A man of action, Charley never left a stone unturned—or a stomach.

HEARING THE ANGRY SOUNDS COMING FROM HIS DESK DRAWER, CHARLEY PULLS THE PHONE FROM HIS DRAWER AND CREATES A SIMULATED BAD CONNECTION BY CRUMBLING A PIECE OF PAPER AGAINST THE MOUTH PIECE.

#### CHARLEY

Hello! Can you hear me!!?
(crumbling more paper against the phone)
I'm sorry. Something must have happened to the phone. Can you hear me now?
Good. Now, about that partnership—did I explain that it's a *limited* partnership and *I'm* the General Partner? That's right.
Fees? Oh no, the fees should be just about right.

NARRATOR (VO)
Good old Charley, he just keeps going and going.

#### DISSOLVE TO . . .

CLOSE-UP — PARTNERSHIP DOCUMENT Under General Partner we see the name—Charles P. Wheeler.

THE SAME OFFICE

Wide angle lens. Close-up on Charley, big grin on his face, as he opens an envelope and pulls out a check.

NARRATOR (VO)

The money was rolling in.

Excerpt begins here

DISSOLVE TO . . .

17. EXT. — PARKI

Brad walks by Charley exiting an expensive car.

**CHARLEY** 

Man, I had this baby cranked up to one fifty on the interstate. There's nothing like it.

**BRAD** 

A Ferrari? Wheww, Charlie that's big bucks. What happened to the Hyundai?

NARRATOR (VO)

For a while there, it all seemed to be working.

### WIDE SHOT OF BUILDING

LOUD SCREAM.

From off-screen, we hear Hardcastle yell. The building trembles as if hit by an earthquake registering 8.5 on the Richter Scale.

HARDCASTLE (OS)

WHEEELERRR!!!!

NARRATOR (VO)

For a while.

18. INT. — AAA FINANCIAL SERVICES OFFICE — DAY

HARDCASTLE ENTERS THE OFFICE. He's holding a partnership document.

**HARDCASTLE** 

(dripping sarcasm)

Mr. Wheeler, so glad you could join us.

**CHARLEY** 

Hi, Ed. Come into my office. Cigar?

offering a cigar)

They're Cuban.

**HARDCASTLE** 

very stiffly—enunciating each word)
No—thank—you.

**CHARLEY** 

What can I do you for?

HARDCASTLE IS BARELY RESTRAINING HIS RAGE.

#### HARDCASTLE

One of my clients just sent me a copy of a *very* interesting new limited partnership. I'd like you to take a look at it.

CHARLEY ISN'T CATCHING ON YET.

**CHARLEY** 

No problemo.

FOCUS ON CHARLEY AS HARDCASTLE TALKS.

### **HARDCASTLE**

I found it extremely enlightening. It seems the General Partner on this particular partnership is a certain Charles P. Wheeler.

CHARLEY CHOKES ON HIS CIGAR.

#### HARDCASTLE

It also seems he works IN THIS VERY SAME OFFICE!

CHARLEY BEGINS SQUIRMING. HE BACKS HIS CHAIR UP, TRYING TO ESCAPE. BUT HARDCASTLE IS BARING DOWN ON HIM.

SFX — THUNDER.

#### HARDCASTLE

Lets see you explain *this*, Wheeler. I can't *wait* to hear the story this time. Perhaps your dear grandmother forced you to do it. You've really done it this (CONTINUED)

HARDCASTLE (continues)

time, Wheeler. Do you know what this means? Do you know what the NASD rules are on something like this? You've really put your foot in it this time, Wheeler. You're not going to squirm out of this one.

. .

CUT TO . . .

### 19. CLOSE-UP — CHARLEY'S DESK

Our narrator, Brad, closes the cigar box and drops it in a moving box with the name WHEELER written on the side. The camera pulls back to show Brad packing up Charley's belongings. Brad delivers the narration to camera.

#### **NARRATOR**

So that was the end of Charley's big week. You already know the rest of the story.

If you weren't sleeping in the back of the room, you should have been able to spot Charley's seven Compliance problems.

#### **SHOUTING**

In the DOORWAY behind Brad, we see Charley, rolling in his chair, pursued by a shouting Hardcastle. Brad turns to see what the commotion is. When they move out sight, he turns back to camera.

#### **NARRATOR**

Now's your chance to show how much you know—hopefully more than Charley. When the video fades to black, turn off the video player and talk about the specific regulations. Then turn the video back on and see if you were right.

## Excerpt ends here

FADE TO BLACK.

strangled cry from Charley.

A

### **VIDEO SECTION II**

EXERPTS FROM THE PROGRAM.

#### SLOW PULL BACK AND UP.

The camera very slowly pulls back, pedestaling up, showing more and more of the scene below—Charley desperately trying to make excuses while the manager berates him. We can't hear their voices, but, through their actions, we can clearly see