliability importance [12].

$$W_{\text{SYS}}(0, t) = \int_0^t \sum_{i=1}^n G_i(\underline{q}) \cdot w_i(u) du. \quad (13)$$

This identity can be extended to noncoherent systems as follows:

$$W_{\text{SYS}}(0, t) = \int_0^t \left[ \sum_{i=1}^n G_i^F(\underline{q}) \cdot w_i(u) + \sum_{i=1}^n G_i^R(\underline{q}) \cdot v_i(u) \right] du. \quad (14)$$

On the r.h.s. of (14), term #1 calculates the  $s$ -expected number of occurrences of system failure due to the failure of component  $i$  in a given interval, and term #2 calculates the  $s$ -expected number of occurrences of system failure in the given interval due to the repair of component  $i$ .

If this extension of Birnbaum's importance measure has the desired properties, then (14) holds. This section tests this extension by considering a basic example and comparing the results

obtained for  $W_{\text{SYS}}(0, t)$  using a method developed in [11] and using (14).

The procedure in [11] works directly with the Boolean expression for the top event obtained from qualitative analysis.

$$W_{\text{SYS}}(0, t) = \int_0^t w_{\text{SYS}}(u) du. \quad (15)$$

“System unconditional failure intensity”  $\equiv \text{Pr}\{\text{top event occurs at } t/\text{unit-time}\}$ . The top event occurs in  $[t, t + dt)$  iff none of the prime-implicants sets exist at  $t$ , and at least 1 prime-implicant set occurs in  $[t, t + dt)$ . The unconditional failure intensity is:

$$w_{\text{SYS}}(t) dt = \Pr\left\{\bigcup_{i=1}^n \theta_i\right\} - \Pr\left\{B \cdot \bigcup_{i=1}^n \theta_i\right\}. \quad (16)$$

*Note:* There is an important distinction between existence and occurrence. If a prime implicant exists at time  $t$ , then all component states in the prime implicant must have occurred prior to  $t$ . Whereas, if a prime implicant occurs at time  $t$ , 1 component-state must occur in  $[t, t + dt)$ .

The first term of (16) represents the probability that at least 1 prime-implicant set occurs in  $[t, t + dt)$ . The second term of (16) is a correction term that calculates the probability that at least 1 prime-implicant set occurs in  $[t, t + dt)$  but do not fail the system because it is already failed because at least 1 implicant set already exists.

The unconditional failure intensity is calculated for the example in Section II with the Boolean expression for the top event.

$$T = a \cdot b + a \cdot c + b \cdot \bar{c}.$$

The 2 terms of (16) are calculated separately. Term #1 on the r.h.s. of (16) can be expressed using the inclusion-exclusion expansion to give:

$$\begin{aligned} & \Pr\left\{\bigcup_{i=1}^3 \theta_i\right\} \\ &= \sum_{i=1}^3 \Pr\{\theta_i\} - \sum_{i=1}^2 \sum_{j=i+1}^3 \Pr\{\theta_i \cdot \theta_j\} + \Pr\{\theta_1 \cdot \theta_2 \cdot \theta_3\} \\ &= \Pr\{\theta_1\} + \Pr\{\theta_2\} + \Pr\{\theta_3\} - \Pr\{\theta_1 \cdot \theta_2\} \\ & \quad - \Pr\{\theta_1 \cdot \theta_3\} - \Pr\{\theta_2 \cdot \theta_3\} + \Pr\{\theta_1 \cdot \theta_2 \cdot \theta_3\} \\ &= [w_a \cdot q_b + w_b \cdot q_a + w_a \cdot q_c + w_c \cdot q_a + w_b \cdot p_c + v_c \cdot q_b \\ & \quad - (q_b \cdot q_c \cdot w_a + q_a \cdot p_c \cdot w_b)] dt \\ &= [w_a \cdot (q_b + q_c - q_b \cdot q_c) + w_b \cdot (q_a + p_c - q_a \cdot p_c) \\ & \quad + w_c \cdot q_a + v_c \cdot q_b] dt. \end{aligned}$$

Similarly, expanding term #2 on the r.h.s. of (16) gives:

$$\begin{aligned} & \Pr\left\{B \cdot \bigcup_{i=1}^3 \theta_i\right\} = \sum_{i=1}^3 \Pr\{\theta_i, B\} \\ & \quad - \sum_{i=1}^2 \sum_{j=i+1}^3 \Pr\{\theta_i \cdot \theta_j, B\} + \Pr\{\theta_1 \cdot \theta_2 \cdot \theta_3, B\}. \quad (17) \end{aligned}$$

