

KYC – KNOW YOUR CLIENTS POLICY

Prior to accepting new clients and allowing them to trade with the Company, the following documents shall be obtained depending on whether the Client is an individual or a legal entity:

1) Physical Persons Account

1.1. Proof of Identity

A colored scanned copy of the following:

- Valid passport; and/ or
- Valid Identity Card (ID); or
- Driver's license (valid for at least 3 months).

1.2. Proof of Address

A colored scanned copy of any of the following:

- A utility bill or bank statement not older than 6 months, stating the client's name and residential address.

*In cases where the clients are originating from countries where the addresses are identified only by reference to a P.O. Box, a declaration letter signed by an independent government representative or professional officer (such as post office, lawyer, accountant and notary public) confirming the client's address is accepted.

*In cases where the clients are living with a family member and the only proof of address they can produce is in the name of the relative, a declaration confirming the family relationship is accepted to verify the validity of the proof of address provided.

- The Company may in some cases request copy of the client's credit card in order to ensure that any payments made amount to the specific account of the client and not to any third party.

*The aforementioned documents should be sent via email to Documents@RIMarkets.com

2) Legal Persons Accounts

2.1. Proof of natural person acting on behalf of the legal entity

- A board resolution authorizing the natural person to act on behalf of the legal entity.
- A passport and utility bill copy of the natural person.

3) Proof of the legal entity's existence by providing

- Certificate of Incorporation
 - Certificate of Good Standing
 - Certificate of Registered Office
 - Certificate of Directors and Secretary
 - Certificate of Shareholders
 - Certificate of Incumbency, if applicable (shall replace certificates of incorporation, registered office, directors and secretary and shareholders)
 - Memorandum and Articles of Association
 - KYC documents for the verification of the identity of the directors/ registered shareholders/ the beneficial owners (passport and utility bill)
 - If the legal person is a regulated entity, a copy of the license is needed
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- Clients are expected to send the requested documents for KYC purposes, Otherwise their account will not be activated. The documents should be sent via:

1. Email: Documents@RIMarkets.com

2. The Company's website: RIMarkets.com