

ORCID Board Meeting Summary

Meeting Date: 17-18 September 2019

Meeting Location: New York, NY USA

In Attendance:

- Andrew Cormack
- Richard de Grijs
- Daniel Hook
- Richard Ikeda
- Veronique Kiermer (Chair)
- Robert Kiley
- Salvatore Mele
- Alison Mitchell
- Linda O'Brien
- Ed Pentz
- Chris Shillum
- Simeon Warner
- Karin Wulf

Not in Attendance:

- Daisy Selematsela
- Shouguang Xie

Non-Voting Attendees:

- Julie Balter (Secretary)
- Matt Buys
- Tom Demeranville
- Jackie Ewenstein (external counsel)
- Laure Haak (ex officio Director)
- Sarah Hershberger
- Alice Meadows
- Will Simpson

Summary: The Executive Director presented an overview of the stages of ORCID's evolution as a start-up, and discussed evidence for our transition to scalability. The Board considered key performance indicators associated with ORCID's core strategies: (1) RESEARCHER: Positioning the researcher at the

center of everything we do, (2) TRUSTED ASSERTIONS: Enabling a wide range of iD-ID connections, (3) INFRASTRUCTURE: Investing in developing a robust information infrastructure, and (4) STRATEGIC RELATIONSHIPS: Developing sustainability through strategic relationships. The Board reviewed the portfolio of governance documents, including a governance strata document that clarified relationships between policies, and discussed the distinctions between internal and external policies and procedures, as well as public and non-public documents. Proposed adjustments to the Board Charter were reviewed, along with aligning Bylaw amendments. Charters for ExCo and new standing committees were reviewed and approved. The Board also provided comments on a new Dignity at Work statement developed by staff. The Board heard reports from standing committees. The Audit Committee reported on finalization of the 2018 financial audit and ORCID's risk register. The Nominations Committee presented the proposed 2020 director slate for election and discussed the nominations process. The Membership and Fees Committee provided updates on ongoing activities for the RIPEN pilot. The Treasurer and staff presented the 2020 Budget proposal and signs of reaching financial break-even. Staff presented plans for implementing a data analysis platform to enable KPI reporting. The Board received an update on findings and recommendations from the ORBIT project and discussed preparations to onboard new Board members, a Board Alumni program, and an ORCID History project.

Motions Passed:

- **MOTION:** To approve the May 2019 Board Meeting minutes with adjustments to discussion regarding board member attendance. **VOTE:** Approved, no objections, no abstentions.
- **MOTION:** To approve the Board Charter with the changes recommended during Board discussion. **VOTE:** Approved, no objections, no abstentions.
- **MOTION:** To approve the Executive Committee Charter with the noted changes. **VOTE:** Approved, no objections, no abstentions.
- **MOTION:** To approve the new Finance Committee and Membership and Fees Committee charters with the noted stated amendments. **VOTE:** Approved, no objections, no abstentions.
- **MOTION:** To approve the Dignity at Work statement with the above-stated changes to be reviewed by ExCo. **VOTE:** Approved, no objections, no abstentions.

- **MOTION:** Lisa Hart, retiring from Crossref, was commended for her service on the Audit Committee. **VOTE:** Approved, no objections, no abstentions.
- **MOTION:** To approve the proposed 2020 ORCID Board Slate for election by the Members: Yuko Harayama (researcher member); Daniel Hook (second term), Digital Science, UK; Linda O'Brien (second term), Griffith University, Australia; Andrew Preston, Clarivate Analytics, UK; Katharina Ruckstuhl, Royal Society Te Apārangi, New Zealand. **VOTE:** Approved, no objections. Abstentions: DH and LOB.
- **MOTION:** To approve the 2020 budget proposal. **VOTE:** Approved, no objections. Abstentions: RI.