Each term is also expanded about  $B$  thus, for example, expansion of term #1 gives:

$$\begin{aligned} \Pr\{\theta_1 \cdot B\} &= \Pr\{\theta_1 \cdot \epsilon_1\} + \Pr\{\theta_1 \cdot \epsilon_2\} + \Pr\{\theta_1 \cdot \epsilon_3\} \\ & \quad - \Pr\{\theta_1 \cdot \epsilon_1 \cdot \epsilon_2\} - \Pr\{\theta_1 \cdot \epsilon_1 \cdot \epsilon_3\} \\ & \quad - \Pr\{\theta_1 \cdot \epsilon_2 \cdot \epsilon_3\} + \Pr\{\theta_1 \cdot \epsilon_1 \cdot \epsilon_2 \cdot \epsilon_3\}. \quad (18) \end{aligned}$$

Treating other terms in the same way gives:

$$\Pr\{\theta_1 \cdot B\} = w_b \cdot q_a \cdot q_c + w_a \cdot q_b \cdot p_c;$$

$$\Pr\{\theta_2 \cdot B\} = w_c \cdot q_a \cdot q_b;$$

$$\Pr\{\theta_3 \cdot B\} = v_c \cdot q_a \cdot q_b;$$

$$\sum_{i=1}^2 \sum_{j=i+1}^3 \Pr\{\theta_i \cdot \theta_j \cdot B\} = 0;$$

$$\Pr\{\theta_1 \cdot \theta_2 \cdot \theta_3 \cdot B\} = 0.$$

Hence

$$\begin{aligned} W_{\text{SYS}}(0, t) &= \int_0^t w_{\text{SYS}}(u) du; \\ w_{\text{SYS}}(u) &= w_a(q_b + q_c - q_b \cdot (q_c + p_c)) \\ & \quad + w_b(q_a + p_c - q_a \cdot (q_c + p_c)) \\ & \quad + w_c(q_a - q_a \cdot q_b) + v_c(q_b - q_a \cdot q_b) \\ W_{\text{SYS}}(0, t) &= \int_0^t [w_a \cdot q_c + w_b \cdot p_c \\ & \quad + w_c \cdot q_a \cdot p_b + v_c \cdot q_b \cdot p_a] du. \end{aligned}$$

Use the extended expression in (14) to calculate the  $s$ -expected number of system failures. The system unavailability for this example is given in (4). Hence:

$$G_a^F(\underline{q}) = q_b + q_c - q_b \cdot (q_c + p_c) = q_c,$$

$$G_a^R(\underline{q}) = 0,$$

$$G_b^F(\underline{q}) = q_a + p_c - q_a \cdot (q_c + p_c) = p_c,$$

$$G_b^R(\underline{q}) = 0,$$

$$G_c^F(\underline{q}) = q_a \cdot (1 - q_b) = q_a \cdot p_b,$$

$$G_c^R(\underline{q}) = q_b \cdot (1 - q_a) = q_b \cdot p_a.$$

From (14),

$$\begin{aligned} W(0, t) &= \int_0^t w_{\text{SYS}}(u) du \\ &= \int_0^t [w_a \cdot q_c + w_b \cdot p_c + w_c \cdot q_a \cdot p_b + v_c \cdot q_b \cdot p_a] du. \end{aligned}$$

The  $s$ -expected number of system failures is the same for both of the calculation procedures, demonstrating that,

- 1) the identity in (13) can be extended, as shown in (14), for noncoherent analysis;
- 2) the proposed measure calculates the desired probability.

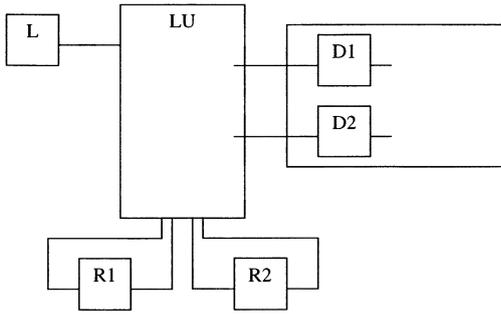


Fig. 1. Simplified gas detection system.

### V. GAS DETECTION SYSTEM EXAMPLE

Consider the simplified gas-detection system in Fig. 1. This is a multi-tasking system introduced in [5]. The system has 2 sensors, D1 and D2, which detect leakage in a confined space. The detectors send signals along individual cables to the computer logic control unit, LU. If the LU receives a signal that there is a gas leak from any sensor, then 3 functions must be performed:

- Process shut down: de-energize relay R1,
- Inform the operator of the leak, using a lamp and siren labeled L,
- Remove the power supply from affected areas: de-energize relay R2.

Reference [5] considers one particular failure scenario wherein, although the operator is informed of the gas release, both the “process shut-down” and the “power-supply isolation” fail. It was shown that NOT logic was essential for this particular mode of failure has 2 prime-implicant sets,  $\{\bar{L}, \bar{L}\bar{U}, R1, R2, \bar{D}1\}$ ,  $\{\bar{L}, \bar{L}\bar{U}, R1, R2, \bar{D}2\}$ .

To illustrate the method used to analyze component importance, availability values have been assigned to each component:  $q_L = 0.01$ ,  $q_{LU} = 0.04$ ,  $q_{R1} = q_{R2} = 0.06$ ,  $q_{D1} = q_{D2} = 0.02$ .

The system unavailability is:

$$Q_{SYS}(t) = p_L \cdot p_{LU} \cdot q_{R1} \cdot q_{R2} \cdot q_{D1} + p_L \cdot p_{LU} \cdot q_{R1} \cdot q_{R2} \cdot q_{D2} - p_L \cdot p_{LU} \cdot q_{R1} \cdot q_{R2} \cdot q_{D1} \cdot q_{D2}.$$

From (9) and (10)

$$G_L^F(\underline{q}) = 0,$$

$$G_L^R(\underline{q}) = p_{LU} \cdot q_{R1} \cdot q_{R2} \cdot (p_{D1} + p_{D2} - p_{D1} \cdot p_{D2}) = 0.00346,$$

$$G_{LU}^F(\underline{q}) = 0,$$

$$G_{LU}^R(\underline{q}) = p_L \cdot q_{R1} \cdot q_{R2} \cdot (p_{D1} + p_{D2} - p_{D1} \cdot p_{D2}) = 0.00358,$$

$$G_{R1}^F(\underline{q}) = G_{R2}^F(\underline{q})$$

$$= p_L \cdot p_{LU} \cdot q_{R2} \cdot (p_{D1} + p_{D2} - p_{D1} \cdot p_{D2}) = 0.057,$$

$$G_{R1}^R(\underline{q}) = G_{R2}^R(\underline{q}) = 0,$$

TABLE V  
RESULTS AND RANKING FOR TOTAL CRITICALITY

Event	Total Criticality	Ranking
L	0.00346	3
LU	0.00358	2
R1	0.057	1
R2	0.057	1
D2	0.00007	4
D1	0.00007	4

TABLE VI  
RESULTS AND RANKING FOR FAILURE CRITICALITY

Event	Failure Criticality	Ranking
L	0	N/A
LU	0	N/A
R1	0.057	1
R2	0.057	1
D1	0	N/A
D2	0	N/A

TABLE VII  
RESULTS AND RANKING FOR REPAIR CRITICALITY

Event	Repair Criticality	Ranking
L	0.00346	2
LU	0.00358	1
R1	0	N/A
R2	0	N/A
D1	0.00007	3
D2	0.00007	3

$$G_{D1}^F(\underline{q}) = G_{D2}^F(\underline{q}) = 0,$$

$$G_{D1}^R(\underline{q}) = G_{D2}^R(\underline{q}) = p_L \cdot p_{LU} \cdot q_{R1} \cdot q_{R2} \cdot (1 - p_{D2}) = 0.00007.$$

Tables V–VII record the results and ranking for the total criticality, the failure criticality, and the repair criticality.

Table V records the total criticality of each component and the ranking obtained. From this table, the system is most likely to be in a critical state for components R2 and R1. The importance of components LU and L are closely numerically ranked 2nd and 3rd respectively. The failure and repair criticality of each component are given in Tables VI and VII, respectively.

Components R1 and R2 are ranked highest and can only be failure critical. From this ranking, the system is most likely to be in a working but critical state for components R1 and R2. Should system performance be inadequate, then 2 steps can be taken to increase system reliability.

1. The likelihood of this critical state occurring for either R1 or R2 can be reduced. In general this can be achieved by increasing the availability of any components whose failure is necessary for component  $i$  to be failure critical.

2. The availability of components R1 and R2 can be increased to reduce the likelihood of either causing system failure.

Components LU and L were ranked 2nd and 3rd highest, but both components can only be repair-critical. Thus if the system

is in a state such that components LU and L are repair critical, it is vital that they are not repaired to a working state until the system-state changes and they are not repair critical. It is not appropriate to reduce the availability of components that can be repair critical, instead.

The probability of existence of the necessary and sufficient conditions for the component to be repair-critical needs to be minimized.

The repair of a component which can be repair-critical needs to be done at an appropriate time, i.e., when it is not repair-critical (other component failures repaired first).

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